Predictive factors for regression of gastric MALT lymphoma after anti-*Helicobacter pylori* treatment

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Abstract

**Background and aims**—Discrepant remission rates (41–100%) have been reported for patients with localised low grade gastric mucosa associated lymphoid tissue (MALT) lymphoma after eradication of *Helicobacter pylori*. The aim of this study was to explain these discrepancies and to determine the predictive factors of gastric lymphoma regression after anti-*H pylori* treatment.

**Patients and methods**—Forty six consecutive patients with localised gastric MALT lymphoma (Ann Arbor stages Ib and IIE) were prospectively enrolled. All had gastric endoscopic ultrasonography and *H pylori* status assessment (histology, culture, polymerase chain reaction, and serology). After anti-*H pylori* treatment, patients were re-examined every four months.

**Results**—Histological remission of the lymphoma was complete in 19/44 patients (43%) (two lost to follow up). Median follow up time for these 19 responders was 35 months (range 10–47). No regression was noted in the 10 *H pylori* negative patients. Among the 34 *H pylori* positive patients, the *H pylori* eradication rate was 100%; complete regression rate of the lymphoma increased from 56% (19/34) to 79% (19/24) when there was no nodal involvement assessed by endoscopic ultrasonography. There was a significant difference between the response of the lymphoma restricted to the mucosa and other more deep seated lesions (p<0.006). However, using multivariate analysis, the only predictive factor of regression was the absence of nodal involvement (p<0.0001).

**Conclusion**—In *H pylori* positive patients with localised gastric MALT lymphoma, carefully evaluated and treated without any lymph node involvement assessed by endoscopic ultrasonography, complete remission of lymphoma was reached in 79% of cases.

Gastric low grade B cell lymphomas arising from mucosa associated lymphoid tissue (MALT) are the most frequent lymphomas among those located in the primary digestive tract. There is now evidence supporting the role of *Helicobacter pylori* infection in the development of these gastric lymphomas (GLs). Indeed, *H pylori* provides the antigenic stimulus which is mediated by mucosal T cells for sustaining growth of gastric MALT lymphoma. Since the first cases of GL regression after such eradication were reported in 1993, various remission rates of 41–100% have been published for several low grade GL series. These variations may be due to the heterogeneity of these series, particularly as regards clinical presentation and tumour characteristics. In fact, data are sometimes lacking concerning *H pylori* infection status and/or tumour extension and stage. The aim of this prospective study was therefore to determine, in consecutive patients with precise inclusion criteria, the effect of eradication of *H pylori* infection on low grade localised MALT GL, and to define the predictive factors of lymphoma regression.

**Patients and methods**
From June 1995 to July 1998, consecutive patients with localised low grade MALT GLs were prospectively enrolled in a multicentre study by the French Groupe d’Étude des Lymphomes Digestifs (GELD). Informed consent was obtained from all patients and the study was approved by the local ethics scientific committee.

**PATIENTS AND INCLUSION CRITERIA**
Patients suspected of having a histological diagnosis of low grade GL on the basis of routine endoscopic biopsies were asked to participate in the study. A second gastroscopy allowed the biopsy of 8–10 tissue specimens which were placed in neutral buffered formalin and Bouin’s fluid or frozen before *H pylori* treatment. The diagnosis of GL was confirmed by a

**Abbreviations used in this paper:** MALT; mucosa associated lymphoid tissue; GL, gastric lymphoma; EUS, endoscopic ultrasonography; CT, computed tomography; PCR, polymerase chain reaction.
review committee of eight pathologists, according
to Isaacson's criteria and Wotherspoon's
scoring system, and post-treatment biopsies
were examined by the same panel of patholo-
gists (see appendix for list of pathologists).
Besides the centrocyte-like cell infiltrate, lymphoepithelial lesions were required to confirm the
diagnosis of GL (score 5). *H pylori* was sys-
tematically looked for using the Giemsa stain.
Immunohistochemical analyses were performed on all paraffin embedded biopsies, and included a search with anti-CD20, anti-CD3, and anticytokeratin antibodies to confirm the B cell nature of the lymphoid infiltrate and visualise lymphoepithelial lesions. Detection of B cell monoclonality by polymerase chain reac-
tion (PCR) amplification of rearranged immuno-
globulin heavy chains was performed on all lesioned tissue specimens.

Four other biopsies were obtained separately from the gastric antrum and corpus for assess-
ment of *H pylori* status by histology, tissue culture, and PCR, together with blood sampling for *H pylori* serology. Histological specimens were stained with haematoxylin eosin and Giemsa.

