Inflammatory Bowel Disease

Genetic basis for increased intestinal permeability in families with Crohn’s disease: role of CARD15 3020insC mutation?

S Buhner, C Buning, J Genschel, K Kling, D Herrmann, A Dignass, I Kuechler, S Krueger, H H-J Schmidt, H Lochs

Background and aim: A genetically impaired intestinal barrier function has long been suspected to be a predisposing factor for Crohn’s disease (CD). Recently, mutations of the caspase recruitment domain family, member 15 (CARD15) gene have been identified and associated with CD. We hypothesise that a CARD15 mutation may be associated with an impaired intestinal barrier.

Methods: We studied 128 patients with quiescent CD, 129 first degree relatives (CD-R), 66 non-related household members (CD-NR), and 96 healthy controls. The three most common CARD15 polymorphisms (R702W, G908R, and 3020insC) were analysed and intestinal permeability was determined by the lactulose/mannitol ratio.

Results: Intestinal permeability was significantly increased in CD and CD-R groups compared with CD-NR and controls. Values above the normal range were seen in 44% of CD and 26% of CD-R but only in 6% of CD-NR, and in none of the controls. A household community with CD patients, representing a common environment, was not associated with increased intestinal permeability in family members. However, 40% of CD first degree relatives carrying a CARD15 3020insC mutation and 75% (3/4) of those CD-R with combined 3020insC and R702W mutations had increased intestinal permeability compared only 15% of wild-types, indicating a genetic influence on barrier function. R702W and G908R mutations were not associated with high permeability.

Conclusions: In healthy first degree relatives, high mucosal permeability is associated with the presence of a CARD15 3020insC mutation. This indicates that genetic factors may be involved in impairment of intestinal barrier function in families with IBD.

The integrity of gut barrier function in patients with inflammatory bowel diseases (IBD) is known to be impaired. However, the causes and consequences of the “leaky gut” in IBD are still under discussion. The leading concept assumes that barrier dysfunction reflects a very early defect in the disease process that might be genetically driven and triggered by environmental factors such as luminal antigens or bacteria. By bypassing normal antigen uptake in a situation of high permeability, induction of a physiological immune response is altered. An unsuppressed immune response is induced, initiating disease or worsening disease outcome.

To establish the role of permeability in the causality of Crohn’s disease (CD), family studies have been performed. In a number of studies approximately 10–54% of first degree relatives of CD patients showed increased intestinal permeability in the absence of clinical symptoms. Although only a subgroup of relatives had increased values, data support the genetic concept. This is in line with a case report revealing changes in intestinal permeability eight years before the onset of CD. Interestingly, three studies showed a high prevalence of increased intestinal permeability in spouses of CD patients, suggesting that environmental rather than genetic factors may play a role.

Recently, mutations of the caspase recruitment domain family, member 15 (CARD15/NOD2) gene have been identified and associated with CD. To date, the relationship between IBD and the CARD15 mutation has been confirmed by many authors. The CARD15 protein is a member of the Ced-4 superfamily of apoptosis regulators with homology to the plant disease resistance gene products being implicated in the recognition of pathogen components. CARD15 protein acts as an intracellular receptor for the bacterial cell wall component peptidoglycan through the C terminal leucine rich repeats (LRRs). The bioactive component of peptidoglycan is muramyl dipeptide. This interaction leads to activation of nuclear transcription factor kB (NFkB) which plays a central role in innate immunity. The three most common genetic variants in CARD15 are the two missense mutations Arg702Trp (R702W) and Gly908Arg (G908R) and the insertion frameshift mutation at nucleotide 3020 (3020insC), the latter leading to truncation of the C terminal 33 amino acids in the LRR region. This frameshift mutation is associated with hyporesponsiveness to peptidoglycan and attenuated NFkB activation. Epithelial cells transfected with the CARD15 3020insC mutant overexpressed the CARD15/NOD2 protein but displayed a diminished antibacterial defence function.

