Background and aims: Factors predisposing to endoscopic ulcer formation or healing with non-steroidal anti-inflammatory drugs (NSAIDs) have not been well defined.

Methods: We used multivariate analysis of data from three large similar trials to identify factors associated with endoscopic lesions and healing. We compared the effectiveness of omeprazole 20 mg and 40 mg daily, misoprostol 200 µg four times daily, and ranitidine 150 mg twice daily in healing ulcers and erosions at different sites and in patients who were Helicobacter pylori positive and negative.

Results: Older age, past ulcer history, rheumatoid arthritis, and H pylori infection were significantly associated with ulcers. Duodenal ulcer was significantly more likely than gastric ulcer with a past ulcer history (odds ratio 1.59, 1.16–2.17), H pylori infection (1.4, 1.04–1.92), and male sex (2.35, 1.75–3.16) while female sex, older age (>60 years: 1.39, 1.03–1.88), and higher NSAID dose (>1 defined daily dose: 1.57, 1.16–2.14) were associated with gastric ulceration. Sex differences were seen in both H pylori positive and negative patients. Gastric and duodenal ulcer healing was significantly faster with omeprazole 20 mg than with misoprostol 200 µg four times daily or ranitidine 150 mg twice daily although misoprostol was more effective at healing erosions. Gastric ulcer healing was slower with large ulcers (0.37, 0.25–0.54 for >10 mm v 5–10 mm) or a past ulcer history (0.51, 0.34–0.76), and faster with H pylori infection (1.55, 1.06–2.29), especially with acid suppression (72% v 37% at four weeks with ranitidine).

Conclusions: Among NSAID users, H pylori and male sex independently increase the likelihood of duodenal ulceration. H pylori infection does not affect duodenal ulcer healing and enhances gastric ulcer healing by ranitidine and possibly other acid suppressing treatments.
in our analyses because there was no baseline endoscopy. Patients in the HELP study were not included in the baseline analysis reported in this paper because they were a selected group, but relapse data are analysed in an accompanying paper [see page 336].

Patient eligibility
With the exception of the endoscopic findings that directed patients in the dual participation centres into either the OMNIUM or OPPULENT study, the eligibility criteria were the same for all trials. Patients could participate if they were 18–85 years of age and had any condition requiring continuous treatment with oral or rectal NSAIDs provided this was above treatment with oral or rectal NSAIDs provided this was above 5 erosions in either the stomach or duodenum. OPPULENT patients were enrolled from centres participating in the OMNIUM study. However, not all OMNIUM participants also participated in the OPPULENT study.

Recruitment and definition of patient populations
Potentially suitable patients were approached in primary care and rheumatological clinics. The purpose and nature of the trial was explained to them and those interested in participating were consented and randomised on a double blind basis. Patients entering the OPPULENT trial also continued their NSAIDs and received omeprazole or placebo on a randomised double blind basis.

Statistical approach
Risk modifiers for the presence of clinically significant lesions at baseline were assessed by odds ratios (OR), together with their 95% confidence intervals (CI). These were calculated using unconditional multiple logistic regression, fitted by the method of maximum likelihood to allow for several possible confounding factors. The terms included in the regression model for each end point are described below. Risk modifiers for overall treatment success and for healing of specific lesions during the trials were evaluated by a similar approach. A proportional odds model was used with graded time of healing (four weeks/eight weeks/unhealed) as the dependent variable.

End points analysed
Baseline lesions
Using data from cohort 2, we investigated whether patients found with sufficiently high levels of baseline pathology to enter the OMNIUM study differed from those who entered into the OPPULENT study because they had lower levels of gastroduodenal injury. The factors investigated were identified prospectively and comprised age, sex, smoking status, indication for NSAID, past history of peptic ulcer, Helicobacter pylori status, number of defined daily doses (DDD) of NSAIDs taken per day, and concomitant steroid use. We next analysed the much larger data set of cohort 1, comprising patients with clinically significant baseline lesions, to investigate whether there were differences between those with gastric ulcers, duodenal ulcers, or only erosions. The same statistical approach was used as for the comparison of clinically significant versus lesser lesions, and the same factors were entered into the multivariate analysis.

