**INFLAMMATORY BOWEL DISEASE**

**Anti-Saccharomyces cerevisiae antibody (ASCA) positivity is associated with increased risk for early surgery in Crohn’s disease**

D G Forcione, M J Rosen, J B Kisiel, B E Sands

Background: Anti-Saccharomyces cerevisiae antibodies (ASCA) are a specific but only moderately sensitive diagnostic marker for Crohn’s disease. We sought to explore the role of ASCA as a prognostic marker for aggressive disease phenotype in Crohn’s disease.

Aims: To determine the role of ASCA status as a risk factor for early surgery in Crohn’s disease.

Subjects: We performed a case control study in a cohort of patients, newly diagnosed with Crohn’s disease, between 1991 and 1999. All patients were followed for at least three years. Case subjects (n=35) included those who had major surgery for Crohn’s disease within three years of diagnosis. Controls (n=35) included patients matched to cases for age, sex, disease location, and smoking status, and who did not undergo major surgery for Crohn’s disease within three years of diagnosis.

Methods: Blinded assays were performed on serum for ASCA (immunoglobulin (Ig)A and IgG). A paired analysis of cases-controls was performed to test for the association between ASCA status and risk of early surgery.

Results: ASCA IgA was strongly associated with early surgery (odds ratio (OR) 8.5 (95% confidence interval (CI) 2.0–75.9); p = 0.0013). ASCA IgG+ and ASCA IgG+/IgA+ patients were also at increased risk for early surgery (OR 5.5 (95% CI 1.2–51.1), p = 0.0265; and OR 5.0 (95% CI 1.1–46.9), p=0.0433, respectively). The association between ASCA and early surgery was evident in patients requiring surgery for ileal or ileocolic disease.

Conclusions: Patients with Crohn’s disease who are positive for ASCA IgA, IgG, or both, may define a subset of patients with Crohn’s disease at increased risk for early surgery.

Medical therapy for Crohn’s disease (CD) is not curative, and relies on a variety of approaches to suppress bowel inflammation and the mucosal immune response. As reliable indicators of prognosis are lacking, medical therapy is usually guided by signs and symptoms. Treatment usually progresses sequentially through less effective therapies with few side effects, such as 5-aminosalicylates and antibiotics, to more efficacious therapies that may be associated with increased risk of serious adverse events, such as corticosteroids, immunomodulators, and anti-tumour necrosis factor (TNF) treatments.

Despite this empiric approach to medical therapy, published data indicate that approximately 80% of CD patients require surgery by 20 years’ while 20–40% require their first surgery within three years of diagnosis. As resection is not curative, surgery is usually reserved for medically refractory disease, or for individuals with inflammatory sequelae such as stricture, fistula, or abscess. It is not known whether a more aggressive approach to medical therapy, such as treatment with immunomodulators or anti-TNF antibodies given soon after diagnosis, might alter the course of disease and decrease the rate of surgery. A limiting factor in such an approach is the poor ability to identify at the time of diagnosis those patients with an unfavourable prognosis. Given the increased risk of side effects associated with these medications, the risk-benefit analysis of this alternative approach would likely favour its application to the subgroup of patients at increased risk for poorer prognosis.

In 1988, Main et al observed that serum titres of both immunoglobulin (Ig)A and IgG antibodies against Saccharomyces cerevisiae (ASCA) were higher among patients with CD compared with controls. Approximately 60% of CD patients may be found to have ASCA present. Despite this modest sensitivity, several studies have found ASCA expression (either IgA or IgG) to be nearly 95% specific for CD.

In addition to its utility as a diagnostic marker for CD, more recent evidence suggests that ASCA serology may also correlate with disease behaviour. ASCA titres have been shown to be positively associated with early age of disease onset, fibrostenosis, and internal fistulas. Additional evidence suggests an association of ASCA with disease location, with a reported linkage to ileal involvement. Furthermore, among patients with CD with ileal involvement, ASCA has been associated with a higher incidence of small bowel surgery.

In a cohort of patients in New England diagnosed with CD, we have found that 20% underwent early surgery (defined as occurring within three years of diagnosis, exclusive of surgery that was simultaneous with diagnosis). Baseline clinical characteristics independently associated with early surgery were disease location (decreased risk associated with isolated colonic localisation) and cigarette smoking (increased risk). The aim of this study was to determine if ASCA serological status is associated with increased risk for early surgery.

