Approximately half of patients with functional dyspepsia are infected with *Helicobacter pylori.* The key question in the treatment of *H pylori* infected patients with functional dyspepsia is whether cure of *H pylori* infection improves dyspeptic symptoms. In the last few years, several large, randomised, double blind, controlled trials have been performed which produced conflicting results. If any, the symptomatic benefit of *H pylori* eradication appeared to be very modest, as has also been shown in a meta-analysis of these data. However, in contrast with common practice, patients included in these studies did not receive pretreatment with standard drugs such as prokinetic or acid inhibitory drugs. In addition, exclusion of patients who responded to acid reducing drugs may facilitate the detection of a potential effect of *H pylori* treatment. Thus we have conducted a study in patients with functional dyspepsia who were resistant to standard treatment.

**BACKGROUND:** Previous studies on the treatment of *Helicobacter pylori* infection in functional dyspepsia have shown little, if any, effect on dyspeptic symptoms. However, whether such treatment might be of benefit in patients resistant to acid inhibitors has not been formally tested. **AIM:** The present study investigated the effect of *H pylori* treatment in patients with functional dyspepsia resistant to conventional treatment. **PATIENTS:** A total of 181 *H pylori* positive patients with chronic functional dyspepsia who had not responded to a one week antacid run-in and two week double blind antisecretory or placebo treatment were included. **METHODS:** Patients were randomised to two weeks of treatment with omeprazole 40 mg twice daily combined with amoxicillin 1 g twice daily or omeprazole 20 mg once daily alone. The primary outcome variable ("response") was defined as no need for further therapy or investigations for dyspeptic symptoms 4–6 months after treatment. **RESULTS:** *H pylori* infection was healed in 10% of patients after omeprazole and in 52% after omeprazole plus amoxicillin. The respective "response" rates were 66% and 62% (NS). *H pylori* treatment and cure of *H pylori* infection had no effect on complete resolution of all dyspeptic symptoms, individual symptoms, or various aspects of quality of life. **CONCLUSION:** In functional dyspepsia, *H pylori* treatment and cure of *H pylori* are no more effective for symptoms over six months than short term acid inhibition. These results do not support treatment of *H pylori* in functional dyspepsia.

**PATIENTS AND METHODS**

**STUDY PROTOCOL**

This investigation was a multicentre, double blind, randomised, clinical trial with parallel groups, carried out according to Good Clinical Practice and the revised Declaration of Helsinki. The ethics committees of all German states approved the protocol, and all patients participating gave written informed consent. Patients were recruited between August 1994 and July 1996.

**SELECTION OF PATIENTS**

*H pylori* positive patients, more than 18 years of age, with chronic therapy resistant (see below) functional dyspepsia were recruited from 46 private gastroenterological practices in Germany. *H pylori* status was ascertained in all patients using both the rapid urease test (HUT; AstraZeneca GmbH, Wedel, Germany) and the 13C urea breath test (13C-UBT). In the event of divergent results, the 13C-UBT test result was decisive. All patients had participated in a previous trial on the effect of acid inhibitory treatment in chronic functional dyspepsia (fig 1). Chronic functional dyspepsia was defined as severe epigastric symptoms, present for the last month, in the absence of organic disease known to produce epigastric symptoms. Organic disease was excluded by means of gastroscopy (normal findings except for hiatal hernia, mucosal erythema, less than 10 gastric erosions, and minor deformation of the pylorus and duodenal bulb), laboratory tests, and sonography (normal findings except for minor hepatic steatosis, small uncomplicated liver cysts, and small haemangiomas). Initial dyspeptic symptoms had to be severe enough to require management (defined as treatment other than liquid antacids and/or endoscopy or other diagnostic tests). In this previous study, patients with antacid resistant severe functional dyspepsia had been randomised to two weeks of treatment with omeprazole 20 mg once daily, omeprazole 10 mg once daily, ranitidine 150 mg at bedtime, or placebo in a double blind, double dummy manner. If at the end of two weeks they still had symptoms requiring therapy, or symptoms reappeared within six months of completing the randomised treatment, they were eligible for the present study (fig 1). When the period between screening for the previous study...
the treatment period (for example, H₂ receptor antagonists, cation. No other treatment for dyspepsia was allowed during den). Drug intake was monitored by counting returned medi-
ates); and more than 80 ml of ethanol daily.
results) were used for a second logistic regression analysis
while being admitted to the hospital were classed as treatment failures.