With this in mind, the question arises of whether CARD15 mutations or environmental factors are associated with gastrointestinal barrier dysfunction. Therefore, we analysed...
intestinal permeability and the three most common CARD15 polymorphisms (R702W, G908R, and 3020insC) in patients with CD, their first degree relatives, and non-related household members. Strikingly, intestinal permeability in phenotypically normal first degree relatives of CD patients was increased compared with controls and significantly associated with the CARD15 3020insC mutation.

**METHODS**

**Participants**

**Patients**
A total of 128 patients with a confirmed diagnosis of CD were included in the present study (85 females, 43 males; median age 36 years (range 16–63)). Patients were recruited from Charité University Hospital (Berlin, Germany). Diagnosis of CD was based on standard clinical, radiological, endoscopic, and histological criteria. The disease was located in the terminal ileum in 37 patients (30%), the colon in 20 patients (16%), the ileocolon in 53 patients (40%), and the upper gastrointestinal tract in 18 patients (14%). Ninety patients were currently taking mesalamine, 31 oral prednisolone (n = 19 ≤10 mg), 19 oral budesonide, five sulfasalazopyridine containing compounds, and 21 patients had no current medication. All patients were in clinical remission; mean CD activity index was 82 (range 5–148). None of the patients was taking antibiotics or tumour necrosis factor α (TNF-α) antibodies.

**First degree relatives (CD-R)**
A total of 129 first degree relatives of patients with CD were studied (83 females, 46 males; median age 43 years (range 15–82)), including 62 parents, 27 siblings, and 40 children of CD patients. Forty eight of the CD-R had been living in the same household with CD patients, at least since the time of diagnosis (CD-R household members).

**Non-relatives (CD-NR)**
Sixty six non-blood relatives of CD patients were included in the present study (23 females, 43 males; median age 38 years (range 20–68)). Except for one person (the stepfather of a CD patient), all CD-NR were the partners of the patients. All lived in the same household with the patient, the majority (n = 51) since the time of CD diagnosis.

**Healthy controls**
A total of 96 healthy volunteers (56 females, 40 males; median age 32 years (range 19–68)), except for one person (the stepfather of a CD patient), all CD-NR were the partners of the patients. All lived in the same household with the patient, the majority (n = 51) since the time of CD diagnosis.

**Predetermined exclusion criteria**
Predetermined exclusion criteria were any (for CD patients: concomitant) gastrointestinal or hepatobiliary disease, severe neurological, endocrine, cardiovascular, pulmonary, or renal disease, gastrectomy, colectomy, or extensive resection of the small bowel, cancer, rheumatoid based diseases, acute infections, acute urticaria, or pregnancy. Alcohol and non-steroidal anti-inflammatory drugs (NSAID) were forbidden for at least 48 hours before the test. CD family members (CD-R and CD-NR) and controls showing any signs or symptoms of IBD-like recurrent diarrhoea, flatulence, gastrointestinal pain, or blood in the stool, or any other chronic illness, were excluded from the study. Additionally, drug intake, including glucocorticosteroids, antimicrobial agents, immunosuppressives, and regular consumption of NSAIDs (for example, for arthropathies) was not allowed for family members or controls. Persons with any previous gastrointestinal or colorectal surgery were excluded. In general, no drugs influencing gut functions, including laxatives and antidiarrhoeal agents, were allowed for 24 hours before the onset of the test. Finally, smoking was strictly forbidden in the morning before the test and during the test. Moreover, every participant was asked to record alcohol and cigarette consumption and medicine intake for one week before the test.

**Study regimen**
The intestinal permeability test was carried out at home by all persons. Blood samples for genetic analysis were taken in a subgroup of study participants, either at the outpatient department of the clinical centres involved or at their family doctors. A standardised questionnaire was used for data inquiry.

**Intestinal permeability**
Intestinal permeability was assessed using a sugar drink test, as previously described in detail.21 The test is based on measurement of urinary excretion of an orally administered non-metabolised sugar probe molecules; the lactulose/mannitol ratio (permeability index, PI) served as a marker for intestinal permeability.

