Short chain fatty acid rectal irritation for left-sided ulcerative colitis: a randomised, placebo controlled trial


Abstract.
Background—Short chain fatty acid (SCFA) deficiency is associated with colitis in animals and humans, and the mucosal metabolism of these compounds is decreased in ulcerative colitis.

Aims—To assess the efficacy of topical SCFA treatment in ulcerative colitis.

Patients and Methods—103 patients with distal ulcerative colitis were entered into a six week, double-blind, placebo controlled trial of rectal SCFA twice daily; patients who were unchanged on placebo were offered SCFA in an open-label extension trial.

Results—Of the 91 patients completing the trial, more patients in the SCFA treated than in the placebo treated group improved (33% vs 20%, p=0.14, NS). Those on SCFA also had larger, but statistically non-significant, reductions in every component of their clinical and histological activity scores. In patients with a relatively short current episode of colitis (≤6 months, n=42), more responded to SCFA than to placebo (48% vs 18%, p=0.03). These patients also had larger, but statistically non-significant, decreases in their clinical activity index (p=0.08 vs placebo). Every patient who improved used at least five of the six prescribed rectal SCFA irrigations, whereas only 37% who did not improve were as compliant. In the open-label extension trial, 65% improved on SCFA; these patients also had significant reductions (p<0.02) in their clinical and histological activity scores.

Conclusions—Although SCFA enemas were not of therapeutic value in this controlled trial, the results suggest efficacy in subsets of patients with distal ulcerative colitis including those with short active episodes. Prolonged contact with rectal mucosa seems to be necessary for therapeutic benefit.

(Gut 1997; 40: 485–491)

Keywords: short chain fatty acids, distal ulcerative colitis.

Treatment of distal ulcerative colitis consists mainly of topical or oral 5-aminosalicylic acid (5-ASA) compounds or corticosteroids, or both. These compounds alter the inflammatory response by decreasing oxygen free radical activity and proinflammatory cytokine release, and by modifying the lipoo- and cyclo- oxygenase pathways of arachidonic acid metabolism. They are relatively expensive, not uniformly effective, and are not free of undesired systemic effects even in their new formulations, and repeated treatment courses are often needed. Short chain fatty acids (SCFA) have been proposed as an inexpensive alternative topical treatment for distal ulcerative colitis. Acetate, propionate and butyrate are the predominant ions in faecal water and provide most of the metabolic energy for normal colonic epithelial cells. When SCFA are completely absent, diversion colitis develops in humans and experimental animals. Decreased colonic SCFA concentrations resulting from suppression of the bacterial flora may contribute to antibiotic associated diarrhoea. Butyrate oxidation by colonocytes is impaired in active and quiescent ulcerative colitis. This may be an intrinsic metabolic defect, or it may be the result of increased luminal concentrations of sulphites, sulphides and mercaptans produced by sulphate reducing bacteria in ulcerative colitis. In a preliminary trial, nine of 11 patients treated for six weeks with SCFA rectal irrigations showed clinical, endoscopic and histological improvement. We now report the results of a randomised, double-blind, placebo controlled study, and of an open-label trial of SCFA rectal irrigation in those patients who did not respond to placebo.

Methods
A diagnosis of distal ulcerative colitis was established by standard clinical, endoscopic and histological criteria. For inclusion, symptoms must have appeared at least three months prior to entry and disease had to extend from at least 15 cm to no more than 65 cm from the anal verge. At entry, patients must have been on either no medication or stable doses of oral 5-ASA or corticosteroids (≤30 mg prednisolone per day), or both, for at least six weeks, and off rectal corticosteroids for at least one month and rectal mesalazine for at least one week. Oral medication was maintained without dosage change throughout the study. Patients less than 18 years of age, those with clinically important hepatic or renal disease and pregnant women were excluded. Flexible
sigmoidoscopy was performed routinely on entry; colonoscopy was required if it had not been performed within the previous year to confirm the absence of proximal disease. Stool frequency, rectal bleeding and endoscopical appearance were each rated on a scale from 0 (normal) to 3 (notably abnormal) for each. A scale for impact of disease on activities of daily living was defined: 0=no effect, 1=minor effect or interference, 2=major effect on some aspects, 3=unable to participate in usual activity. The sum of these scores (maximum=12) was termed the disease activity index (DAI). To enter, patients had to have a DAI of at least 4. Without knowledge of treatment status biopsy specimens obtained from the same area before and after the trial were graded on a scale from 0 to 3 for each of the following criteria: cryptitis/abscesses, surface erosions/exudates, polymorphonuclear leucocytes in the lamina propria, and glandular mucin depletion. The sum of these scores (maximum=12) was termed the histology index. Laboratory studies obtained within one week of entry and at the end of the six week study period included complete blood count, electrolytes, multichemistry panel, urinalysis, and stools for ova and parasites and Clostridium difficile toxin. A 48 hour stool collection was obtained before and after the trial with the patients following their self-selected diets. The stools were refrigerated during the collection period and kept frozen at -20°C pending analysis. Short chain fatty acids were extracted from faecal fluid and measured by gas chromatography. Assignment to placebo or SCFA rectal enema was by a separate table of random assignment prepared for each of the nine participating centres. Treatment consisted of 100 ml enemas given upon rising and at bedtime for six weeks. Patients were encouraged to retain the enemas as long as possible. SCFA enemas contained sodium acetate (80 mmol/l), sodium propionate (30 mmol/l) and sodium butyrate (40 mmol/l) adjusted to pH 7 with 1 N NaOH. Placebo enemas consisted of NaCl (140 mmol/l) also adjusted to pH 7. Patients were contacted by telephone at one and two weeks and evaluated in person at the end of the third week. The study was terminated if the patient or study physician concluded that the course of the disease had worsened. At the end of the trial, flexible sigmoidoscopy was repeated and the DAI recalculated. In addition, the managing physician and the patient, both unaware of treatment modality, jointly assessed and agreed upon a global result as follows: complete remission, much improved, minimally improved, no change, or worse. Treatment response was defined as complete remission/much improved, unless stated otherwise. Pre- and post-treatment biopsy specimens were evaluated by the study pathologist who was unaware of the clinical status. Patients kept a daily diary to record symptoms (number of bowel movements, bleeding and abdominal pain), and use and retention of study enemas. For those who did not improve, the code was broken immediately after the final evaluation. Placebo treated patients in this group were offered SCFA enemas in a six week, open-label extension trial, using the same protocol as described earlier. Protocols were approved by the Institutional Review Board of each participating institution, and all patients gave informed consent.