**METHODS**

**Study population**


**Abbreviations:** ASCA, anti-Saccharomyces cerevisiae antibodies; pANCA, perinuclear antineutrophil cytoplasmic antibodies; OmpC, *Escherichia coli* outer membrane porin C; CD, Crohn’s disease; OR, odds ratio; TNF, tumour necrosis factor; Ig, immunoglobulin
Serological assays

ASCA

Standard ELISA assays were performed using an oligosaccharide mannann preparation derived from *Saccharomyces uvarum*. Results were reported as EU, a ratio of sample OD to that obtained with the standard. An ASCA IgA ELISA of greater than 20.0 EU/ml and an IgG ELISA of greater than 40.0 EU/ml were considered positive test results.

DNAse sensitive pANCA

Patient serum samples were screened for the presence of IgG anti-ANCA using an ELISA format with Ficoll-Hypaque gradient purified human neutrophils. Serum samples were applied to PMN covered slides and the presence of a peripheral pattern of staining was determined by immunofluorescent microscopy. Sera which were considered to be positive for DNase sensitive pANCA were positive on both ELISA screening and in addition were observed to have a DNAse sensitive immunofluorescence pattern.

Anti-OmpC

ELISA wells were coated with purified OmpC antigen. Patient samples, serum standard, and low and high serum controls were diluted 1:100 and added to the wells. After incubation and wash steps, the presence of bound human IgA antibodies was demonstrated with goat antihuman IgA-alkaline phosphatase antibody. An anti-OmpC IgA ELISA of greater than 16.5 EU/ml was considered a positive test result.

Statistical analysis

A two tailed McNemar’s test was used to compare paired proportions (matched cases and controls) of the frequency of positive serum values for ASCA, pANCA, and anti-OmpC. Results are reported as odds ratio (OR) and 95% confidence interval (CI), with p values. Statistically significant findings were identified at an alpha level of p < 0.05. Correlations between ASCA titre and time from diagnosis to serology and between ASCA titre and time from surgery to serology were explored using Pearson’s correlation coefficient. Two tailed Fisher’s exact tests were used to obtain nominal p values for exploratory comparisons of baseline demographic and clinical features of the groups of patients comprising cases and controls. Exploratory analyses of the association of ASCA titre and risk of early surgery were performed using a Wilcoxon rank sum test. Cochran-Mantel-Haenszel tests were used to perform a stratified analysis of the risk of early surgery in association with ASCA while controlling for the presence or absence of pANCA and OmpC. The study was...
Early surgery (OR 5.5 (95% CI 1.2–51.1), p = 0.0265; and OR 5.0 (95% CI 1.1–46.9), p = 0.0433, respectively). Neither anti-OmpC nor DNase sensitive pANCA status were associated with early surgery (table 3).

We also analysed our data to explore potential associations of ASCA with regard to type of surgery needed. This analysis demonstrated that the strong association between ASCA IgA status and surgery was greatest among those with ileocaecal resection and complex intra-abdominal abscess drainage (number of pairs = 25), with an OR of 13.0 (95% CI 1.95–552; p = 0.0033). Separate analysis for the remaining cases who underwent colon resection or complex perianal surgery (number of pairs = 10) did not demonstrate a statistically significant association, with an OR of 3.0 (95% CI 0.241–157; p = 0.6171).

We explored whether distinct combinations of serologies were associated with early surgery (table 4). When controlling for the presence of pANCA and OmpC, ASCA positivity (either IgA or IgG) was found to be associated with the risk for early surgery (p = 0.0003). There was a trend for association of early surgery and OmpC positivity, controlling for pANCA and ASCA (p = 0.082), pANCA was not associated with early surgery when controlling for OmpC and ASCA (p = 0.32).

We did not observe a correlation between ASCA IgA titre and time from surgery to blood draw for serology (r = −0.0236, p = 0.891) or from diagnosis of CD to blood draw for serology (r = 0.0127, p = 0.942).

Higher mean antibody titres (ASCA IgA and IgG) were noted among patients who had undergone early surgery (table 5). Mean titres were 38.3 EU/ml and 15.4 EU/ml for ASCA IgA (early surgery and no early surgery, respectively), and 44.0 EU/ml and 22.7 EU/ml for ASCA IgG (p = 0.0004 for IgA and 0.0009 for IgG). However, a large number of