For culture, tissue biopsies were grounded, plated on three media (two selective and one non-selective), and incubated in a microaero-
bic atmosphere at 37°C for 7–10 days. *H pylori* was identified on the basis of positive oxidase, catalase, and urease tests. Susceptibility to clarithromycin and metronidazole was studied using the E test and by absence of growth on an agar containing 8 mg/l of that compound, respectively. For PCR, primers on the urease gene were used and amplified products were detected on agarose gels. IgG specific for *H pylori* were tested using the Pyloriset-EIA-G kit (Orion Diagnostica, Espoo, Finland) and immunoblotting was performed with the Helicoblot 2.0 kit (Genelabs, Geneva, Switzerland). CagA status, which was detected by immunoblotting analysis of the patient’s sera, was determined from the presence of a 120–130 kDa band. Both kits were used according to the manufacturer’s recommenda-
tions.

*Extension* of the disease was defined accord-
ing to the Ann Arbor staging system, modified by Musshoff: staging procedures included recording of the patient’s physical examination, ileocolonoscopy together with upper gastro-
testinal tract endoscopy, small bowel and chest radiography, abdominal computed tom-
ography (CT scan), Waldeyer’s ring examination with endoscopy and biopsies or CT scan, and bone marrow biopsy. Gastric endoscopic ultrasonography (EUS) was always performed initially and after treatment, by experienced investigators and the data reviewed by the referring endoscopist (LP). The depth of infil-
tration was evaluated as previously described. Lymph nodes detected around the duodenum and stomach were considered as infiltrated by lymphoma at EUS if they were spherical, hypoechogenic, and 1 cm or more in diameter. The echo endoscopists were blinded as to the detailed results of histology, and the patholo-
gists were blinded to the results of EUS staging.

Final inclusion criteria were as follows: histologically proved low grade MALT GL (score 5) with a localised tumour at stage I<sub>E</sub> (parietal involvement) or II<sub>E</sub> (contiguous nodal involvement) based on abdominal CT scan. Consecutive patients were included, whether or not histological studies showed the presence of *H pylori*.

**STUDY DESIGN**

After inclusion, each patient was investigated during follow up by the same practitioner and endoscopist. During follow up, endoscopic biopsies were performed as initially, in the area of suspected lymphoma and for *H pylori* detection.

On day 0, all patients started the same anti-*H pylori* treatment for 14 days: 40 mg lansopra-
.zole twice daily, 1 g amoxicillin twice daily, and
and 500 mg clarithromycin twice daily. Compliance was assessed by counting unused medi-
cation. The first endoscopic follow up exami-
nation was performed 30 days after the end of treatment to check both the effectiveness of *H pylori* eradication, as assessed by histology, tissue culture, and PCR, and the absence of mac-
roscopic tumour progression. Those patients with persistent *H pylori* were tested for suscept-
bility to metronidazole and given a second line treatment. Thereafter, patients were followed up by clinical evaluation, upper endoscopy with multiple biopsies for histology, bacteriology and molecular study, and EUS every four months during the first year, and every six months thereafter.

*H pylori* eradication (responder) was consid-
ered successful if there was regression of the initial endoscopic lesion and the histological lymphoid infiltrate. Histological regression of the lymphoid infiltrate was diagnosed when a score of 0–3 was observed, based on the score proposed by Wotherspoon *et al*. This indicated that there was no evident remnant of atypical lymphoid cells. When a lymphomatous infiltrate remained according to this score (score 5), even without lymphoepithelial lesions (score 4), the patient was not considered to be in remission. For all patients, lymphoma regression was checked by at least three series of follow up examinations. Patients with persistence of the initial macroscopic lesions, such as ulcer at four months, or progression or recurrence of the initial tumour pattern at con-
trol endoscopy, or patients whose histological remission was not complete after 12 months, were considered failures in terms of medical treatment. Patients who did not respond to eradication of *H pylori* were referred for total gastrectomy or radiation therapy when surgery was inadvisable or declined by the patient.

**EVALUATION OF RELATED FACTORS OF LYMPHOMA REGRESSION**

Factors considered for evaluation were: (1) clinical features such as age and sex; (2) endo-
coscopic features; (3) presence of *H pylori* infection, according to the results of histology, tissue culture, PCR, serology, and CagA status;
and (4) EUS features—that is, depth of tumour infiltration and nodal or adjacent organ involvement.

Studies on monoclonality of lymphomas did not affect either the evaluation of the results or therapeutic strategy.

