High grade dysplasia of the gastric mucosa: a marker for gastric carcinoma

M Lansdown, P Quirke, M F Dixon, A T R Axon, D Johnston

Abstract
The natural history of gastric epithelial dysplasia and its relation to gastric cancer are ill defined. A consecutive series of 40 patients with an initial diagnosis of gastric epithelial dysplasia based on examination of endoscopic biopsies has been reviewed to determine the clinical outcome and to evaluate a two tier histological grading system as a predictor of the risk of cancer. On review, only 20 of the 40 patients were considered to have true dysplasia: seven patients had low grade dysplasia and 13 had high grade dysplasia. Of the 13 patients with high grade dysplasia, 11 (85%) were found to have gastric cancer within 15 months. Of the 10 patients with high grade dysplasia who underwent gastrectomy, six were found to have early gastric cancer, three had cancer invading into the muscularis propria, and none had lymph node metastases. High grade dysplasia is thus a marker of gastric cancer. Moreover, the cancers associated with high grade dysplasia are usually pathologically favourable and curable. The finding, by an experienced pathologist, of high grade dysplasia in two separate sets of endoscopic biopsies is therefore an indication for radical surgical treatment, provided that the patient’s age and general condition permit such an approach.

Little is known about the natural history of epithelial dysplasia in the stomach. Areas of epithelial dysplasia can often be found adjacent to established gastric cancer. It is tempting to propose that gastric cancer arises from dysplastic epithelium just as colonic cancer arises from adenomas. Follow up studies of patients with dysplasia of the gastric epithelium tend to support this hypothesis, though the rate of progression to cancer appears to be slow. A firm diagnosis of dysplasia made on the basis of endoscopic biopsies raises two main problems: (a) Has gastric cancer been missed because of sampling error? (b) If dysplasia is present, is the risk of progression to cancer so great that the patient should be advised to undergo gastrectomy?

The degree or severity of dysplasia is obviously important. Gastric epithelial dysplasia is graded by most pathologists into mild, moderate, and severe categories. Cancer is diagnosed only if neoplastic cells breach the basement membrane of the epithelium and invade the lamina propria. The use of terms such as ‘intraepithelial cancer’ and ‘carcinoma in situ’ for lesions with the cytological appearances of cancer but without evidence of invasion of the lamina propria is discouraged. The difficulties encountered in interpreting the changes in gastric biopsy specimens are similar to those experienced with ulcerative colitis, and therefore a system with only two grades of dysplasia has much to commend it. A potential advantage of such a simple two grade classification is that the natural history and prognostic importance of each category can be identified more readily, which in turn allows more precise guidelines for clinical management to be formulated.

In this study we sought to determine the fate of all patients who were diagnosed as having gastric epithelial dysplasia over a five year period and to evaluate a two tier histological grading system as a predictor of the risk of cancer.

Methods
During the five years 1983 to 1987 inclusive, gastric epithelial dysplasia was diagnosed in 40 patients at the Leeds General Infirmary, and categorised by the reporting pathologist into mild, moderate, or severe grades or, more recently, into low grade or high grade dysplasia. All the original biopsy specimens and any subsequent pathological material were reviewed and reclassified as (1) no dysplasia, (2) low grade dysplasia, (3) high grade dysplasia, and (4) carcinoma. Gastric cancer was classified...
according to the revised TNM classification of malignant tumours.11

DIAGNOSIS OF DYSPLASIA
The cytological and architectural features of dysplasia of the gastric mucosa are well described.12-14 Diagnosis of low grade (Fig 1) or high grade dysplasia (Fig 2) depended on the higher nucleus:cytoplasm ratio, higher mitotic activity, greater loss of polarity, pseudostratification, more pronounced nuclear pleomorphism, and more prominent nucleoli in high grade dysplasia.

DIAGNOSIS OF CARCINOMA
The cytological appearances of neoplastic cells in gastric cancer and in high grade dysplasia are often identical. Carcinoma was diagnosed only if neoplastic cells were seen to be invading the lamina propria. Multiple sections through biopsy material were examined to reduce the likelihood of invasion being missed. Cases in which the original endoscopic biopsy material showed bizarre cytological and architectural appearances suspicious of carcinoma (Fig 3), such as are sometimes seen in biopsy specimens from the margins of a tumour, were excluded from the study. Biopsy material with these appearances should not be classified as dysplasia.