### Table 2 Features of patients who underwent surgery within three years of diagnosis

<table>
<thead>
<tr>
<th>Time from Diagnosis to Surgery (No (%))</th>
<th>No early surgery (n = 35)</th>
<th>Early surgery (n = 35)</th>
</tr>
</thead>
<tbody>
<tr>
<td>6 months–3 years</td>
<td>18 (51.4)</td>
<td>17 (47.2)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Enterovesical fistula</td>
<td>1 (2.9)</td>
<td>7 (20.0)</td>
</tr>
<tr>
<td>Enteroenteric fistula</td>
<td>1 (2.9)</td>
<td>4 (11.4)</td>
</tr>
<tr>
<td>Perianal abscess</td>
<td>1 (2.9)</td>
<td>0 (0.0)</td>
</tr>
<tr>
<td>Perianal fistula</td>
<td>4 (11.4)</td>
<td>4 (11.4)</td>
</tr>
<tr>
<td>Rectovesical fistula</td>
<td>1 (2.9)</td>
<td>1 (2.9)</td>
</tr>
<tr>
<td>Refractory to medical treatment</td>
<td>7 (20.0)</td>
<td>0 (0.0)</td>
</tr>
</tbody>
</table>

### Table 3 Frequency of positive serum values for ASCA, pANCA, and OmpC, and results of McNemar’s test

<table>
<thead>
<tr>
<th></th>
<th>All subjects (n = 70)</th>
<th>Early surgery (n = 35)</th>
<th>No early surgery (n = 35)</th>
<th>p Value</th>
<th>OR (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASCA</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ASCA IgA (%)</td>
<td>29 (41.4)</td>
<td>22 (62.9)</td>
<td>7 (20.0)</td>
<td>0.001*</td>
<td>8.50 (2.02–75.85)</td>
</tr>
<tr>
<td>ASCA IgG (%)</td>
<td>19 (27.1)</td>
<td>14 (40.0)</td>
<td>5 (14.3)</td>
<td>0.027*</td>
<td>5.50 (1.20–25.107)</td>
</tr>
<tr>
<td>ASCA IgA and IgG</td>
<td>18 (25.7)</td>
<td>13 (37.1)</td>
<td>5 (14.3)</td>
<td>0.043*</td>
<td>5.00 (1.07–46.93)</td>
</tr>
<tr>
<td>DNAse sensitive pANCA (%)</td>
<td>15 (21.4)</td>
<td>5 (14.3)</td>
<td>10 (28.6)</td>
<td>0.423</td>
<td>0.56 (0.15–1.85)</td>
</tr>
<tr>
<td>OmpC</td>
<td>14 (20.0)</td>
<td>4 (11.4)</td>
<td>10 (28.6)</td>
<td>0.114</td>
<td>0.250 (0.03–1.25)</td>
</tr>
</tbody>
</table>

*Statistically significant.

ASCA, anti-Saccharomyces cerevisiae antibodies; pANCA, perinuclear antineutrophil cytoplasmic antibodies; OmpC, Escherichia coli outer membrane protein C; Ig, immunoglobulin.

OR (95% CI), odds ratio (95% confidence interval).
individuals had values for ASCA IgA and IgG of “0.0” and to further characterise the relationship between ASCA titre and risk of early surgery, we performed additional analyses excluding patients with a titre of “0.0”. Stratifying in this way, we noted a mean titre of 49.6 EU/ml and 48.6 EU/ml for IgA (early surgery and no early surgery, respectively), and 51.4 EU/ml and 46.6 EU/ml for ASCA IgG (p = 0.87 for IgA and 0.28 for IgG). These data indicate that higher titres of ASCA IgA or IgG antibodies do not correlate with the risk for early surgery better than meeting the definition of positive or negative ASCA serology alone. Similar mean antibody titres (ASCA IgA and IgG) were noted among patients who had received their care in referral centres or from community based physicians (table 6).

**DISCUSSION**

In this study, we have demonstrated that patients with CD who are positive for ASCA IgA, IgG, or both, have a significantly increased risk of having had early surgery (within three years of diagnosis). While controlling for age, sex, smoking status, and disease location by matching on these characteristics, ASCA IgA positivity was strongly associated with early surgery, with an OR of 8.50. Although our study was small, the paired analysis used in this case control study enhanced our ability to discern this association. Our study is the first to identify ASCA status as a strong independent risk factor for early surgery in patients with CD. In addition, in agreement with the findings of Vasiliauskas and colleagues, we observed that the association between ASCA positivity and early surgery exists for patients who had surgery for complications of ileal or ileocolonic disease, above and beyond the risk associated with this disease localisation itself. Increased risk of surgery in association with ASCA positivity could not be detected for those who needed surgery for complications of colonic disease (the latter also being strongly associated with perianal disease). While it is possible that the numbers of patients with purely colonic disease were too small to have detected an association, our data support the hypothesis that patients who are ASCA positive are at a greatly increased risk for early surgery compared with patients with the same disease location who are ASCA negative, particularly with ileal or ileocolonic disease.

