Impaired gastric relaxation in patients with achalasia

F Mearin, M Papo, J-R Malagelada

Abstract
Achalasia is considered a primary motility disorder confined to the oesophagus. The lower oesophageal sphincter (LOS) in achalasia is frequently hypertonic and manifests absent or incomplete relaxation in response to deglution. On the other hand, the LOS and the proximal stomach act physiologically as a functional unit whereby relaxation of the LOS during deglution is associated with receptive relaxation of the proximal stomach. Thus, this study investigated the hypothesis that impaired LOS relaxation in patients with achalasia might be associated with impaired relaxation of the proximal stomach. The study consisted of 20 patients with achalasia and 10 healthy controls. Gastric tone variations were quantified using an electronic barostat. Firstly, the study established the basal gastric tone (intragastric volume at the minimal distending pressure +1 mm Hg) and gastric compliance (volume/pressure relation) during isobaric distension (increasing stepwise the intragastric pressure from 0 to 20 mm Hg up to 600 ml). Secondly, the gastric tone response to cold stress (hand immersion into ice water for five minutes) or to control stimuli (water at 37°C) was determined. Basal gastric tone mean (SEM) was similar in achalasia and in healthy controls (125 (9) ml v 136 (9) ml, respectively). Compliance was linear and similar in both groups, which also showed similar gastric extension ratios (58 (7) ml/mm Hg v 57 (6) ml/mm Hg). Cold stress induced a gastric relaxatory response that, as a group, was significantly lower in achalasia than in healthy controls (volume: 43 (20) ml v 141 (42) ml; p<0.05). The responses in each group were not uniform, five of the 20 patients with achalasia showed definite (volume >100 ml) relaxatory responses whereas four of the 10 healthy controls did not. In conclusion, reflex gastric relaxation is impaired in most patients with achalasia showing that the proximal stomach, and not exclusively the oesophagus, may be affected by the disease.

Methods

SUBJECTS
Twenty patients with the diagnosis of achalasia were included in the study. They were divided into two different groups: 10 symptomatic patients with untreated achalasia and 10 patients in whom forceful endoscopic dilatation had been successfully accomplished within one year before study. All patients had clinical, radiological, endoscopic, and manometric evidence of achalasia. Untreated patients complained of grade III or IV oesophageal symptoms according to the criteria of Vantrappen and Hellemans; treated patients were free of symptoms or had slight dysphagia (grade I or II). The Table shows clinical features of the achalasia patients.

Ten healthy volunteers (seven men and three women; 22–26 years) without digestive

Clinical features of achalasia patients

<table>
<thead>
<tr>
<th></th>
<th>Untreated (n=10)</th>
<th>After forceful dilatation (n=10)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex (male/female)</td>
<td>6/4</td>
<td>5/5</td>
</tr>
<tr>
<td>Age (SD) (y)</td>
<td>45 (11)</td>
<td>46 (9)</td>
</tr>
<tr>
<td>(range)</td>
<td>(18–63)</td>
<td>(28–63)</td>
</tr>
<tr>
<td>Evolution time (SD) (months)</td>
<td>49 (47)</td>
<td>37 (30)</td>
</tr>
<tr>
<td>(range)</td>
<td>(12–144)</td>
<td>(5–120)</td>
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</tbody>
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symptoms served as control group for the studies of gastric compliance and gastric relaxation in response to cold stress. Another 10 healthy volunteers (five men and five women; 20–26 years) served as controls for the oesophageal manometric data. All participants gave written informed consent before entering the study. The protocol of the investigation had been approved by the Institutional Review Board of the Hospital General Vall d’Hebron.

**PROCEDURES**

Patients had three separate studies on two days. On the first day, an oesophageal manometry was performed and, on the second day, gastric compliance and the gastric relaxatory response to cold stress were evaluated. All studies took place after an overnight fast and drugs had been withdrawn for at least 72 hours before the study.

**Oesophageal manometry**

Studies were performed in the supine position after oral passage of the manometric tube. Oesophageal intraluminal pressures were measured using a four lumen polyvinyl tube (0.9 mm ID) with its orifices spaced at 5 cm intervals along the distal part of the tube. The lateral opening manometric catheters were radially oriented. They were perfused with distilled water at 0.1 ml/min with a pneumohydraulic system. Pressure activity was recorded on a paper polygraph. Resting LOS pressure was assessed during two station pull through and LOS relaxation after ten 5 ml water swallows.