Treatment success and ulcer healing
Treatment success was the primary end point of both the OMNIUM and ASTRONAUT studies and was a composite of endoscopic healing and dyspeptic symptom control. To achieve treatment success, patients had to have no ulcer and <5 erosions in either the stomach or duodenum, and to have no more than mild dyspepsia. This composite end point was chosen to reflect the range of effects of NSAIDs in patients. Because only 13 of 1456 patients failed due to symptoms alone, it was possible to analyse healing of endoscopic lesions without the confounding that would have occurred if unresolved dyspepsia had been a frequent cause of treatment failure. This was done both for overall rates of healing and for healing of individual lesions (gastric ulcer, duodenal ulcer, or erosions). The factors entered into the multivariate analysis of healing were those used for analysis of baseline lesions (see above) plus the identity of the lesion at baseline (ulcer with or without erosions versus erosions only), its site, its size (for ulcers), and the trial treatment received.

RESULTS
Demographic features
Cohort 1 consisted of 1456 patients analysed in the healing phase of the ASTRONAUT and OMNIUM studies. A total of 183 of these patients were recruited (into the OMNIUM study) from 15 centres, which also participated in the OPPULENT study (recruiting 150 patients). Since these 333 patients were drawn from a common population and entered into either the OMNIUM or OPPULENT study on the basis of the findings at screening endoscopy, they are analysed as one group (cohort 2).

Demographic characteristics of the patients in cohorts 1 and 2 were similar (table 1, fig 2), as was the distribution of unhealed, 16 weeks. Patients continued to take their NSAIDs throughout the trial. Patients entering the OPPULENT trial also continued their NSAIDs and received omeprazole or placebo on a randomised double blind basis.
Most ulcers (83%) were from the larger population from which cohort 1 was drawn (fig 2). The population from which cohort 2 was drawn was similar to ulcers, and of these, 69% were gastric ulcers, suggesting that cohort 2. Of those with clinically significant lesions 69% had these patients. A very similar pattern was seen in patients in gastric ulcers and duodenal ulcers coexisted in 55 (6%) of 636 had a gastric ulcer (68%) and 359 a duodenal ulcer (38%); only as their primary lesion at entry. Among those with ulcers, cohort 1, 940 had ulcers (65%) and 516 had multiple erosions clinically significant lesions. Of the 1456 patients analysed in 346 Hawkey, Wilson, Næsdal, et al

Factors associated with clinically significant lesions at baseline

Sixty four per cent of smokers had clinically significant baseline lesions (ulcers or multiple erosions) compared with 52% of non-smokers (OR 1.87, 95% CI 1.07–3.34) (fig 3A). Significant baseline lesions tended to be more common in older than younger patients (61% in those ≥60 years vs 50% in those <60 years) and in men (62%) than women (50%), with more duodenal ulcers in men (26%) than women (8%). However, these trends fell short of statistical significance (fig 3A).

Factors associated with ulcers versus erosions at baseline

Among those with clinically significant lesions, logistic regression analysis identified older age, past ulcer history, rheumatoid arthritis, and *H pylori* infection as significantly associated with ulcers at baseline compared with multiple erosions (fig 3B). There was a trend to more ulcers in those using higher NSAID doses (fig 3B). Among those with ulcers, past ulcer history, *H pylori*, and male sex were associated with duodenal ulcer while older age, female sex, and higher NSAID dose were associated with gastric ulcer (fig 3C). Neither the individual NSAID used nor coprescription of corticosteroids influenced the overall risk of finding lesions at baseline or the specific ulcer type.