Primary and secondary outcome criteria
The main outcome criterion was dyspepsia during the last three months of follow up; treatment success was defined as lack of dyspeptic symptoms requiring management (defined as treatment other than liquid antacids and/or diagnostic tests including endoscopy). A clinically relevant difference in response rates on the primary outcome criterion at the end of the six months of follow up was defined as 20% (60% without, 80% with H pylori treatment). In order to confirm such a difference, accepting a β error of 0.20 and an α error of 0.05 (Fisher’s exact test, two sided), the required number of patients per group in an intention to treat analysis was 91.

Secondary outcome variables included: time until relapse—that is, lack of overall response during the six months of the follow up period; gastrointestinal symptoms according to the investigator’s judgement as well as the patient’s opinion; and quality of life parameters. At each visit, specific symptoms were elicited: epigastric pain or burning, epigastric pressure or fullness, heartburn, acid regurgitation, nausea and/or vomiting, pain in the lower abdomen, flatulence, diarrhoea, and constipation. The severity of individual symptoms during the previous week was graded according to a scale from 0 to 3 (0=no complaints, 1=complaints not interfering with daily activities and not requiring treatment, 2=complaints requiring treatment but not interfering with daily activities, and 3=complaints interfering with daily activities and requiring treatment). In addition, patients answered the question “How were your symptoms in the area of the oesophagus and stomach?” and “How was your general condition during the last seven days?” by making a mark on a 10 cm visual analogue scale (best possible condition 10 cm, worst condition 0 cm). Quality of life dur-
ing the previous week was assessed using a validated question-
naire adapted to German lifestyle.1112 This form contained 40 general items relating to physical strength, ability to enjoy and relax, positive mood, absence of negative mood, social contacts, and social well being. An additional questionnaire consisted of nine questions that had been validated in Germany by Eypasch and colleagues,13 and which related to impairment of quality of life by dyspeptic symptoms. They assessed the influence of dys-
peptic symptoms on eating, other daily activities, social contacts, sleep, and fears of serious disease. Finally, the patient’s time spent off work and/or in hospital was recorded.

Data management and statistics
Data were transferred to and analysed by an independent sta-
tistical institute (Institute for Numerical Statistics, Cologne, Germany). Both an intention to treat (ITT) and per protocol (PP) analysis were performed, and all outcome variables were analysed by treatment group and by the C-UBT result (four weeks after the end of treatment).

The following 13 characteristics were selected prospectively for univariate and logistic regression analyses using the main outcome criterion as the dependent variable: age, sex, foreign nationality (country of birth outside of Germany), overweight (body mass index >27.8 kg/m² for males and >27.3 kg/m² for women), daily smoking, daily alcohol drinking, previous dys-
pepsia (dyspeptic symptoms before the present painful episode), duration of dyspepsia (>2 years), presence of reflux symptoms (acid regurgitation and/or regurgitation), severity of dyspepsia (visual analogue scale), general condition (visual analogue scale), cure of H pylori infection, and treatment group. The same independent variables (except C-UBT result) were used for a second logistic regression analysis using cure of H pylori infection as the dependent variable.

All analyses were based on SAS (version 6.11) and SPSS (version 7.5) for Windows.
Assignment
The randomisation list was computer generated in blocks of two; the block size was kept secret until the code was broken for analysis. The allocation sequence was concealed in sequentially numbered sealed opaque envelopes. Complete sets of envelopes were kept at Astra Hässle AB and at the Institute for Numerical Statistics. The blocks were consecutively ordered by random number. Each centre received complete blocks together with the corresponding sealed envelopes containing the treatment allocation; in no case was the code broken prematurely. Patients fulfilling all entry criteria (with the exception of the \(^{13}\)C-UBT result, which became available to the investigators with a few days delay) were allocated a random number, and treatment was started. Patients with a negative \(^{13}\)C-UBT result were excluded from analysis.