Results
After histological review of the original specimen seven patients were classified as having low grade and 13 as having high grade dysplasia. In 19 of the 40 cases reviewed the appearances were not considered to represent true dysplasia, and in one case the diagnosis was changed to intramucosal carcinoma. The revised diagnoses in these patients are presented in Table I. These 20 cases were originally classified as mild, moderate, or low grade dysplasia, usually by ‘non-specialist’ pathologists (Figs 4 and 5).

PATIENTS WITH DYSPLASIA
Clinical details of the 20 patients with confirmed gastric epithelial dysplasia were obtained from the case notes. Their median age was 72 years (range 55–86 years). Eight were women and 12 men. Five of the 20 patients had been operated on for benign peptic ulceration, by means of
Polya gastrectomy or gastroenterostomy, 15–50 years previously (Table II).

**CLINICAL PRESENTATION**

There is a policy of open access to endoscopy at the General Infirmary, but there is no screening programme for asymptomatic patients. Thus all patients in this study had undergone endoscopy because they had symptoms suggestive of upper gastrointestinal disease such as pain, loss of weight, or anaemia. The indications for endoscopy are given in Table III.

**ABNORMALITIES OF THE GASTRIC MUCOSA SEEN AT ENDOSCOPY (TABLE IV)**

Gastric ulcers and tumours or polyps were by far the commonest abnormalities seen at endoscopy.

**Gastric ulceration**

Seven of the 13 patients with high grade dysplasia were found to have gastric ulceration at the first endoscopy: one patient had two superficial ulcers on the lesser curve and six patients each had a single ulcer situated on the lesser curve. The largest ulcer was 2 cm in diameter. Only one of these ulcers was described as malignant by the endoscopist, though in another case the presence of irregular mucosa near the ulcer raised suspicion of early gastric cancer. Healing of the ulcer in response to treatment with H2 receptor antagonists was documented in four of these patients at the time of the second endoscopy.

Two of the seven patients with low grade dysplasia had an ulcer on the lesser curvature. In one patient the ulcer was described as an erosion: unfortunately this patient did not return for follow up. The other patient had an ulcer which appeared to be benign and which healed in response to treatment with H2 receptor antagonists. Follow up biopsies six months later showed continuing low grade dysplasia in the ulcer scar.

**Tumour**

Two patients with high grade dysplasia had raised tumours. In one patient a tumour on the lesser curve was associated with liver metastases on the ultrasound scan. In the other patient the tumour was seen to occupy most of the antrum: no further specimens were taken and the patient underwent a total gastrectomy with radical lymphadenectomy. The resected specimen contained a tumour 8 cm in diameter invading the muscularis propria, but the lymph nodes were not involved.

**Polyp**

Three patients had high grade dysplasia in solitary polyps. One was an adenoma with a focus of high grade dysplasia, situated adjacent to a gastroenterostomy: carcinoma was found in a second set of biopsy specimens taken a week later. The resected specimen contained an adenoma 3 cm in diameter in which intramucosal carcinoma was found. One patient with a small sessile polyp high on the posterior wall of the stomach was found to have carcinoma at the same site in a second set of specimens taken five months later. The third patient had high grade dysplasia in a sessile antral polyp which at endoscopy was thought to measure 1 cm in diameter: further sets of biopsy specimens taken two and three months later showed continuing high grade dysplasia.

One patient had multiple tiny regenerative polyps situated on the posterior wall of the upper part of the stomach. Endoscopic biopsy specimens from this region showed changes of intestinal metaplasia and regeneration and also low grade dysplasia. Further specimens taken 14 months later also showed low grade dysplasia.