After an overnight fast, each subject provided a pre-test urine sample. Then they drank a solution containing 10 g lactulose and 5 g mannitol dissolved in 100 ml water. Urine was collected over five hours with sodium azide as preservative. Subjects went without food during the test but were allowed to drink water after two hours. Total urine volume was recorded on completion of the test and a 10 ml aliquot was stored at −20°C until analysis.

For sample preparation the protein was removed with sulfosalicylic acid and urine was desalted with Amberlite MB-3 resin in acetate form. Using meso-erythritol and turanose as internal standards, the sugars were separated, analysed, and quantified by high performance liquid chromatography with pulsed electrochemical detection ( Dionex, Idstein, Germany); chromatography module 250×40 mm Carbopac
PA-1 column (Dionex); eluent 150 mmol NaOH; flow 1 ml/min. Results were expressed as percentage recovery of the ingested dose of the sugars.

**Gene analysis**

All methods used for gene analysis have been described previously in detail. Briefly, genomic DNA was prepared from peripheral blood using commercially available extraction columns (QIAmp Blood Kit; Qiagen, Hilden, Germany). After amplification of exon 11 of the CARD15 gene and cycle sequencing using an ABI 310 automatic sequencer (Applied Biosystems, Weiterstadt, Germany), genotyping of the three CARD15 polymorphisms (R702W, G908R, and 3020insC) was performed using the fluorogenic 5’-nuclease assay. Primers and probes were the same as previously described.

**Statistics**

Differences in permeability data between the study groups were analysed using the Kruskal-Wallis test and, in case of significance, the Mann-Whitney U test. The level of significance (α) was chosen at 5%. An α adjustment was applied by Bonferroni procedure. Differences between incidences were tested by cross tables and χ² statistics.

The upper limit of normal intestinal permeability was defined as mean value +2SD of the control group (= 0.03), as previously described.

**Ethics**

The study was approved by the ethics commission of the Charité University Hospital and informed consent was obtained from each participant.

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**RESULTS**

**Intestinal permeability**

Intestinal permeability was significantly increased in CD patients and in CD-R compared with controls and CD-NR (fig 1). Values above the normal range were seen in 44% of CD patients and in 26% of CD-R, but in only 6% of CD-NR and in none of the controls. This already refutes the role of an environmental factor being predominantly responsible for barrier dysfunction. To further differentiate between genetic and environmental factors, we concentrated on the group of CD first degree relatives and used the household community with the CD patients to investigate the influence of environmental factors on intestinal permeability. Thirty seven per cent (n = 48) of CD-R lived in the same household with the patient since the time of CD diagnosis (CD-R household members). Forty seven per cent (n = 61) of CD-R lived in a different household than the patient, both at the time of CD diagnosis and at the time of the test (CD-R non-household members). As seen in table 1, intestinal permeability did not differ between these two groups, indicating that household community, as an environmental factor, does not explain the general differences in intestinal barrier function described above.

We further analysed the effect of smoking habits in each group. The proportion of smokers showing a PI above the normal limit was higher compared with non-smokers (61% v 37%; p = 0.019, Fisher’s exact test) in CD patients but in none of the other groups (CD-R, p = 0.602; CD-NR, p = 0.563). Median values for intestinal permeability did not differ between smokers and non-smokers in any of the groups. Additionally, a slight gender disproportion in the CD-R and

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**Table 1**  Intestinal permeability in subgroups of first degree relatives of patients with Crohn’s disease (CD-R)

<table>
<thead>
<tr>
<th></th>
<th>n</th>
<th>PI (Lac%/Man%)*</th>
<th>Subjects with high permeability</th>
</tr>
</thead>
<tbody>
<tr>
<td>CD-R (household members)</td>
<td>48</td>
<td>0.019 (0.015–0.030)</td>
<td>25% (12)</td>
</tr>
<tr>
<td>CD-R (non-household members)</td>
<td>61</td>
<td>0.020 (0.017–0.034)</td>
<td>31% (19)</td>
</tr>
</tbody>
</table>

*Values are median (interquartile range).