### Statistical Analysis

For comparison of responders to treatment versus non-responders by bivariate analysis, the \( \chi^2 \) test was used for categorical variables, and continuous or ordinal variables were compared using the non-parametric Mann-Whitney test. Variables related to response with a \( p \) value less than 0.2 were introduced into a logistic regression model. Backward and forward stepwise procedures were performed and interaction terms were also tested. A \( p \) value of less than 0.01 was considered statistically significant in the final analysis.

### Results

#### Initial Presentation

Of the 70 consecutive patients initially enrolled in the study, six refused to give informed consent to participate, two had associated Waldenström's ring lymphoma after staging, and 14 were considered diagnostic errors after the pathologists' reviews of their cases. Six of 14 had \( H. pylori \) gastritis and eight had high grade MALT lymphoma. Of the remaining 48 patients included in the series, two were lost to follow up two and six months after diagnosis. Two other patients were withdrawn from the study because their \( H. pylori \) status could not be assessed (negative histology and serology not tested). Thus 44 localised low grade GLs in 29 men and 15 women were finally evaluated in 17 different centres. Mean age was 56 years (median 58 (range 21–76)). All 44 patients were symptomatic at presentation. Most endoscopic lesions were ulcers (n=31), erythema (n=4), sometimes with erosions (n=6), and thick folds or tumours (n=9). Initial clinical staging with CT scan revealed 35 stage I \( E_0 \) cases and nine stage I \( E_1 \) cases. EUS displayed a normal appearance or thickening confined to the mucosa in 20 cases, invasion of the submucosa in nine cases, of the muscularis propria in eight, and of the serosa in seven. In addition, suspicious enlarged parastrachial lymph nodes were detected by EUS in 15 of these 44 patients, hence revealing with this technique 15 stage I \( E_1 \) cases. PCR disclosed a monoclonal band in 34/41 (83%) patients.

\( H. pylori \) infection was detected by histology and serology in 32 (73% of 44 tested) and 30 (70% of 43 tested) patients, respectively, while PCR and tissue culture were positive in only 15 (40%) and 14 (38%) of 38 and 37 tested cases, respectively. None of the patients with negative results for histology or serology was positive for tissue culture or PCR. Serology by ELISA and immunoblotting gave the same results. Two patients with negative histology had positive serology and conversely two with positive histology had negative serology. \( H. pylori \) status was thus assessed in 44 cases by a positive result for histology and/or serology: 34 cases (77%) were considered \( H. pylori \) positive. Sixteen of these 34 cases were colonised by CagA positive strains. Clinical, endoscopic, and EUS features at presentation according to \( H. pylori \) status are reported in table 1. There was no significant difference in the initial presentation or local staging according to \( H. pylori \) status of patients.
initial tumour, a surgical specimen revealed a high grade component lymphoma.

PREDICTIVE FACTORS OF OUTCOME

We focused our evaluation of predictive factors of lymphoma regression on the *H pylori* positive group. A marked male predominance was observed in the non-responder group whose mean age was significantly more advanced than that of the responders. Of the 16 patients colonised by CagA positive strains, eight were responders and eight were non-responders. For endoscopic features, there was no difference between the two groups. Table 1 shows the relation between features of pretreatment EUS and the response of the lymphoma to treatment. The absence of lymphoma regression was related to depth of parietal infiltration (p<0.005), with a better chance of GL regression when EUS was normal or showed only mucosal involvement (fig 1). The response rate was therefore highest for the mucosa (78% of cases) and then decreased markedly to 43%, 20%, and 25% for the submucosa, muscularis propria, and serosa, respectively. There was a significant difference between the response rates of GLs restricted to the mucosa and other more deep seated GLs (p=0.006). The frequency of nodal involvement increased with depth of infiltration. However, in three non-responders, nodal involvement at EUS was observed whereas there was limited parietal infiltration. When nodal involvement was observed by EUS, no response was noted. The remission rate for the 24 patients without any nodal enlargement at EUS was 79% (95% confidence interval 63–95%).

In the multivariate analysis of these 34 patients, the only remaining predictive factor of complete lymphoma regression was absence of nodal involvement at EUS (p<0.0001).

**Discussion**

To our knowledge, our prospective study, together with that of Steinbach et al, are the only attempts at combined analysis in consecutive patients of the clinical, endoscopic, bacteriological, and histological features which may affect complete regression of low grade MALT GL after *H pylori* eradication.11 In the literature, tumour regression after eradication of *H pylori* with a similar median follow up varied markedly from 41% to 100% (table 2).11 In the present study, complete regression of histological lesions was obtained in 43% of cases and concerned all localised tumours in stages I and II, whatever their *H pylori* status.