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**TABLE I** Pathological conditions of the stomach that were initially mistaken for dysplasia

<table>
<thead>
<tr>
<th>Revised diagnosis</th>
<th>N o</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gastric carcinoma</td>
<td>1</td>
</tr>
<tr>
<td>Chronic atrophic gastritis (intestinal metaplasia with hyperproliferative 'crypts')</td>
<td>7</td>
</tr>
<tr>
<td>Chronic gastritis with erosions (regenerative atypia)</td>
<td>6</td>
</tr>
<tr>
<td>Chronic superficial gastritis (foveolar hyperplasia)</td>
<td>1</td>
</tr>
<tr>
<td>Reflux gastritis (foveolar hyperplasia)</td>
<td>4</td>
</tr>
<tr>
<td>Lymphocytic gastritis (erosion with regenerative atypia)</td>
<td>1</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>20</td>
</tr>
</tbody>
</table>

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**TABLE II** Nature of previous operations for benign peptic ulceration in patients found to have dysplasia in endoscopic biopsies of the stomach

<table>
<thead>
<tr>
<th>No</th>
<th>Operative procedure</th>
<th>Degree of dysplasia</th>
<th>Years between operation and diagnosis of dysplasia</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Polya partial gastrectomy</td>
<td>High grade</td>
<td>15</td>
</tr>
<tr>
<td>2</td>
<td>Polya partial gastrectomy</td>
<td>High grade</td>
<td>31</td>
</tr>
<tr>
<td>3</td>
<td>Gastroenterostomy</td>
<td>High grade</td>
<td>50</td>
</tr>
<tr>
<td>4</td>
<td>Polya partial gastrectomy</td>
<td>Low grade</td>
<td>30</td>
</tr>
<tr>
<td>5</td>
<td>Truncal vagotomy and gastroenterostomy</td>
<td>Low grade</td>
<td>21</td>
</tr>
</tbody>
</table>

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**TABLE III** Indications for endoscopy in 20 patients with gastric epithelial dysplasia

<table>
<thead>
<tr>
<th>Indication for endoscopy</th>
<th>No of patients</th>
</tr>
</thead>
<tbody>
<tr>
<td>Upper abdominal pain</td>
<td>15*</td>
</tr>
<tr>
<td>Anaemia</td>
<td>4</td>
</tr>
<tr>
<td>Melena</td>
<td>1</td>
</tr>
</tbody>
</table>

*Four patients who presented with upper abdominal pain also complained of loss of weight.

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**Figure 5:** Quiescent chronic atrophic gastritis with intestinal metaplasia initially diagnosed as mild dysplasia. Here the hyperplastic crypts show mild atypia and increased mitotic activity, but there is maturation at the surface of the crypts. The appearances are attributed to the high cell turnover that occurs in metaplastic mucosa and not to a premalignant change (×64).
The first gastrectomy, for laser photocoagulation of a small antral polyp. After destruction of the polyp there was regression to low grade dysplasia and then no dysplasia was found in three subsequent sets of specimens taken over a period of one year. Eighteen months after photocoagulation, however, a raised lesion was again seen at endoscopy: biopsy specimens showed no evidence of dysplasia, but because of the high degree of suspicion a further set was taken and the presence of high grade dysplasia was confirmed. This patient is a poor operative risk and is returning for further biopsies before a decision about further treatment is made.

The TNM staging of the 13 patients with high grade dysplasia in the original set of biopsy specimens is summarised in Table V.

**Patients with low grade dysplasia**

Of the seven patients with low grade dysplasia, four underwent further endoscopy and biopsy and three did not. In the four patients further biopsies after 2–24 months showed no evidence of dysplasia in three, while there was continuing low grade dysplasia in one patient after six months. Of the three patients who were not followed up, one failed to attend for further investigation, one was discharged, and the third died of an unrelated condition.

** Patients reclassified as not having dysplasia**

In 19 patients the diagnosis was revised to gastritis with regenerative atypia (Table I). None of these patients developed gastric carcinoma during a median follow up period of 18 months.