PI, permeability index (lactulose (Lac)/mannitol (Man) ratio).

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**Table 2**  Prevalence of CARD15 mutations

<table>
<thead>
<tr>
<th>Genotype</th>
<th>Control</th>
<th>CD</th>
<th>CD-R</th>
<th>CD-NR</th>
</tr>
</thead>
<tbody>
<tr>
<td>3020insC</td>
<td>(n = 62)</td>
<td>(n = 121)</td>
<td>(n = 106)</td>
<td>(n = 50)</td>
</tr>
<tr>
<td>Wild-type</td>
<td>98% (61)</td>
<td>78% (94)</td>
<td>77% (82)</td>
<td>88% (44)</td>
</tr>
<tr>
<td>Heterozygous mutant</td>
<td>2% (1)</td>
<td>18% (22)</td>
<td>22% (23)</td>
<td>10% (5)</td>
</tr>
<tr>
<td>Homozygous mutant</td>
<td>4% (5)</td>
<td>1% (1)</td>
<td>2% (1)</td>
<td></td>
</tr>
<tr>
<td>p</td>
<td>&lt;0.0005</td>
<td>&lt;0.0005</td>
<td>&lt;0.0005</td>
<td>0.044</td>
</tr>
<tr>
<td>R702W</td>
<td>(n = 62)</td>
<td>(n = 120)</td>
<td>(n = 106)</td>
<td>(n = 50)</td>
</tr>
<tr>
<td>Wild-type</td>
<td>94% (58)</td>
<td>83% (100)</td>
<td>84% (89)</td>
<td>88% (44)</td>
</tr>
<tr>
<td>Heterozygous mutant</td>
<td>6% (4)</td>
<td>14% (17)</td>
<td>15% (16)</td>
<td>12% (6)</td>
</tr>
<tr>
<td>Homozygous mutant</td>
<td>3% (3)</td>
<td>1% (1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>p</td>
<td>0.06</td>
<td>0.091</td>
<td>0.337</td>
<td></td>
</tr>
<tr>
<td>G908R</td>
<td>(n = 62)</td>
<td>(n = 120)</td>
<td>(n = 105)</td>
<td>(n = 50)</td>
</tr>
<tr>
<td>Wild-type</td>
<td>97% (60)</td>
<td>92% (110)</td>
<td>98% (103)</td>
<td>98% (49)</td>
</tr>
<tr>
<td>Heterozygous mutant</td>
<td>3% (2)</td>
<td>8% (10)</td>
<td>2% (2)</td>
<td>2% (1)</td>
</tr>
<tr>
<td>p</td>
<td>0.343</td>
<td>0.628</td>
<td>1.000</td>
<td></td>
</tr>
<tr>
<td>Overall</td>
<td>(n = 62)</td>
<td>(n = 121)</td>
<td>(n = 106)</td>
<td>(n = 50)</td>
</tr>
<tr>
<td>11% (7)</td>
<td>43% (32)</td>
<td>37% (39)</td>
<td>24% (12)</td>
<td></td>
</tr>
</tbody>
</table>

CD, Crohn’s disease; CD-R, first degree relative of a patient with CD; CD-NR, non-related household member living with a patient with CD.

Overall, number of persons carrying at least one mutation.

p values for differences in frequency distribution of CARD15 mutations between CD groups and controls (Fisher’s exact test)

Number of cases in parentheses.
CD-NR groups had no significant effect on intestinal permeability values (data not shown).

Intestinal permeability did not differ between CD-R subgroups. CD-R household members were living in the same household with patients at the time of diagnosis and at the time of the test; CD-R non-household members were living on their own during the whole period.