**Measurement of gastric compliance**

This was accomplished by producing a standardised gastric distension with the barostat and measuring the resulting volume at each pressure level. In addition we evaluated the perception elicited by gastric distension.

The gastric barostat measures the volume of air within an intragastric bag maintained by an electronic feedback mechanism at a constant preselected pressure level. A dial in the external electronic component of the barostat permits selection of the desired pressure level. A detailed description of the system has been published.

The procedure was as follows. The bag of the barostat, finely folded, was introduced through the mouth into the stomach. To unfold the intragastric bag, one lumen of the connecting tube was connected to a pressure transducer, and the bag was slowly inflated through the other lumen of the tube with 300 ml of air. The bag was then completely deflated and connected to the barostat. Pressure and volume inside the intragastric bag were continuously recorded on a paper polygraph (model 1600, MFE, Salem, NH).

Participants were placed in a 30° recumbent position and were asked to relax comfortably. Using the pressure selection dial of the barostat, intrabag pressure was gradually increased by 2 mm Hg stepwise increments every three minutes, starting at 0 mm Hg (atmospheric pressure), until the pressure level that first provided an intrabag volume >600 ml, or when the participants reported discomfort (score=8).

Perception of gastric distension was scored at each pressure step using a rating scale graded from 0 to 10. We specifically measured perception of upper abdominal sensations excluding those of putative oesophageal origin such as dysphagia, chest pain, heartburn or sensation of a throat lump. Before testing, participants were informed of the several possible sensations they could feel and which they were supposed to score. These included upper abdominal pressure, fullness, bloating, and nausea. These symptoms were selected as the more common sensorial responses to gut distension previously determined in our laboratory and incorporated into a standardised questionnaire. The quantification of perception was performed by means of a manually activated scale based on the intensity of upper abdominal sensation. Intensity scores were defined as: 0, absent sensation; 1 and 2, faint sensation; 3 and 4, mild sensation; 5 and 6, moderate sensation; 7 and 8, uncomfortable sensation; and 9 and 10, painful sensation (note that stimulation should be interrupted at score 8).

The procedure was as follows. The gastric barostat was positioned and connected to the recording system as described above. We first determined the minimal intragastric distending pressure. We raised intragastric pressure by 1 mm Hg increments every two minutes using the pressure selection dial of the barostat. The minimal distending pressure was defined as the first pressure level that provided an intrabag volume of >30 ml. This pressure level is needed to overcome the intra-abdominal pressure. Thereafter, we set an intragastric pressure 1 mm Hg above the minimal distending pressure to record gastric tone variations (volume changes at constant pressure) during the study.

After allowing 10 minutes for stabilisation, we tested cold stress and sham stress stimuli.

**Measurement of the gastric relaxatory response**

To test reflex gastric relaxation in achalasia we selected the ‘cold stress test’ as we have previously shown that in healthy volunteers it induces a profound relaxatory response of the proximal stomach.

The procedure was as follows. The gastric barostat was positioned and connected to the recording system as described above. We first determined the minimal intragastric distending pressure. We raised intragastric pressure by 1 mm Hg increments every two minutes using the pressure selection dial of the barostat. The minimal distending pressure was defined as the first pressure level that provided an intrabag volume of >30 ml. This pressure level is needed to overcome the intra-abdominal pressure.

Thereafter, we set an intragastric pressure 1 mm Hg above the minimal distending pressure to record gastric tone variations (volume changes at constant pressure) during the study.

After allowing 10 minutes for stabilisation, we tested cold stress and sham stress stimuli.

![Figure 1: Gastric compliance (volume/pressure relation) in patients with achalasia and healthy controls. MDP=minimal distending pressure.](http://gut.bmj.com/)

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Participants were asked to stand up and lean on a high bench in a comfortable position, avoiding positional changes during the tests. After a five minute basal recording period, the stimuli were produced by immersing the non-dominant hand into water for five minutes. Four consecutive stimuli were randomly tested: two cold stress stimuli, with the hand immersed in ice water (4°C), and two sham stress stimuli, with the hand immersed in water at 37°C. After the cold stimulus, the hand was immersed in water at 40°C to produce a quick recovery of hand temperature. The autonomic response was assessed by monitoring brachial blood pressure and pulse rate five minutes before and two minutes after the onset of the stimulus. After the stimulus period, participants were allowed to rest by sitting down for at least 30 minutes until the basal conditions in gastric tone, perception, blood pressure, and pulse rate were fully re-established.