**NUMBER OF BIOPSY SPECIMENS**

Because of the retrospective nature of this review it was not possible to determine how many biopsy specimens were taken at each endoscopy in each patient, and when the number was indicated it was not always clear whether they had all been taken from the abnormal area of gastric mucosa. The number of sets of specimens taken in each patient was recorded. In patients with high grade dysplasia in the first set of specimens, in whom gastric cancer was diagnosed on the basis of follow up biopsies, the cancer was always found in either the second or the third set of specimens. Only one patient underwent gastrectomy on the basis of a single set of specimens that showed high grade dysplasia: this was because he had a large, malignant looking antral tumour at endoscopy. The other six patients who underwent gastrectomy on account of high grade dysplasia had two to five sets...
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<table>
<thead>
<tr>
<th>Patient</th>
<th>Endoscopic appearance</th>
<th>Treatment</th>
<th>TNM stage</th>
<th>Interval between diagnosis of high grade dysplasia and diagnosis of gastric cancer (months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Plaque</td>
<td>Subtotal gastrectomy</td>
<td>T0 N0 M0</td>
<td>-</td>
</tr>
<tr>
<td>2</td>
<td>Polyp</td>
<td>Total gastrectomy</td>
<td>T1 N0 M0</td>
<td>&lt;1</td>
</tr>
<tr>
<td>3</td>
<td>Ulcer</td>
<td>Subtotal gastrectomy</td>
<td>T1 N0 M0</td>
<td>2</td>
</tr>
<tr>
<td>4</td>
<td>Ulcer</td>
<td>Subtotal gastrectomy</td>
<td>T1 N0 M0</td>
<td>3</td>
</tr>
<tr>
<td>5</td>
<td>Ulcer</td>
<td>Total gastrectomy</td>
<td>T1 N0 M0</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Ulcer</td>
<td>Total gastrectomy</td>
<td>T1 N0 M0</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Ulcer</td>
<td>Total gastrectomy</td>
<td>T1 N0 M0</td>
<td>13</td>
</tr>
<tr>
<td>8</td>
<td>Raised tumour</td>
<td>Subtotal gastrectomy</td>
<td>T2 N0 M0</td>
<td>2</td>
</tr>
<tr>
<td>9</td>
<td>Ulcer</td>
<td>Subtotal gastrectomy</td>
<td>T2 N0 M0</td>
<td>8</td>
</tr>
<tr>
<td>10</td>
<td>Ulcer</td>
<td>Subtotal gastrectomy</td>
<td>T2 N0 M0</td>
<td>15</td>
</tr>
<tr>
<td>11</td>
<td>Polyp</td>
<td>Laser photocoagulation</td>
<td>Tx Nx Mx</td>
<td>-</td>
</tr>
<tr>
<td>12</td>
<td>Polyp</td>
<td>Laser photocoagulation</td>
<td>Tx Nx Mx</td>
<td>5</td>
</tr>
<tr>
<td>13</td>
<td>Raised tumour</td>
<td>No treatment</td>
<td>Tx Nx M1</td>
<td>-</td>
</tr>
</tbody>
</table>

Note: Cancer was only diagnosed in patients 3, 4, 5, 7, 8, and 9 after examination of the resected stomach. Patient 13 was terminally ill with disseminated cancer at the time of diagnosis. Patients 11 and 12 did not undergo gastrectomy: patient 11 had at least high grade dysplasia, and patient 12 had at least a T1 cancer.

of specimens taken up to 15 months after the first set, before surgical treatment was advised.

**TYPE OF GASTRECTOMY**

In each of the 10 patients who underwent gastrectomy, radical (R2) lymphadenectomy was performed. The gastrectomy was total in four patients and subtotal in six (Table V).

**SURVIVAL**

There were no postoperative deaths. Three of the original 40 patients are known to have died. The patient who had a large gastric neoplasm with evidence of liver metastases on the ultrasound scan, but whose gastric biopsy specimens showed only high grade dysplasia, died in hospital of his tumour. None of the patients who underwent gastrectomy for high grade dysplasia or gastric carcinoma has died of gastric carcinoma: one has died of breast carcinoma, however, and another of heart disease.

**Discussion**

We found that most patients who were diagnosed as having high grade dysplasia of the gastric mucosa on examination of gastric biopsy specimens either had gastric cancer or soon developed gastric cancer. Furthermore, the cancers that were present in association with high grade dysplasia were nearly all ‘early’ and thus potentially curable. Ten of the 13 patients who had high grade dysplasia in their original endoscopic biopsy specimens subsequently underwent radical gastrectomy: nine were found to have gastric carcinoma and one had high grade dysplasia. Six of the cancers resected were early gastric cancer and the other three cancers were invading only into the muscularis propria but had not invaded the serosa. None of the 10 cases had lymph node metastases. Thus the prognosis of these patients is much better than that of most patients with gastric cancer.