Genetic analysis

Three major polymorphisms within the coding region of the CARD15 gene (3020insC, R702W, and G908R) have been associated with CD. The results of the present study are illustrated in table 2. A significantly higher prevalence of the frameshift mutation 3020insC was found in CD patients and their first degree relatives compared with controls. The proportion of the heterozygous plus homozygous mutations was 22%, being similar to that found in the CD-R group (23%). In the CD-NR group, the corresponding value was 12%. A similar tendency was obvious for the R702W mutation; patients with the R702W mutation were significantly associated.

Thirdly, in healthy first degree relatives, high mucosal permeability was found in 10% of CD-R and 23% of CD-NR patients compared with controls. In healthy CD-NR relatives, all of the mutation carriers had increased permeability (fig 2). In four CD-R, combined mutations (3020insC and R702W) occurred; three of them also had increased permeability. In contrast, neither R702W nor G908R alone showed a clear association. In CD-NR, all of the mutation carriers had normal permeability (table 3).

**DISCUSSION**

In the present study there were three main findings. Firstly, healthy first degree relatives of patients with CD showed increased intestinal permeability in contrast with unrelated household members and controls. Secondly, the prevalence of the CARD15 3020insC mutation was similar in first degree relatives and CD patients and higher compared with controls. Thirdly, in healthy first degree relatives, high mucosal permeability and the presence of a CARD15 mutation were significantly associated.

Based on a large sample size compared with other studies, our analysis clearly revealed intestinal permeability defects in 26% (34/129) of healthy first degree CD relatives. Furthermore, there was no significant difference between those living in the same household with a CD patient since the time of diagnosis and those living on their own. Thus the parameter “sharing an environment” obviously does not explain the different permeability patterns in CD first degree relatives. Changes in permeability seem to reflect genetic rather than environmental factors. This is in line with the results in the group of non-blood relatives. All shared a household with a patient but only 6% (4/66) had a

![Figure 2](http://www.gutjnl.com)  
**Figure 2** Intestinal permeability in Crohn’s disease first degree relatives without a CARD15 mutation (CD-R WT) and with 3020insC (CD-R 3020insC). Intestinal permeability was significantly increased in CD-R with mutations compared with CD-R without mutations. PI, permeability index (lactulose (Lac)/mannitol (Man) ratio). *P=0.001 between the CD-R WT and CD-R 3020insC groups (Mann-Whitney test). Broken line indicates the upper limit of normal permeability. Data are presented as box plots.

<table>
<thead>
<tr>
<th>CD</th>
<th>PI WT</th>
<th>3020insC</th>
<th>R702W</th>
<th>G908R</th>
<th>3020insC and R702W</th>
<th>3020insC and G908R</th>
<th>R702W and G908R</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal</td>
<td>n=68</td>
<td>n=23</td>
<td>n=16</td>
<td>n=8</td>
<td>n=3</td>
<td>n=1</td>
<td>n=1</td>
</tr>
<tr>
<td>High</td>
<td>30 (44%)</td>
<td>12 (32%)</td>
<td>8 (50%)</td>
<td>5 (63%)</td>
<td>2 (67%)</td>
<td>1 (100%)</td>
<td>1 (100%)</td>
</tr>
<tr>
<td>CD-R n=66</td>
<td>n=20</td>
<td>n=13</td>
<td>n=2</td>
<td>n=4</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Normal</td>
<td>56 (85%)</td>
<td>12 (60%)*</td>
<td>10 (77%)</td>
<td>2 (100%)</td>
<td>1 (25%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>High</td>
<td>10 (15%)</td>
<td>8 (40%)*</td>
<td>3 (23%)</td>
<td>–</td>
<td>3 (75%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CD-NR</td>
<td>n=38</td>
<td>n=6</td>
<td>n=5</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>n=1</td>
</tr>
<tr>
<td>Normal</td>
<td>36 (95%)</td>
<td>6 (100%)</td>
<td>5 (100%)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>1 (100%)</td>
</tr>
<tr>
<td>High</td>
<td>2 (5%)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>

WT, wild-type (without CARD15 mutation); 3020insC, R702W, and G908R, mutations of the CARD15 gene (homozygous plus heterozygous); PI, permeability index; CD, Crohn’s disease; CD-R, first degree relative of a patient with CD; CD-NR, non-related household member living with a patient with CD.