**Table 1** Demographics and baseline characteristics

<table>
<thead>
<tr>
<th>Age (y)</th>
<th>Cohort 1: H pylori −ve (n=738*)</th>
<th>Cohort 1: H pylori +ve (n= 571*)</th>
<th>Cohort 2 (n=333)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean (SD)</td>
<td>58 (12)</td>
<td>57 (13)</td>
<td>57 (13)</td>
</tr>
<tr>
<td>Range</td>
<td>20–85</td>
<td>20–85</td>
<td>23–85</td>
</tr>
<tr>
<td>Female</td>
<td>333 (23%)</td>
<td>21%</td>
<td>61%</td>
</tr>
<tr>
<td>Male</td>
<td>938 (64%)</td>
<td>68%</td>
<td>196 (59%)</td>
</tr>
<tr>
<td>Previous peptic ulcer</td>
<td>429 (29%)</td>
<td>27%</td>
<td>35%</td>
</tr>
<tr>
<td>Previous dyspepsia</td>
<td>1143 (79%)</td>
<td>77%</td>
<td>82%</td>
</tr>
<tr>
<td>H pylori status</td>
<td>Unknown</td>
<td>147 (10%)</td>
<td>43 (13%)</td>
</tr>
<tr>
<td>Negative</td>
<td>738 (51%)</td>
<td>100%</td>
<td>192 (58%)</td>
</tr>
<tr>
<td>Positive</td>
<td>571 (39%)</td>
<td>100%</td>
<td>98 (29%)</td>
</tr>
<tr>
<td>Arthritic disease</td>
<td>RA</td>
<td>583 (40%)</td>
<td>43%</td>
</tr>
<tr>
<td>OA</td>
<td>606 (42%)</td>
<td>39%</td>
<td></td>
</tr>
<tr>
<td>Other</td>
<td>218 (15%)</td>
<td>15%</td>
<td></td>
</tr>
<tr>
<td>Combination</td>
<td>49 (3%)</td>
<td>3%</td>
<td></td>
</tr>
<tr>
<td>Type of lesion</td>
<td>0–10 erosions</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>GU</td>
<td>581 (40%)</td>
<td>40%</td>
<td></td>
</tr>
<tr>
<td>GU+DU</td>
<td>55 (4%)</td>
<td>3%</td>
<td></td>
</tr>
<tr>
<td>DU</td>
<td>304 (21%)</td>
<td>16%</td>
<td></td>
</tr>
<tr>
<td>Erosions only (&gt;11)</td>
<td>516 (35%)</td>
<td>41%</td>
<td></td>
</tr>
<tr>
<td>NSAIDs (DDD)</td>
<td>Uncertain†</td>
<td>149 (10%)</td>
<td>12%</td>
</tr>
<tr>
<td>—</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>0–1</td>
<td>561 (39%)</td>
<td>34%</td>
<td></td>
</tr>
<tr>
<td>&gt;1</td>
<td>746 (51%)</td>
<td>54%</td>
<td></td>
</tr>
<tr>
<td>Steroids</td>
<td>250 (17%)</td>
<td>20%</td>
<td></td>
</tr>
<tr>
<td>&gt;10 erosions</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
<tr>
<td>Duration of NSAID use (DDD)</td>
<td>0–10 erosions</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>≥11 erosions</td>
<td>—</td>
<td>—</td>
<td></td>
</tr>
</tbody>
</table>

*Helicobacter pylori status unknown in 147 patients.
†In these patients NSAID medication data were too incomplete to derive a DDD of NSAID.
OA, osteoarthritis; RA, rheumatoid arthritis; GU, gastric ulcer; DU, duodenal ulcer; NSAIDs, non-steroidal anti-inflammatory drugs; DDD, defined daily dose.

Factors associated with duodenal ulcer (fig 3C)

*H pylori*

Ulcers were significantly more common in patients infected with *H pylori* (71%) than in *H pylori* negative patients (59%; OR

![Figure 2](http://gut.bmj.com/)

**Figure 2** (A) Endoscopic diagnoses in individual patient populations contributing to those analysed. All patients in the OMNIUM and ASTRONAUT centres that did not participate in the OPPULENT study. (B) All patients in the OMNIUM centres who also enrolled patients into the OPPULENT study. (C) All patients enrolled into the OPPULENT study. Cohort 1 is all patients in the OMNIUM and ASTRONAUT studies. Cohort 2 is all patients recruited into either the OMNIUM or OPPULENT studies in dual participation centres.
1.73, 95% CI 1.36–2.21). This was attributable to an increased prevalence of duodenal ulceration (32% of *H pylori* positive v 19% of *H pylori* negative patients; OR 1.41, 95% CI 1.04–1.93). Nevertheless, *H pylori* negative individuals accounted for 43% of all duodenal ulcers.