**DATA ANALYSIS**

**Oesophageal manometry**

Resting LOS pressure was calculated as the mean (SEM) of the eight values obtained during the two pull through of the four lumen catheters; intragastric pressure was used as zero reference. LOS relaxation in response to swallowing was calculated as the mean percentage from 10 wet swallows, being 0% the LOS pressure and 100% the intragastric pressure.

**Gastric compliance**

Intrabag volume during each pressure step was averaged. The volume at each pressure level was corrected for air compressibility using Boyle’s law \( P_1V_1 = P_2V_2 \). In each subject we defined the minimal distending pressure as the first pressure level that provided an intragastric volume of \( \geq 30 \text{ ml} \); this pressure level accounted for intra-abdominal pressure. A compliance curve (volume vs pressure) was then constructed starting from the minimal distending pressure level.

**Gastric relaxatory response**

In the stress tests (cold stress and sham stress), we measured gastric tone by averaging intragastric volume during the five minute period before the stimulus (basal level) and during the last two minute period of the stimulus (test level). The change in gastric tone produced by the stimulus was calculated as the difference between the test minus the basal levels (\( \Delta \) response).

For statistical analysis we calculated the mean values (SEM) of each parameter measured in the achalasia group and in the healthy control group. Statistical comparisons were performed using Student’s \( t \) test with paired analysis for intragroup comparisons and unpaired analysis for intergroup comparisons; the non-parametric Mann-Whitney test was used when appropriate. To establish possible correlations we performed linear regression analysis. A p value of <0.05 was chosen as the significance value.

**Results**

**MANOMETRIC EVALUATION OF LOS PRESSURE ACTIVITY**

Resting LOS pressure was significantly higher in the untreated achalasia group than in the healthy control group (30 (4) mm Hg vs 17 (1) mm Hg, respectively, p<0.05). In five of 10 patients with untreated achalasia, however, values were within the normal range. In patients treated with forceful endoscopic dilatation, resting LOS pressure was similar to that in healthy controls (15 (2) mm Hg).

Mean LOS relaxation in response to wet swallowing was impaired in untreated achalasia patients (33 (6)%)) as well as in achalasia patients after forceful dilatation (23 (8)%). A LOS relaxation greater than 75% was registered in one untreated achalasia patient and in one treated patient.

**BASAL GASTRIC TONE AND INTRA-ABDOMINAL PRESSURE**

The basal gastric tone was similar in achalasia and in healthy controls (125 (9) ml and 138 (9) ml, respectively). The minimal distending
pressure, which is equivalent to the intra-abdominal pressure, was also similar: 4.2 (0.8) mm Hg in achalasia patients and 3.7 (0.6) mm Hg in healthy controls. No significant differences were detected between untreated or treated achalasia patients.

GASTRIC COMPLIANCE AND PERCEPTIVE RESPONSE TO GASTRIC ACCOMMODATION

Gastric compliance was similar in patients with achalasia and in healthy controls (Fig. 1). Also, there were no significant differences between the gastric compliance of untreated and treated achalasia patients (extension ratios of 57 (8) ml/mm Hg and 60 (9) ml/mm Hg, respectively). The perceptive response to gastric accommodation was also similar in achalasia patients and in healthy controls (Fig. 2). At the minimal distending pressure perception of upper abdominal sensations was negligible in both groups. Distending pressures up to 14 mm Hg above the minimal distending pressure produced minor abdominal discomfort also in both groups. The perceptive response was unrelated to the presence or absence of oesophageal symptoms because it was similar in untreated and treated achalasia patients.

GASTRIC RELAXATION AND CARDIOVASCULAR AUTONOMIC RESPONSES TO COLD STRESS

Cold stress induced significant gastric relaxation in healthy controls although the magnitude of the response was quite variable (Fig. 3). In six of 10 healthy subjects tested, relaxation exceeded 100 ml (Fig. 4). In patients with achalasia, mean relaxatory response to cold stress did not reach statistical significance and only in five of 20 achalasia patients tested did gastric relaxation exceed 100 ml (Fig. 5). Statistical comparison (Mann-Whitney test) of induced gastric relaxation in patients and controls showed a significantly blunted relaxatory response in the achalasia group (Fig. 6). The difference in the number of subjects in the control and achalasia groups with a reflex relaxatory response greater than 100 ml, however, did not reach statistical significance. During cold stress, brachial blood pressure increased to a similar extent in achalasia patients and healthy controls but the heart rate increase was significantly higher in healthy controls. Sham stimulation did not induce any detectable changes in gastric tone or in the cardiovascular autonomic responses (Fig. 6).