It seems likely that in some patients the presence of carcinoma may have been missed in the original set of biopsy specimens, which showed only an adjacent area of high grade dysplasia. In 20–40% of stomachs resected for early gastric cancer, and up to 80% of stomachs resected for advanced cancer, areas of epithelial dysplasia can also be found. This strong association between gastric cancer and synchronous dysplasia should thus arouse strong suspicion that gastric cancer has been missed when biopsy specimens show the presence only of high grade dysplasia.

A problem of management arises when repeated endoscopies and biopsies confirm the presence of high grade dysplasia, but not of frank carcinoma. Some of the patients in the present study may have had a small area of invasive cancer, within an area of high grade dysplasia, which was missed by repeated biopsies, as borne out by the finding of invasive cancer in six of the seven patients who underwent gastrectomy but who did not have cancer in the preoperative endoscopic biopsy specimens. Admittedly, two of these patients had high grade dysplasia diagnosed more than a year before they underwent gastrectomy, and they may have developed invasive cancer during that period of follow up. Cancer detected soon after dysplasia is diagnosed probably represents synchronous disease, but cancer found after many months of follow up, with repeated biopsies, may represent true progression. Gastric cancer has been found in patients with dysplasia who were followed up for periods of up to six years.

The finding of occult cancer in patients with gastric epithelial dysplasia who underwent gastrectomy has been described previously. Thus, Astes et al found gastric cancer in eight of 13 patients with moderate or severe dysplasia who underwent gastrectomy. As in the present study, dysplasia was usually associated with a visible lesion, most commonly an ulcer, and many of the cancers detected were ‘early’ and potentially curable. Similarly, Saraga et al found cancer in 17 of 21 patients with severe dysplasia within three years of the original diagnosis of severe dysplasia. In 12 of these 17 patients the carcinoma was only found on examination of the gastrectomy specimen, and in eight patients it was early gastric cancer. Previous reports of gastric cancer developing in areas of dysplasia may have underestimated the true incidence of gastric cancer if the whole area of dysplasia was not subjected to histological examination.

Attempts to try to distinguish three different grades of severity of dysplasia may encourage the use of the term ‘mild dysplasia’ when the pathologist is presented with any biopsy specimen that shows minor cytological atypia. Many of these cases will represent regenerative atypia rather than a premalignant gastric atypia. Many of these cases will represent regenerative atypia rather than a premalignant gastric atypia. Many of these cases will represent regenerative atypia rather than a premalignant gastric atypia.
present study, when biopsy material was reviewed it was found that 16 of 23 cases originally reported as mild or low grade dysplasia had been misdiagnosed. Fifteen were reclassified as showing gastritis, with regenerative atypia, but one was reclassified as frank carcinoma. In contrast, no set of specimens originally thought to show severe or high grade dysplasia was reclassified as gastritis alone. Of the eight sets of specimens originally classified as moderate dysplasia, five were reclassified as gastritis, two as high grade dysplasia, and one as low grade dysplasia. No patient whose biopsy specimens were reclassified as gastritis developed gastric carcinoma during a median period of follow up of 18 months.

In this study dysplasia was most commonly associated with an ulcer. This is in keeping with the findings of previous studies.8–16 The finding of dysplasia in the margin of a peptic ulcer would suggest that the ulcer is either malignant or about to become malignant, but the risk of cancer developing in a benign ulcer is small17 and many of the putative dysplastic changes at the edges of ulcers are probably regenerative and not neoplastic. Problems in the diagnosis of dysplasia and cancer in biopsy material taken from the edge of an ulcer have been highlighted by Williams.18 The problem is particularly acute in the presence of erosions where regenerative activity in residual glands of the deep mucosa can be readily mistaken for dysplasia or even carcinoma.19 ‘Overdiagnosis’ of dysplasia in some series may explain why so few patients with dysplasia were subsequently found to have cancer.