The apparent discrepancies between remission rates in these different series may be due to the heterogeneity of the GLs selected. In relation to this, five main factors should be considered: (1) histological criteria for diagnosis; (2) *H pylori* status; (3) demographic features; (4) initial clinical stage of tumour and modality of its evaluation; and (5) follow up of patients.

**HISTOLOGICAL CRITERIA FOR DIAGNOSIS**

Histologically, it may be difficult to distinguish between the florid reactive lymphoid infiltrate...
of *H pylori* gastritis and the infiltrate characterising low grade MALT GL, as shown here in the six patients with *H pylori* gastritis who were erroneously thought to have GL. The morphological hallmark of MALT GL is proliferation of centrocyte-like cells and epithelial destruction that causes lymphoepithelial lesions detected on histological examination.1 2 8 These criteria were defined by Isaacson,1 and according to the score of Wotherspoon and colleagues,9 only score 5 tumours can be considered as confirmation of the diagnosis. Inclusion of score 4 GL (4, strongly suspected lymphoma on the basis of dense lymphoid infiltrate) may have contributed to the higher response rates of 84% and 100% of some studies.9 10 Savio et al also suggested that the large number of endoscopies performed with systematic biopsies in their institution together with minimal endoscopic abnormalities might account for their earlier detection of the disease and therefore the higher percentage of responses obtained. In the present study, mononuclear proliferation was detected in 38/46 GLs (that is, 83%), a rate similar to those reported in the literature.7 18

Conversely, accurate histological grading, especially recognition of relevant high grade components, is sometimes difficult,9 as shown in the present study in which eight cases of high grade lymphoma were initially enrolled but were not included after review by the committee of pathologists. The results of the German studies also illustrate this difficulty9 17; among their non-responders who were referred for surgery, histological analysis of surgical specimens revealed high grade lymphomas in deeper layers than the mucosa, and it is recognised that high grade lymphomas do not generally respond to *H pylori* eradication.20 It could be argued that the higher rate of failure in our study was due to the presence of an underlying high grade component. In fact, only one of our gastrectomy specimens exhibited both low and high grade components. The only patient with a high grade component had a large ulcerated tumour at endoscopy with extensive layer infiltration at EUS. We agree with Taal and colleagues21 that such transformation must be suspected when the endoscopic lesion has the features of a large GL, and when EUS indicates the corresponding pattern of the tumoral infiltration. Moreover, in the present study, the initial endoscopic features were not predictive of the response after anti-*H pylori* treatment. However, Steinbach et al reported a more favourable response of tumours of the distal stomach compared with tumours of the proximal stomach.11 Finally, our experience indicates that as long as a sufficient number of biopsy specimens (at least 10 according to our standardised protocol) are taken and are reviewed by experienced pathologists, the possibility of a high grade lymphoma can be virtually ruled out.

**H pylori status**

In the present study, the hallmark of *H pylori* infection was not only the presence of bacteria on histological preparations but also positive serology, which proved effective and must therefore be considered. Indeed, in this study, one of the responders had positive serology but no *H pylori* on histological samples, probably because they had already been given antibiotics or were receiving antisecretory therapy for ulceration at the time of lymphoma diagnosis. As a result, the final proportion of low grade GL patients with a *H pylori* infection was 77% (34 of 44 tested). As in most previous studies of low grade GL, we did not find any relationship between CagA positive *H pylori* strains and the lymphoma. Moreover, no significant association was found between the presence of CagA positive *H pylori* strains and outcome of GL. The absence of lymphoma regression in our 10 *H pylori* negative patients (40% of all non-responders) is indirect evidence of the accuracy of histology and serology for determination of *H pylori* status.

Our non-responders included all 10 who were *H pylori* negative. Similar results were reported in the series of Steinbach et al for six *H pylori* negative patients.11 These cases may have been associated with other types of GLs not linked to *H pylori* infection, or to more advanced tumours. Other gastric *Helicobacter* may have been involved but not detected by the methods used. However, the absence of regression of these tumours after antibiotic treatment argues against this hypothesis. The results of Nakamura et al suggested that the presence of *H pylori* in biopsy samples was likely to be associated with early stage GL.22 It is interesting that in the latter study, non-
responders were older than responders. When a careful search is made for *H pylori* infection, a negative result could avoid not only a useless eradication procedure but also a prolonged follow up. However, the absence of *H pylori* on histological gastric biopsies does not necessarily imply the absence of recent *H pylori* infection. Therefore, in clinical practice we strongly recommend serological testing in the event of negative histology.