**Sex**

A total of 150 of 518 men (29%) had a duodenal ulcer only compared with 154 of 938 women (16%), representing an OR of 2.40 (95% CI 1.78–3.25) for finding a duodenal ulcer in men compared with women. Duodenal ulcer was commoner in men than women in *H pylori* negative (22% v 13%) as well as *H pylori* positive (35% v 21%) patients. Men were also significantly more likely than women to have duodenal versus gastric erosions (see below).

**Pepptic ulcer history**

Patients with a peptic ulcer history were more likely to have an ulcer than multiple erosions (OR 1.73, 95% CI 1.34–2.25). Among those with ulcers, those with a past ulcer history were more likely to have a duodenal ulcer (40.8% of ulcers v 28.1% of ulcers in those without a past ulcer history; OR for duodenal ulcer v gastric ulcer 1.61, 95% CI 1.18–2.22)

**Factors associated with gastric ulcer** (fig 3C)

**Age**

Patients aged ≥60 years were significantly more likely to have an ulcer than erosions compared with those <60 years of age, although the difference was not large (67% v 62%; OR 1.29, 95% CI 1.02–1.63). Patients ≥60 years were more likely to have a gastric ulcer (71% of ulcers) than a duodenal ulcer (29% of ulcers) compared with those <60 years (64% gastric ulcer, 36% duodenal ulcer; OR for gastric ulcer v duodenal ulcer in older patients 1.37, 95% CI 1.01–1.86).

**H pylori.**

The prevalence of gastric ulcers was similar among *H pylori* negative (43%) and positive (44%) patients.

**NSAID dose**

Patients taking >1 DDD of NSAID were more likely to have a gastric ulcer (71%) than those taking lower doses (59%; OR 1.57, 95% CI 1.16–2.14).

**Duodenal versus gastric erosions**

In patients entering the trials because of numerous erosions, the stomach was the commonest site (31% v 7% duodenal). *H pylori* infection was associated with a reduction in gastric erosions (25% v 37%). As with ulcers, duodenal erosions were more common in men than women (OR 2.30, 95% CI 1.30–4.09).

**Factors associated with successful treatment** (fig 4)

Patients receiving the different trial drugs were well balanced for all predefined demographic and disease variables. Within each trial, NSAID use was similarly well balanced. However, the individual NSAIDs used differed between the two trials, reflecting different patterns of prescribing in the different participating countries. The NSAIDs most commonly used in the OMNIUM trial were diclofenac (23%), naproxen (22%), and ketoprofen (16%), and in the ASTRONAUT trial diclofenac (29%), indomethacin (23%), and naproxen (16%).

**Overall treatment success**

Overall treatment was more likely to be successful (no ulcer, less than five erosions, and no more than mild dyspepsia) in patients who had gastric erosions or duodenal ulcer at baseline compared with those with gastric ulcer (fig 4). The chance of overall treatment success was lower in men than women (OR 0.79, 95% CI 0.63–0.98) and in those taking higher doses of NSAIDs (0.67, 95% CI 0.54–0.84) (fig 4). These differences appeared to be attributable to delayed healing of erosions (fig 4). Treatment success was also more likely to fail in *H pylori* negative compared with positive patients, reflecting an increased likelihood of gastric ulcer healing in infected patients (fig 4). Overall treatment success was significantly greater with omeprazole 20 mg than with ranitidine, and similar to misoprostol (although this varied with individual lesions, see below).

**Healing of specific lesions**

Figure 4 shows the relationship of prognostic factors to healing of specific lesions.

**Gastric ulcers**

Large ulcers (>10 mm) took longer than smaller ulcers to heal (49% v 70% at four weeks, 71% v 82% at eight weeks; OR 0.37, 95% CI 0.25–0.54). Healing was also slower if patients reported a past ulcer history (54% v 68% at four weeks, 72% v
82% at eight weeks; OR 0.51, 95% CI 0.34–0.76). Conversely, healing tended to be faster with \( H\) pylori infection (66% v 61% at four weeks, 76% v 84% at eight weeks; OR 1.55, 95% CI 1.06–2.29).