Other serological markers of inflammatory bowel disease, namely DNase sensitive pANCA and anti-OmpC, were not associated with early surgery. Antibodies to the *Escherichia coli* outer membrane porin C (IgA anti-OmpC) have been noted in 55% of patients with CD. In contrast, DNase sensitive pANCA is more strongly associated with ulcerative colitis than CD, and has been shown to be associated with certain disease behaviours in CD. Approximately 10% of CD patients are DNase sensitive pANCA positive. Several groups have shown that DNase sensitive pANCA is associated with symptomatic and endoscopically apparent left sided colitis when positive in CD. Although we found no association between pANCA or OmpC and early surgery, the small numbers of patients studied and low proportion of patients positive for these serologies prevents us from reaching any definitive conclusions about their role as risk factors for early surgery.

Limited data are available regarding the stability of these serum markers over time, and in relation to fluctuations of disease activity, and to medical or surgical treatments. Landers *et al* looked at titres of ASCA, DNase sensitive pANCA, and anti-OmpC in a cohort of patients with CD before and after treatment with infliximab. No significant correlation between serum marker titres and changes in disease activity was found. Ruemmele *et al* found that among paediatric patients with CD who had undergone surgery, ASCA titres drifted towards normal levels postoperatively. Teml *et al* found ASCA titres to be stable over time with mesalamine treatment, and had a tendency to decrease with corticosteroid treatment. As we measured serological titres after surgery had occurred in our cases, the main concern for confounding of the association we observed between early surgery and ASCA positivity would be if one were to see titres decreasing after surgery. However, such a tendency, if present, would bias our findings towards a lack of association, and would have weakened the observed effect size. In this regard, we did not observe any correlation of ASCA IgA titre with either of two intervals: time between surgery and blood draw for ASCA titre or time from diagnosis of CD to measure of ASCA titre. Although our analysis was limited by not having baseline ASCA titre levels (that is, drawn at the time of diagnosis), our data support the notion that the numbers of patients studied and low proportion of patients positive for these serologies prevents us from reaching any definitive conclusions about their role as risk factors for early surgery.

**Table 5** ASCA serology titres with respect to time of surgery

<table>
<thead>
<tr>
<th></th>
<th>Early surgery (n = 33)</th>
<th>No early surgery (n = 33)</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASCA IgA positive (No)</td>
<td>22</td>
<td>7</td>
<td>—</td>
</tr>
<tr>
<td>Titre range (EU/ml)</td>
<td>0–111.7</td>
<td>0–114.1</td>
<td>—</td>
</tr>
<tr>
<td>Mean titre (all patients) (EU/ml)</td>
<td>38.3</td>
<td>15.3</td>
<td>0.0004*</td>
</tr>
<tr>
<td>Mean titre (positive patients only) (EU/ml)</td>
<td>49.6</td>
<td>48.6</td>
<td>0.872</td>
</tr>
<tr>
<td>ASCA IgG positive (No)</td>
<td>14</td>
<td>5</td>
<td>—</td>
</tr>
<tr>
<td>Titre range (EU/ml)</td>
<td>0–171.0</td>
<td>0–191.3</td>
<td>—</td>
</tr>
<tr>
<td>Mean (all patients) (EU/ml)</td>
<td>44.0</td>
<td>22.6</td>
<td>0.0009*</td>
</tr>
<tr>
<td>Mean titre (positive patients only) (EU/ml)</td>
<td>51.4</td>
<td>46.6</td>
<td>0.279</td>
</tr>
</tbody>
</table>

ASCA, anti-Saccharomyces cerevisiae antibodies; Ig, immunoglobulin.

*AStatistically significant.

**Table 6** ASCA serology titres with respect to type of practice in which patients received their care

<table>
<thead>
<tr>
<th></th>
<th>Community practice (n = 21)</th>
<th>Referral practice (n = 49)</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASCA IgA mean titre</td>
<td>27.1</td>
<td>26.6</td>
<td>0.756</td>
</tr>
<tr>
<td>ASCA IgG mean titre</td>
<td>39.1</td>
<td>31.2</td>
<td>0.463</td>
</tr>
</tbody>
</table>

ASCA, anti-Saccharomyces cerevisiae antibodies; Ig, immunoglobulin.
that ASCA IgA titres remain stable over time and are unaffected by surgical therapy itself. In addition, our data indicate that the threshold effect of being positive or negative for ASCA, rather than the actual titre, fully accounts for the association between ASCA and early surgery.