DEMographic FEATURES

Patients responding to treatment were statistically younger than non-responders. Non-responders were predominantly male. We found no data in the literature concerning these points except that in nodal non-Hodgkin’s lymphoma, male sex is associated with a worse prognosis.

INITIAL STAGE OF THE TUMOUR

The initial stage of the tumour may also affect the results of treatment. Here, some of the non-responders probably had more advanced lesions. Indeed, in our study, remission increased from 56% to 79% when only the 24 *H pylori* positive patients with stage I, GLs were considered. These results are comparable with the 80% regression rate obtained by Neubauer and colleagues. In both series, the score required for inclusion was 5 in patients with stage I, GL, as evaluated by initial histology, and clinical staging evaluated by EUS (present study) or CT scan. However, we cannot explain the lower rate of complete remission (56%) obtained by Steinbach et al in their stage I, patients assessed by either EUS or CT scan. Nobre Leitao et al, who obtained a regression rate of 100%, selected their patients using CT scan together with gastric EUS. They argued that non-responders in other series may initially have had more advanced lesions than those reported with possible nodal involvement, and that EUS had not been systematically included in the management of these patients. In the present study, EUS was performed in all cases, and was also much more sensitive than CT scan. The fact that most of the 15 patients with stage II, GL underwent gastrectomy prompted us to verify that EUS had good sensitivity in detecting lymph node involvement. Its value for such detection was also demonstrated in our previous study.

Discrepancies between different response rates may be due not only to nodal involvement but also to the depth of wall invasion. Eidt et al demonstrated that the probability of lymph node infiltration increased when it was correlated with the depth of gastric wall infiltration. It is interesting to note that in the present study, one of the GLs with invasion restricted to the mucosa had nodal involvement (fig 1). The predictive role of EUS has only been studied in two series of 22 and 17 patients with MALT GL who were given anti-*H pylori* treatment. Complete regression of MALT lymphoma was significant and mainly observed when the GL did not infiltrate deeper than the mucosa or submucosa. In our experience, only patients with tumour invasion restricted to the mucosa had a significant probability of regression (78% of our cases). If the submucosa and deeper layers are involved, the GL is less likely to regress after *H pylori* eradication.

The high rate of response in other published series probably corresponds to early GL with a limited depth of gastric wall infiltration, but this has not always been evaluated.

FOLLOW UP OF RESPONDERS

Among the 34 patients who were *H pylori* positive, complete histological lymphoma regression was noted in 19 cases (56%) after a median period of six months, which is similar to that reported elsewhere (table 2). It is interesting to note that in our experience, as in others, complete responses may only occur after 18 months of follow up. In most series, as in the present one, the median period of observed continuous complete remission was about two years. Here, among the GLs which regressed, we noted persistent clones in eight tumours which could suggest a greater probability of relapse of GL, but this has not yet been proved. In fact, Isaacson et al recently reported that in their responders, the monoclonal B cell population could still be present after six years of follow up without any evidence of histological disease. In the present study, two relapses were observed at 12 and 43 months. Such late relapses have also been reported in other series, only one with *H pylori* reinfected, but must be distinguished from an occult residual disease. To prevent this possible sampling error bias as falsely negative biopsy findings, especially when the endoscopic pat-
tern is almost normal after medical treatment (Fig 2), it is worth confirming remission of GL in at least three controls at intervals of four months during the first year of follow up.

Conclusion

This study showed that 56% of localised low grade MALT GLs in H pylori positive patients achieved complete remission at 6–18 months after eradication of H pylori. In such patients, H pylori infection was accurately assessed by gastric histology and serology. None of our H pylori negative GLs (23% of all cases) regressed. This makes systematic eradication of the bacteria, irrespective of H pylori status, of doubtful significance. In carefully selected H pylori positive patients without any lymph node involvement assessed by EUS, complete remission was achieved in 79% of cases. EUS proved more sensitive than endoscopy or CT scan for accurate staging, which was then crucial for predicting tumour response to bacterial eradication. Lymph node involvement, even when paragastric, and/or tumour penetration beyond the submucosa, were indications of little or no response. The persistence of an abnormal B cell clone after H pylori eradication did not appear to affect the prognosis, but longer follow up studies are needed to confirm this.

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