In the double-blind study, baseline comparisons of treatment groups were performed using the \( \chi^2 \) test or the two sample \( t \) test. Global assessment was compared between treatment groups using the Mantel-Haenszel test adjusting for centre. Changes in DAI score, histology score and their components were compared between baseline and follow up (for both the double-blind and open-label studies) using the paired \( t \) test. Faecal SCFA were correlated with DAI using Pearson's correlation coefficient. Changes in disease activity and histology scores were compared between the double-blind and open-label studies using the paired \( t \) test. An interim analysis was performed after 41 patients had been entered. The purpose of this interim analysis was to estimate treatment differences and to update the initial calculations of statistical power. Therefore, no adjustment to the criterion for significance is made in the final analyses. A sample size of 45 analysable patients per group had 80% power to detect a mean difference of 1.8 in DAI change between the active treatment and control groups. Statistical significance is indicated when \( p<0.05 \). All tests were two-tailed.

In assessing outcome, no adjustment for covariates other than clinical centre were made for three reasons. First, there were no statistically significant differences between the SCFA and placebo groups for any baseline covariate. Second, although some covariates were observed to be related to change in disease status as measured by a global response (see Results), these relations were seen only in one of the two study groups. Third, none of these covariates altered the conclusions regarding treatment effect – that is, only minimal changes to the \( p \) values for treatment occurred when they were adjusted for.

The baseline characteristics in Table I were related to global response separately for the SCFA and placebo groups using Fisher's exact test and the two sample \( t \) test. A multiple logistic regression analysis was used to assess the independent effects of factors found significant at the 5% level. Logistic regression analysis with an interaction term was used to determine whether a factor significantly altered the SCFA treatment effect as measured by global assessment.

**Results**

One hundred and three patients from nine centres entered the trial: 53 received placebo and 50 the SCFA enemas. Baseline
characteristics were similar with no significant differences between the two groups (Table I). Three patients (all SCFA) who never returned for evaluation were not considered further. Of the remaining 100, nine were judged unanalyisable (two SCFA, seven placebo): five could not take or were unable to retain more than a few enemas, three had major protocol violations, and one developed a non-gastrointestinal disease requiring corticosteroid therapy. Of the remaining 91 analysable patients, 46 had been assigned to the placebo group and 45 to SCFA treatment. Inclusion of the nine unanalyisable patients in the analysis (intention to treat) did not change the statistical conclusions reported here.

The distribution of global assessments at the end of the six week trial is shown in Table II. More SCFA treated patients (40%) showed some improvement (complete remission/much improved/minimally improved) than those randomised to placebo (33%, p=0.44, NS). This difference was greater when we considered substantial improvement only (complete remission/much improved) as treatment response (33% v 20%, p=0.14, NS). Within both the SCFA and placebo groups, except for stool frequency in the latter, the scores for each component and the composite DAI improved significantly between the beginning and the end of the study (Table III). Although SCFA treated patients improved more in each measurement and the decrease in the DAI was almost twice that for placebo, none of the differences in the pre- to post-treatment changes between the groups was statistically significant.