* Significantly different incidence of high and normal permeability between wild-type and mutation subgroups.

**Table 3** Frequencies of normal and high intestinal permeability with respect to the occurrence of the three CARD15 mutations (3020insC, R702W, and G908R)

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PI above the normal level. However, data in the literature appear contradictory. Some studies documented high baseline permeability not only in related but also in unrelated household members (spouses) of CD patients. These reports were partly limited by sample size and some used a lower “upper limit of normality” for permeability values than those used in our study (for example, 0.0195 and 0.0170), which may have had a slight effect on the frequency distribution of the data. However, under close scrutiny, they also revealed clear evidence that epithelial hyperpermeability of the intestine, in response to insults causing barrier dysfunction, was restricted to CD first degree relatives and was not seen in spouses. In summary, data indicate a genetic rather than an environmental background for intestinal barrier dysfunction in CD.

Our results add further support to the role and value of intestinal barrier function as a subclinical marker in families with CD. The question of whether increased intestinal permeability may be a primary or causative factor in disease development cannot be answered from the present data. It is assumed by some authors that slight inflammation may be a primary event preceding barrier disruption. A recent study using faecal calprotectin concentration for detection of intestinal inflammation reported 88% (n = 43) of CD patients, 49% (n = 74) of CD first degree relatives, and 13% (n = 2) of CD spouses with abnormally high values. The authors proposed a genetic basis for subclinical inflammation representing a risk factor for CD. Others reported a relationship between faecal calprotectin and intestinal permeability. It could be that subclinical inflammation may precede barrier disruption. However, a definition of causality is difficult in this case because both factors present at the same time as secondary factors, perpetuating and worsening the processes in the intestinal mucosa. In our opinion neither method (faecal calprotectin detection nor in vivo permeability measurement) provides sufficient sensitivity to answer this question. Analysis of mucosal tight junction components and metabolisms in CD first degree relatives, for example, may be more useful. However, the results of Thjodleifsson and colleagues stress the importance of a genetic basis of CD and support the role of subclinical markers.

A possible genetic mechanism involved in barrier dysfunction in healthy family members is unknown. An interesting candidate for such a genetic factor is the CARD15 gene, or rather its mutations associated with CD. In accordance with the main findings in European, Canadian, and US Caucasian populations, our gene analysis revealed a significantly higher prevalence of the CARD15 3020insC mutation in CD patients compared with controls. Interestingly, this was also true for CD first degree relatives. Values were similar to those of patients and, in contrast with non-blood relatives, significantly higher compared with controls. Obviously, there was transmission of the polymorphisms within genetically related persons, which supports recent data. However, the striking finding of the present study was that healthy first degree relatives carrying a 3020insC mutation were more often characterised by high intestinal permeability than wild-types. Eighty five per cent of CD-R wild-types had normal barrier function. Early events could be easily masked. These factors are mainly drugs such as prednisolone or mesalazine, or the effects of mal- and dysnutrition, or stress or changes in luminal bacterial load and composition, which are all associated with CD and which are accompanied by reinforcement or impairment of the intestinal barrier.

In summary, the present data indicate a genetic rather than an environmental basis for the intestinal barrier dysfunction in CD. From our data, we speculate that the CARD15 3020insC mutation could be one genetic factor involved in impairment of intestinal barrier function. However, it is obvious that this is not the only factor. Association between the CARD15 gene mutation and intestinal hyperpermeability in healthy first degree CD relatives may be one step towards the identification of other target genes involved in similar processes or possibly interacting with the CARD15 gene, as recently discussed and proposed for the DLG5 gene. Our data point towards a very early step in the disease process. Considering the high intestinal bacterial load in CD patients compared with controls, early barrier dysfunction gains special significance with respect to the pathogenesis of CD. However, longitudinal studies in CD families are necessary to investigate which additional factors may lead to the outbreak of the disease.
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