Blinding
The randomised study treatment was given in a double blind double dummy manner using matching placebo preparations. Patients in the OM+AMO group received omeprazole capsules (40 mg twice daily) plus amoxicillin tablets (1 g twice daily) and those in the OM group received omeprazole capsules (20 mg once daily) plus omeprazole placebo capsules, and amoxicillin placebo tablets (twice daily). Placebo and active medications as well as omeprazole 20 and 40 mg capsules were similar in appearance and taste. The treatment code was broken after clean filing and allocation of individual patients to ITT and PP analyses.

RESULTS
Participant flow and follow up
A full trial profile is given in fig 2. The ITT analysis included 181 patients (OM 92, OM+AMO 89). Patients in the two groups were well matched with regard to demographic characteristics and dyspeptic symptoms (table 1). Intake of less than 75% of the study medication, a reason for exclusion from the ITT analysis, was found in only two patients in the OM+AMO group, indicating good compliance. The PP analysis included 144 patients who completed the study without major deviations from the protocol; 74 in the omeprazole only group and 70 in the combination group (table 2).

Analysis
Effect of treatment on cure of \(H pylori\)
Four weeks after the end of treatment, \(^{13}\)C-UBT values were negative in nine of 92 (10%) patients after omeprazole and in 46 of 89 (52%) patients after omeprazole and amoxicillin treatment (table 3). Analysing only patients with known \(^{13}\)C-UBT results, the respective \(H pylori\) cure rates were 9/78 (12%) and 33/81 (41%).

Symptomatic response during follow up
The results for the primary outcome variable—no need for further management of dyspepsia 4–6 months after the end of treatment—are shown in fig 3. On an ITT basis, 61 of 92 patients (66%; 95% confidence interval (CI) 56–76%) were considered to be “responders” after OM treatment compared with a similar number after OM+AMO treatment (55 of 89 (62%; 95% CI 51–72%; p=0.34, Fisher’s exact test). In addition, no difference was seen when the proportions of patients who were free of any dyspeptic symptoms were compared (fig 3). These results were similar to those of the PP analysis. As the cure rate of \(H pylori\) after OM+AMO treatment was only 46/89 (52%, 95% CI 41–62%), the response rates were also calculated according to the \(^{13}\)C-UBT results after therapy (table 3). The response rate did not differ significantly between patients in whom \(H pylori\) infection was cured (41/55 (75%); 95% CI 61–85%) and those with persistent infection (71/104 (68%); 95% CI 58–77%) (table 3). The seemingly high failure rate of patients with unknown post-treatment \(H pylori\) status is explained by early withdrawal from the study.

The life table curves shown in fig 4 comprise the proportions of patients whose dyspepsia was judged not to require further management at two weeks. The curves indicate the proportion of patients without a first recurrence of dyspepsia requiring management. There was no significant difference between the two treatment groups (fig 4A) or between patients with or without \(H pylori\) infection (fig 4B).

Impairment of general condition, suffering caused by dyspepsia, and individual symptoms
The time course of impairment by dyspepsia and the three most frequent dyspeptic symptoms (epigastric pain or burning, epigastric pressure or fullness, and heartburn)
showed little change between entry into the double blind antisecretory study and entry into the present study (fig 5). However, regardless of treatment group, a dramatic improvement in all parameters ($p<0.0001$) was seen during the treatment phase of the present study, with no further change occurring during the follow up period. The time course of changes within the two treatment groups was almost identical. The prevalence of nausea/vomiting and lower abdominal pain, flatulence, diarrhoea, and constipation was low and did not change during the study.