Gastric ulcer healing was significantly faster on omeprazole 20 mg than ranitidine (69% v 50% at four weeks, 86% v 64% at eight weeks; OR 2.94, 95% CI 1.54–5.56). A comparison of gastric ulcer healing rates with misoprostol versus omeprazole 20 mg (62% v 69% at four weeks, 73% v 86% at eight weeks) fell just short of statistical significance (OR 0.59, 95% CI 0.35–1.00). Healing rates on omeprazole 40 mg daily were not significantly different from those on omeprazole 20 mg daily.

**Duodenal ulcers**

In contrast with gastric ulcers, ulcer size, past ulcer history, and \( H\) pylori were not significant influences on the rate of duodenal ulcer healing (fig 4). Corticosteroid use appeared to be associated with significantly faster duodenal ulcer healing (93% v 76% at four weeks, 96% v 85% at eight weeks; OR 4.68, 95% CI 1.44–13.1) compared with non-use of such medication. As we had no prior hypothesis about this, the result should be considered a hypothesis for further study.

Healing with omeprazole 20 mg was significantly faster than with misoprostol (83% v 60% at four weeks, 93% v 78% at eight weeks; OR 2.86, 95% CI 1.25–6.67). The difference between ranitidine and omeprazole did not reach statistical significance (74% v 83% at four weeks, 81% v 93% at eight weeks; OR 0.47, 95% CI 0.16–1.39). Duodenal ulcer healing on omeprazole 40 mg daily was not significantly different from that seen on omeprazole 20 mg daily.

**Gastric erosions**

Men had slower healing of gastric erosions (74% at eight weeks) compared with women (86%; OR 0.54, 95% CI 0.35–0.83), as did those taking >1 DDD of NSAIDs (79% at eight weeks) compared with those taking lower doses (88%; OR 0.69, 95% CI 0.45–1.06) (fig 4).

Healing of gastric erosions was faster in patients receiving misoprostol compared with omeprazole 20 mg (OR 2.32, 95% CI 1.27–4.37).

**Interaction between \( H\) pylori and individual healing agents**

\( H\) pylori had a significant effect on healing of gastric ulcers but not duodenal ulcers or gastric erosions (figs 4, 5). It appeared to enhance healing by acid suppression but retard healing by misoprostol. However, the difference only reached statistical significance in patients receiving ranitidine (72% v 37% at four weeks, 84% v 51% at eight weeks; OR 4.66, 95% CI 1.69–14.07) (fig 5). Healing of large gastric ulcers (>10 mm) was retarded, particularly in \( H\) pylori negative patients (overall 34.1% at four weeks for \( H\) pylori negative and 46.2% for \( H\) pylori positive).