No statistically significant relation was found between gastric relaxation and the cardiovascular autonomic response. Moreover, by linear regression analysis, no significant correlation was detected between per cent LOS relaxation during swallowing and the magnitude of gastric relaxation in response to cold stress (Fig. 7).

Discussion

The aetiology of achalasia is largely unknown. Ostensibly the disease affects only the oesophagus, which is where the main pathophysiological features of the disease, aperistalsis, and faulty LOS relaxation, have been recognised. However, there are hints that other gastrointestinal functions may also be affected. For instance, there have been reports of associated gastric, intestinal, gall bladder, and sphincter of Oddi dysmotility. Other reports show an impaired gastric secretory response to insulin stimulation and a blunted plasma pancreatic polypeptide response to sham feeding. Taken together, these bits of information would be consistent with changed vagal function involving extraoesophageal sites. Additional support for this concept is provided by histological findings of extraoesophageal parasympathetic nerve degeneration in some achalasia patients. There is no consensus, however, on vagal dysfunction in achalasia as other investigators found normal gastric acid secretory response to insulin induced hypoglycaemia and to sham feeding as well as no indication of cardiovascular autonomic neuropathy.

In this study we have further advanced knowledge about extraoesophageal neural dysfunction in achalasia by showing that reflex gastric relaxation in response to somatic cold stress is impaired in most patients with achalasia, in the presence of normal gastric compliance and basal tone. We have previously shown that somatic stimulation by acute exposure of the hand to cold does induce relaxation of the proximal stomach in healthy subjects and also in symptomatic dyspeptic patients. As somatic cold stress tests produce their autonomic activation by the central nervous system, a normal gastric relaxatory response may be considered as evidence of integrity of...
Indeed, the response in healthy subjects is also variable. Moreover, appreciable polymorphism and variability in responses mediated by the autonomic nervous system has been seen in virtually all types of stress studies. The principal autonomic response to hand immersion in cold water is sympathetic stimulation. The blood pressure response to cold pain, however, requires not only the integrity of the higher nervous centres but it also depends on the degree of individual response to pain which is variable.

In contrast with the impaired gastric relaxatory response, we saw that basal gastric tone and gastric compliance were normal in patients with achalasia. Basal gastric tone in the fasted state is maintained by an extrinsic cholinergic input, which is vagally mediated. Therefore, there is no indication of such mechanism being changed in achalasia and our findings are in accordance with other data suggesting that postganglionic cholinergic innervation of the LOS in achalasia patients is normal or minimally impaired. Nevertheless, chronic vagotomy may be followed by adaptative changes.

A plausible explanation for the impaired gastric relaxatory response seen in achalasia would be a defect in the non-adrenergic-non-cholinergic (NANC) inhibitory control of the stomach. This hypothetical pathogenetic mechanism would be comparable with that now most accepted for explaining abnormal LOS relaxation. Vasoactive intestinal polypeptide and nitric oxide, the two important NANC mediators in the LOS, are active inhibitors of proximal gastric tone. Although the presence of these two transmitters in the stomach of achalasia patients has not been determined, lack of vasoactive intestinal polypeptide in myenteric LOS nerves has been previously reported and the absence of nitric oxide synthase in LOS tissue has been recently described by us. Although our results suggest that the LOS and the proximal stomach in achalasia could share some pathogenetic mechanisms we could not establish a correlation between per cent relaxation of the LOS and the magnitude of gastric relaxation. There are several possible explanations. Firstly, the relaxatory responses were evaluated in response to different stimuli, swallowing for the LOS, and cold stress for the gastric fundus. Secondly, neuromuscular abnormalities in the oesophagus and in the stomach of patients with achalasia may not be evenly distributed. Finally, different pathogenetic mechanisms might contribute to disturbed LOS and gastric relaxations.

Theperceptive response to gastric distension was normal in achalasia showing that the afferent sensorial pathway was not affected by the disease. Visceral nociception seems to be relayed to higher centres by sympathetic nerves, but the precise pathway is unknown.

In conclusion, we have shown that many patients with achalasia have an impaired gastric relaxatory reflex in response to somatic cold stress. The significance of this finding is that it provides evidence of changed neural regulation.
of gut motility at an extraoesophageal site. Its specific clinical significance remains to be established. Learning more about abnormal regulation of gut motility in achalasia should take us closer to understanding the pathogenetic factors participating in this disease, once considered exclusively an oesophageal disorder and now becoming more clearly a diffuse gut disturbance.

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