### Table 1
Comparison of treatment groups. Results are given according to intention to treat

<table>
<thead>
<tr>
<th>Presenting characteristic</th>
<th>OM (n=92)</th>
<th>OM+AMO (n=89)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (y) (mean [SD])</td>
<td>46 [15]</td>
<td>49 [16]</td>
</tr>
<tr>
<td>Sex [% male]</td>
<td>42%</td>
<td>40%</td>
</tr>
<tr>
<td>Body mass index (kg/m$^2$) [mean (SD)]</td>
<td>25 [4]</td>
<td>25 [4]</td>
</tr>
<tr>
<td>Current smoker [%]</td>
<td>21</td>
<td>21</td>
</tr>
<tr>
<td>Alcohol consumption, current [%]</td>
<td>44</td>
<td>51</td>
</tr>
<tr>
<td>Treatment in previous study [%]</td>
<td>27</td>
<td>20</td>
</tr>
<tr>
<td>Omeprazole 10 mg</td>
<td>17</td>
<td>20</td>
</tr>
<tr>
<td>Omeprazole 20 mg</td>
<td>34</td>
<td>24</td>
</tr>
<tr>
<td>Placebo</td>
<td>22</td>
<td>36</td>
</tr>
<tr>
<td>Entering this study from previous study [%]</td>
<td>86</td>
<td>87</td>
</tr>
<tr>
<td>Immediately after treatment period</td>
<td>14</td>
<td>14</td>
</tr>
<tr>
<td>History of dyspepsia [%]</td>
<td>10</td>
<td>15</td>
</tr>
<tr>
<td>&lt;1 y</td>
<td>52</td>
<td>49</td>
</tr>
<tr>
<td>1–5 y</td>
<td>38</td>
<td>36</td>
</tr>
<tr>
<td>&gt;5 y</td>
<td>2</td>
<td>7</td>
</tr>
<tr>
<td>Previous unsuccessful treatment of H pylori [%]</td>
<td>50.5</td>
<td>51.0</td>
</tr>
<tr>
<td>Dyspeptic symptoms (VAS)</td>
<td>48.0</td>
<td>46.0</td>
</tr>
</tbody>
</table>

OM, omeprazole; OM+AMO, omeprazole plus amoxicillin; VAS, median of visual analogue scale values, determined by the patient. There was no significant difference between groups for any of the characteristics.

### Table 2
Major protocol deviations and number of patients in the intention to treat (ITT) and per protocol (PP) analyses

<table>
<thead>
<tr>
<th></th>
<th>OM</th>
<th>OM+AMO</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients in ITT analysis</td>
<td>92</td>
<td>89</td>
<td>181</td>
</tr>
<tr>
<td>Discontinuation due to adverse event</td>
<td>1</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Lost/refused to continue</td>
<td>7</td>
<td>7</td>
<td>14</td>
</tr>
<tr>
<td>Non-compliance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Study medication*</td>
<td>0</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Use of prohibited medication</td>
<td>5</td>
<td>4</td>
<td>9</td>
</tr>
<tr>
<td>Day of visit</td>
<td>2</td>
<td>3</td>
<td>5</td>
</tr>
<tr>
<td>Other</td>
<td>3</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>Total patients with major protocol deviation</td>
<td>18</td>
<td>19</td>
<td>37</td>
</tr>
<tr>
<td>Patients in PP analysis</td>
<td>74</td>
<td>70</td>
<td>144</td>
</tr>
</tbody>
</table>

*More than 25% of study medication was returned. OM, omeprazole; OM+AMO, omeprazole plus amoxicillin. There was no significant difference between the treatment groups.

### Table 3
Patients with no need for further treatment and/or diagnostic tests for dyspepsia during the last three months of the six month follow up according to assigned treatment and to Helicobacter pylori status after treatment

<table>
<thead>
<tr>
<th>H pylori status after treatment</th>
<th>OM</th>
<th>OM+AMO</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cured</td>
<td>8/9</td>
<td>33/46</td>
<td>41/55 (75%)</td>
</tr>
<tr>
<td>Not cured</td>
<td>51/69 [74%]</td>
<td>20/35 [57%]</td>
<td>71/104 [68%]</td>
</tr>
<tr>
<td>Unknown</td>
<td>2/14 [14%]**</td>
<td>2/8 [25%]*</td>
<td>4/22 [18%]**</td>
</tr>
<tr>
<td>Total</td>
<td>61/92 [66%]</td>
<td>55/89 [62%]</td>
<td>116/181 [64%]</td>
</tr>
</tbody>
</table>

*p<0.03, **p<0.0001* compared with patients in the same column with known H pylori status. OM, omeprazole; OM+AMO, omeprazole plus amoxicillin. There was no significant difference between treatment groups (intention to treat analysis).