**DISCUSSION**

One problem in assessing the effects of NSAIDs in endoscopic studies is patient selection. Most studies have reported data concerning patients presenting for endoscopy where selection on clinical grounds may strongly influence the pattern of lesion detected. In our studies we employed an active recruitment policy. Although it is known that low level dyspeptic symptoms may influence the willingness of patients to participate in endoscopically controlled NSAID studies, it is nevertheless likely that our data would be similar to those found in an entirely unselected population as such low level symptoms do not appear to have a major effect on the likelihood of finding endoscopic lesions. The data presented for cohort 2 are essentially derived from a group of patients eligible to enter one of the studies in our programme of research regardless of their endoscopic findings. These patients in cohort 2 had similar demographic and endoscopic findings with comparable patients in the major study population, suggesting that the data on patients with lesions are representative of such patients in a wider population.
The most striking results from our analysis of baseline data suggest that sex and *H. pylori* status are the main influences on the type of lesions seen in patients using NSAIDs. Male sex and *H. pylori* both appeared to act independently to increase the chances of ulceration compared with erosions and to favour localisation of both ulcers and erosions to the duodenum compared with the stomach. Previous studies of ulcer patients showing male predominance for duodenal ulcer disease have not been able to separate the influence of sex from higher infection rates in men. Possible mechanisms include protection of women against duodenal ulceration by oestrogens. Although most of our patients were postmenopausal, prior ulceration enhances the risks of site specific recurrence. Another factor may relate to effective dose in our studies; women received the same defined daily dose of NSAID (mean 1.52 (SD 0.82)) as men (1.56 (0.94)), representing a 12.6% higher dose per body weight, which may enhance the risk of gastric ulcer as it is dose dependent. Against these fundamental sex differences, *H. pylori* further modulates the pattern of disease expression by promoting duodenal pathology by the same mechanisms that act in patients not using NSAIDs, while protecting against NSAID specific gastric lesions, for example by promoting prostaglandin synthesis. Despite the association with *H. pylori*, 43% of all duodenal ulcers occurred in patients not infected with *H. pylori* showing that NSAIDs can cause both gastric and duodenal ulcers in its absence. This undermines previous arguments that the effects of NSAIDs in duodenal ulcer disease are simply to exacerbate previous ulceration.

As in previous studies, treatment was overall more likely to be successful for duodenal ulcers than gastric ulcers, and also with multiple erosions as the only lesion. However, healing of erosions was less likely to be successful if high doses of NSAIDs were used. The other significant non-drug influences on lesion healing were ulcer size, past ulcer history, age, *H. pylori*, sex, and steroids. Gastric ulcer healing was retarded with larger ulcers, a past history of ulceration, and in older patients, and was accelerated by *H. pylori* infection. Most ulcers were \( \geq 5 \) mm. Apart from retardation of healing, there was little evidence that the pattern of disease with larger ulcers differed from the group overall, although the relatively small number of 3–4 mm ulcers means we cannot be sure that these small ulcers behave differently from what we report here.

Why healing of erosions (but not other lesions) was slower in men than women is unclear and this may have been a chance finding. Also, apparently paradoxical was the finding that healing of duodenal ulcers was faster in patients taking corticosteroids. At first sight, this finding may appear to conflict with previous studies suggesting that use of corticosteroids magnifies the risk of ulcer complications in patients taking NSAIDs. However, in our studies, patients receiving corticosteroids could only enter if they were using low doses equivalent to 10 mg of prednisolone or less while epidemiological data suggest a greater adverse effect with higher doses. In experimental ulcers caused by trinitrobenzene, corticosteroids have been reported to improve healing rates, although the mechanism is not known. These apparent phenomena should be regarded as hypotheses for future study.

The finding that omeprazole was more effective than misoprostol in healing ulcers while the reverse was true for gastric erosions strongly supports previous suggestions of a two component process in the development of NSAID associated ulcer disease. Animal studies suggest that prostaglandins maintain gastric mucosal barrier function, possibly as a result of their influence on microvascular flow and by paracrine influences from myofibroblasts. Inhibition of prostaglandin synthesis by NSAIDs leads to early microscopic breaches of the mucosa. These subsequently progress by a process of deepening under the influence of acid peptic attack in the stomach or other factors such as infection in the small intestine. In the stomach, it appears necessary to reach a pH minimum of four to prevent this acid peptic attack, an observation consistent with the greater effect of omeprazole than ranitidine on both ulcers and erosions. Other studies suggest that higher than normal doses of \( H_2 \) antagonists are of greater efficacy than seen in our studies. The importance of achieving quite profound inhibition of acid would also explain why acid suppression was more effective in *H. pylori* positive patients as it causes a much greater reduction in intragastric acidity in such patients. Whether NSAID associated ulcers would heal faster and remain in remission more securely if treated with both acid suppression and misoprostol, perhaps in low doses, is not known but plausible and worth investigation.

Figure 5  Healing of individual lesions by *Helicobacter pylori* status. O20, omeprazole 20 mg daily; O40, omeprazole 40 mg daily; Miso, misoprostol 200 \( \mu \)g four times daily; Ran, ranitidine 150 mg twice daily. (Note the Y axis starts at 40%).
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