It may also be the case that neoplastic and dysplastic epithelium is prone to ulceration, and some ulcers initially thought to be benign are in fact neoplastic. Farinati et al20 discovered 10 cancers among 144 patients with apparently benign ulcers who were followed up for 41 months with repeated endoscopy and biopsy. Most cancers were found within six months, so it is likely that the original biopsy specimens were either not representative of the ulcer or were misinterpreted.

The difficulty in distinguishing benign from malignant ulceration in the stomach by endoscopic examination is well known. This is particularly so for early gastric cancer, as shown by Ballantyne et al21 in whose study of 14 ulcerating early gastric cancers, seven were thought to be benign by naked eye inspection at gastroscopy. In Japan, however, where gastric cancer is found in its early stages more often than in the West, malignant ulcers can be distinguished from benign ulcers with an accuracy rate of 80% by the endoscopist.22 It was interesting that in the present study four ulcers associated with high grade dysplasia healed in response to H2 receptor antagonists. In each case the patient was subsequently found to have gastric cancer.

Polyps of the adenomatous type are rare in the stomach, comprising 10% or less of all gastric polyps. Estimates of the proportion that progress to gastric cancer vary from 6% to 75%.23 Nakamura and Nakano24 found foci of carcinoma in 33% of those gastric polyps that were similar in histological appearance to colonic adenomas. Probably only 5% of all gastric cancers arise in polyps.25 In the present series cancer was found in follow up biopsy material from an adenomatous polyp in one patient.

We have performed radical gastrectomies in patients who had high grade dysplasia but no histological evidence of invasive carcinoma in preoperative biopsy material. It is likely that our cases of high grade dysplasia correspond to Nagayo’s category of ‘probable carcinoma,’ for which he advises that gastrectomy be performed. In Nagayo’s vast experience, ‘probable carcinomas’ and ‘borderline lesions’ comprise less than 3% of all biopsy specimens examined. The use of gastrectomy in these patients, however, presumably increased the number of early gastric cancers resected and may have contributed to the impressive statistics for survival after surgery for gastric cancer in Japan.

In high risk patients less radical measures than aggressive surgical treatment may have to be considered. Thus two patients in our series, who were considered unfit for gastrectomy, underwent the more aggressive endoscopic treatment, one for carcinoma and the other for high grade dysplasia. Although the lesions recurred in both patients after 12 to 18 months’ follow up, we believe that the use of laser photocoagulation is a better option than simple observation and repeated biopsy. Laser photocoagulation has also been used by Takemoto26 to treat patients with early gastric cancer who were considered unfit for gastrectomy. Endoluminal ultrasound was used to determine the depth of invasion of the cancer before treatment, and to assess the depth of destruction caused by the laser. Among 14 patients in whom the laser was thought to have coagulated the carcinoma completely, no evidence of recurrent cancer was found in 12 patients six to 22 months later, and two patients had recurrent cancer. Thus the use of endoluminal ultrasound for the assessment and follow up of early gastric cancer treated by laser photocoagulation merits further study in selected elderly or unfit patients.

Our series shows that a high proportion (85%) of patients with high grade dysplasia in endoscopic biopsy specimens have (or soon will have) gastric cancer. A high proportion (90%) of these cancers are at an early stage of invasion (T1 or T2). Our finding that cancer was invading the muscularis propria in three of 10 patients who underwent gastric resection highlights the risk of inaction — that is, of merely following up patients with high grade dysplasia by repeated endoscopy and biopsy.

The present study has highlighted the difficulty that can be experienced in demonstrating gastric cancer in patients presenting with high grade dysplasia even when carcinoma has invaded the muscularis propria. Although none of the patients in this series had metastases to lymph nodes, the risk of lymph node metastases is directly related to the depth of invasion of gastric cancer, being 2–4% for cancer invading the mucosa, 20% for cancer invading the submucosa, and over 40% for cancer invading the muscularis propria.10 In our own series of 27 patients who had early gastric cancers resected in the last 15 years, three (11%) had metastases to lymph nodes. We therefore consider radical
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