### Quality of life

One dyspepsia specific and six general aspects of quality of life were assessed. Irrespective of the therapy, every parameter (physical strength, ability to relax and enjoy, positive mood, absence of negative mood, social contact, social well being, and absence of impairment by dyspepsia) improved significantly to normal levels during the 14 day treatment period, and no further change was observed during the six month follow up period (data not shown). In no instance was there a significant difference between treatment groups.
OM+AMO group, was hospitalised for 18 days. From 2 to 24, no data for four. Only one patient, from the data for eight; OM+AMO: 78 patients no days, seven ranging patients none, seven patients ranging from 1 to 29 days, no

"treatment group" appeared with ap value of <0.001; the

(p=0.026). Logistic regression analysis revealed that the two (OM+AMO; p<0.0001) and a direct correlation with age showed, as predicted, a correlation with treatment group the same presenting characteristics (except

interpretation did not correlate with outcome. A separate analysis, with any of the 13 preselected factors associated with outcome

No significant correlation was found in either the univariate or logistic regression analysis between any of the 13 preselected presenting characteristics. In particular, cure of the infection as the dependent variable and using the same presenting characteristics (except "C UBT results), showed, as predicted, a correlation with treatment group (OM+AMO; p<0.0001) and a direct correlation with age (p=0.026). Logistic regression analysis revealed that the two factors were independent of each other. In the first step, "treatment group" appeared with a p value of <0.001; the second step identified "age" as an additional significant inde-

There was no significant difference in days off work (OM: 77 patients none, seven patients ranging from 1 to 29 days, no data for eight; OM+AMO: 78 patients no days, seven ranging from 2 to 24, no data for four). Only one patient, from the OM+AMO group, was hospitalised for 18 days.

Factors associated with outcome

No significant correlation was found in either the univariate or logistic regression analysis between any of the 13 preselected presenting characteristics. In particular, cure of *H pylori* infection did not correlate with outcome. A separate analysis, with cure of *H pylori* infection as the dependent variable and using the same presenting characteristics (except "C UBT results), showed, as predicted, a correlation with treatment group (OM+AMO; p<0.0001) and a direct correlation with age (p=0.026). Logistic regression analysis revealed that the two factors were independent of each other. In the first step, "treatment group" appeared with a p value of <0.001; the second step identified "age" as an additional significant inde-

DISCUSSION

Successful outcome, as predefined by the absence of severe dyspeptic symptoms during the final three months of follow up, was no more frequent after *H pylori* treatment than after simple short term antisecretory treatment. The same was found for all additional outcome criteria tested in multiple exploratory analyses. If anything, there was a tendency in favour of antisecretory treatment alone compared with the combination with amoxicillin. Hence it is unlikely that a significant or clinically relevant difference in outcome in favour of *H pylori* treatment would have been reached with a much larger number of patients. The success of simple antisecretory
treatment is even more surprising as our patients were not blinded to the result of the C-UBT after treatment. Cure of H pylori infection might have fulfilled patients’ expectations and thus have favoured success in the group with treatment of H pylori.

An important distinction between this and other studies of H pylori therapy in functional dyspepsia was restrictive patient selection. All patients had normal or nearly normal findings at gastroscopy, abdominal sonography, and in laboratory tests, supporting the assumption of functional dyspepsia. In addition, they appeared to be resistant to acid inhibitory therapy in the previous prospective study. Hence the remaining management alternatives for functional dyspepsia were prokinetics, whose efficacy is currently being challenged, and H pylori therapy, which, in the opinion of many physicians and patients, offers the possibility of cure of dyspepsia. In these patients we expected a greater chance of demonstrating an effect of H pylori therapy than in a general population of patients with functional dyspepsia.

The 52% cure rate of H pylori infection achieved using dual therapy with high dose omeprazole and amoxicillin was rather low. We chose this regimen because initial data suggested very high healing rates. The study was already ongoing when subsequent studies showed disappointing results with this combination, comparable with those in the present trial. This weakens the ITT analysis according to treatment. However, almost identical results were obtained when we compared patients with healed and unhealed ulcers instead of the two treatment groups. A major criticism of previous studies on H pylori therapy in functional dyspepsia was the short duration of follow up. We considered the six month follow up period in the present study to be sufficient for the following histopathological and functional reasons. The major improvement in gastritis, in particular regression of infiltrates with polymorphonuclear leucocytes, occurs within a few days after the beginning of effective antimicrobial therapy and superficial epithelial damage recovers within a few weeks, while it takes longer for disappearance of chronic inflammatory cells. Recent evidence suggests that chronic inflammatory cells may not disappear even after several years. Most importantly, there are no data indicating that a favourable effect after one year is not already present after six months. The favourable effect seen in the study by McCarthy and colleagues after one year appears to be weak as the study was not intended as a more recent study from the same group, a positive effect of H pylori therapy on a subgroup of patients with ulcer-like dyspepsia was, if anything, stronger after six than after 12 months of follow up. Finally, follow up of our patients over six months as well as of those in a similar study over one year do not suggest even a trend for improvement of symptoms after cure of H pylori infection. Therefore, there is no rational basis for the hope that longer follow up would change the result.