We have previously shown that smoking and isolated colonic disease localisation are independent risk factors for early surgery, with OR values of 3.09 and 0.27, respectively. Having used case control methodology to match our patients on both disease location and smoking status at diagnosis, our analysis eliminates any potential confounding effect of these independent risk factors for early surgery in relation to serological status. The present study provides strong evidence that ASCA status is an additional risk factor for early surgery in patients with CD.

None the less, our study has several limitations. The retrospective nature of the study introduces the potential for bias in data collection. In this regard, it is important to note that cases and controls were matched with no knowledge of ASCA status. A second limitation is that most of the subjects enrolled in this study were managed before the era of anti-TNF therapy. Therefore, the potential impact of infliximab treatment on the outcome of interest (early surgery) cannot be discerned.

It is of interest that a negative association has been reported between ASCA and smoking. In evaluating our own cohort, we did not observe any difference in ASCA status between non-smokers and smokers (p = 0.753); however, numbers were small. A more precise definition of smoking behaviours in our patient populations may have been desirable. Cosnes et al detected a threshold effect at 15 cigarettes per day on the severity of CD. In our study, smoking behaviour was defined as smoking status at the time of diagnosis. However, as these data were collected retrospectively, it was not possible to reliably ascertain the precise number of cigarettes smoked. Having matched cases and controls on the basis of broad categories of smoking behaviour, we believe we have minimised the effect of smoking as a potential confounder on the outcome of early surgery. For the threshold effect to be of importance as a confounder despite our having matched on smoking behaviour, one would need to assume two conditions: (a) that more cases who were smokers smoked more numbers of cigarettes than controls who were smokers; and (b) that number of cigarettes smoked was also positively associated with ASCA positivity. As the existing evidence suggests an inverse correlation between smoking and ASCA positivity (that is, smokers are less likely to be ASCA positive), we believe that more detailed data on smoking exposure, although potentially of interest in refining our analysis, would have been unlikely to controvert our findings. It is still possible that other factors yet to be identified may be confounding the association identified between ASCA and early surgery.

It has also been suggested that disease behaviour, defined according to the Vienna classification as strictureing, penetrating, or neither, might also predict risk for surgery. The original data collection of the retrospective cohort from which our study was derived was to have recorded clinical and laboratory data identified at the time of diagnosis. Given the focus of the original study, we did not record data from studies performed after diagnosis. The cohort did include 5.4% of patients who had perianal fistula at the time of diagnosis but these patients did not have an increased risk of early surgery. Furthermore, it appears that the Vienna classification behaviour characteristics tend to evolve over the course of follow up and do not appear to be completely independent of disease location, perhaps diminishing the utility of disease behaviour as a predictive factor.

Identifying individuals at high risk for early surgery at the time of diagnosis in CD might enable clinicians to develop new treatment algorithms aimed at forestalling the natural progression of the disease and its complications. The current CD management paradigm is based on a “step up” approach, in which there is an escalation of medication potency over time as the disease progresses. In this algorithm, 5-aminosalicylate preparations are often used as first line agents, followed by corticosteroids, immune modulators (6-mercaptopurine, azathioprine, methotrexate), and more recently, infliximab. At present, it is not known if the natural history of CD would be modified if the usual “step up” treatment paradigm were reversed (“step down”), such that immune modulators and biological therapies were instituted earlier in the disease process. On the basis of this study, one may hypothesise that ASCA status might define a subset of patients with CD who are at sufficiently high risk for early surgery to merit initiating therapy with an immunomodulatory agent soon after diagnosis. Prospective studies are warranted to further characterise the role of ASCA status and other clinical and subclinical characteristics in predicting clinical outcomes.

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REFERENCES

EDITOR’S QUIZ: GI SNAPSHOT

A male with a pelvic mass

Clinical presentation
A 40 year old man presented to the emergency department with a six day history of intermittent abdominal left lower quadrant pain, nausea, vomiting, and constipation. The patient admitted excessive fruit and vegetable consumption for the past six months. His past medical history was not revealing.

On physical examination the abdomen was moderately distended, soft, and with normal bowel sounds. A tender 8×6 cm mass was palpable in the left lower quadrant. Digital rectal examination, laboratory tests, and chest x ray (fig 1) were unremarkable.

Question
What does this plain abdominal x ray show? See page 1144 for answer

This case is submitted by:

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Figure 1

Robin Spiller, Editor
Anti-Saccharomyces cerevisiae antibody (ASCA) positivity is associated with increased risk for early surgery in Crohn's disease

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