The surprisingly prompt and long lasting improvement in symptoms during the two week treatment period was unexpected in view of the previous resistance to active therapy demonstrated by this patient group. One explanation for the previous study only 25% of patients received the stronger acid inhibitory dose of omeprazole (20 mg) that was shown to be effective, the majority having received omeprazole 10 mg, ranitidine 150 mg, or placebo. In contrast, the present treatment consisted of omeprazole at a daily dose of 20 or 80 mg. A more likely explanation implies that there was some bias of both investigators and patients towards more severe symptoms in order to be eligible for H pylori therapy. The dramatic improvement may have been due to the very high expectations that this last resort would be effective. A similar bias was suspected in the preceding study where H pylori positivity correlated with lack of symptomatic response.

In conclusion, the results of this study indicate that treatment of H pylori does not lead to improvement in functional dyspepsia resistant to conventional management. Together with other trials that fulfill important quality criteria, more evidence is accumulating that H pylori treatment is of little, if any, value in the treatment of functional dyspepsia.

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APPENDIX

The FROSCH Study Group

Werner Abels (Nürnberg), Krikor Amdja (Wermelskirchen), Herbert Bock (Frankfurt), Dibor Bojanowski (Hannover), Hilmar Bönke (Lünen), Burkhardt Cyrus (München), Axel Dettmer (München), Reinhard Diedrich (Marburg), Klaus Dietrich (Saarbrücken), Wolfgang Dübel (Berlin), Michael Dudek (Düsseldorf), Dieter Ebbinghaus (Lünen), Wolfgang Fortenly (Waldsassen), Roland Graf (Leutkirch), Wolfgang Güttel (Rastatt), Hans-Jürgen Hagel (Schwabach), Bernt Hawlicky (Würzburg), Martin Heli (Salzgitter-Lebenstedt), Werner Hildebrandt (Clausthal-Zellerfeld), Walter Hofmeister (Weiden), Wolfgang Huppert (Essen), Harvey Jürgens (Ölde), Bernhard Klessner (Ulm), Horst Klewer (Wetzlar), Michael Klöters (Spaichingen), Andreas Kocjan (Lüdenscheid), Justine Kosmowski (Marburg), Joachim Labenz (Essen), Günter Leiber (Marburg), Helmut Lichti (Gladenbach), Andrei Marz (Frankfurt), Peter Mayer (Stockach), Bernd Motscher (Berlin), Heinrich Miks (Hamm), Hubert Mönikes (Marburg), Michael Müller (Delmenhorst), Claus Nolte (Mettmann), Paul-Peter Pech (Münster), Stefan Pfeiff (Nürnberg), Thomas Radel (Rastatt), Axel Rambow (Marburg), Gerh Rosprich (Saarbrücken), Thomas Schädlich (Ellefeld), Andreas Schober (Göttingen), Michael Schumacher (Wolmirstedt), Rainer Stroband (Münster), Huchang Toluipur (Schwefel), Rüdiger Vogt (Mannheim), Rainer Vuck (Berlin), Günter Wilhelms (Goslar), Jürgen Zeus (Erlangen).

Authors’ affiliations

H R Koelz, Division of Gastroenterology, Department of Medicine, Triemli Hospital, Zurich, Switzerland
R Arnold, Centre of Internal Medicine, Clinic of the Philipps University, Marburg, Germany
M Stolte, Department of Pathology, University of Bayreuth, Bayreuth, Germany
M Fischer, Institute for Numerical Statistics, Cologne, Germany
A L Blum, Division of Gastroenterology, Department of Medicine, University Hospital, Lausanne, Switzerland

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Treatment of *Helicobacter pylori* in functional dyspepsia resistant to conventional management: a double blind randomised trial with a six month follow up

H R Koelz, R Arnold, M Stolte, M Fischer and A L Blum

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