To determine whether any baseline characteristic influenced outcome, we evaluated the relation between each factor in Table I and treatment response. Short duration of current colitis attack (≤6 months), baseline disease activity and sex were related to global response in the SCFA group only (Table IV). Multiple logistic regression analysis indicated that all three factors contributed independently to response— that is, each was significant (p<0.05) in the model with all three factors. However, on direct comparison between SCFA and placebo treated patients within each subgroup, only short duration of current colitis attack seemed to influence treatment outcome significantly (Table IV).

Responses to prior medications were available in only about two-thirds of our study group. Among these, only 33% of those who had tried rectal 5-ASA and 34% of those who had tried rectal steroids had ever responded to these medications.

Compliance with the enema protocol was poor. Only 28 (62%) of 45 SCFA and 32 (70%) of 46 placebo treated patients completed five weeks (35 days) or more of the trial and retained more than half of the enemas for at least 30 minutes. In the SCFA treated group, every patient who improved (complete remission/much improved/minimally improved) compared with only 37% of those who did not improve was compliant by these criteria. Among all analysable patients who completed at least 35 days of the trial, there was a trend towards more SCFA than placebo treated patients showing treatment response.
TABLE IV. Subgroup comparison of response as measured by global assessment (clinical remission + much improved) after treatment with SCFA or placebo in the double-blind trial

<table>
<thead>
<tr>
<th>Factor</th>
<th>SCFA</th>
<th>Placebo</th>
<th>p value</th>
<th>SCFA-placebo difference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Duration of current episode</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;6 months</td>
<td>25 48%</td>
<td>20 45%</td>
<td>0.03*</td>
<td>-30%</td>
</tr>
<tr>
<td>&gt;6 months</td>
<td>20 15% (0.03)†</td>
<td>29 21% (0.99)‡</td>
<td>0.51*</td>
<td>-6% (0.045)†</td>
</tr>
<tr>
<td>Baseline DAI</td>
<td>20 10%</td>
<td>22 9%</td>
<td>0.78*</td>
<td>1%</td>
</tr>
<tr>
<td>&gt;7</td>
<td>25 52% (0.004)‡</td>
<td>24 29% (0.14)‡</td>
<td>0.10*</td>
<td>23% (0.09)‡</td>
</tr>
<tr>
<td>Sex</td>
<td>Female</td>
<td>17 59%</td>
<td>0.06*</td>
<td>33%</td>
</tr>
<tr>
<td>Male</td>
<td>28 18% (0.008)‡</td>
<td>27 15% (0.46)‡</td>
<td>0.75*</td>
<td>3% (0.28)‡</td>
</tr>
</tbody>
</table>

*p values by Mantel-Haenszel test comparing SCFA with placebo subgroups for each factor.  
†p values by logistic regression for interaction comparing differences between SCFA and placebo groups for each factor.  
‡p values comparing per cent response between subgroups within SCFA and placebo groups for each factor by Fisher’s exact test.

(15 (44%) of 24 v eight (22%) of 36, p=0.07). In this group of compliant patients, the DAI also tended to improve more in those on SCFA than those on placebo (Δ=2.65 v -1.25, p=0.09).

No significant side effects were detected by physical examination or laboratory results. The only reported side effect was occasional minor anal irritation caused by the enema tip. Mean (SEM) initial faecal SCFA concentrations (Table I) were lower than those reported previously for normal subjects (acetate, 83 (9) mmol/l; propionate, 21 (2) mmol/l; butyrate, 21 (3) mmol/l),11 below those in our irrigation solution and did not differ between SCFA and placebo groups. Conversely, faecal lactate concentrations were higher than normal (<1 mmol/l) in both groups.15 At baseline, for the entire study cohort, the DAI correlated inversely with initial faecal propionate, butyrate and total SCFA (r=-0.32, p=0.004; r=-0.22, p=0.05; r=-0.23, p=0.04, respectively) and directly with faecal lactate (r=0.21, p=0.05). At the end of the study, improvement in DAI correlated with a decrease in faecal lactate (r=0.30, p=0.01). The concentrations of each faecal SCFA increased but none of the correlations was significant.

**OPEN-LABEL TRIAL IN PLACEBO NON-RESPONDERS**

Seventeen patients who had not improved on placebo during the double-blind study opted for six weeks of SCFA solution in the open-label trial. Eleven (65%) showed some improvement (complete remission/much improved/minimally improved), of whom eight (47%) were either much improved or in clinical, including endoscopic, remission (Table V). After six weeks of SCFA enemas, their mean DAI score and scores for each component of that index improved significantly (Table VI). Their histology indexes also improved by the sixth week end point (Table VI). Figure 1 shows the components of their DAI responses and Figure 2 the components of their histology index responses to SCFA in the extension trial compared with those to placebo in the double-blind trial. By each clinical and histological measurement, these patients improved more on SCFA than during the preceding placebo period. Many differences were statistically significant. All patients who improved for whom compliance data were available (nine of 11) were compliant as defined above.

**Discussion**

Short chain fatty acids are the preferred energy source of colonic epithelial cells, especially those in the distal colon and rectum.7 Although patients with distal ulcerative colitis may have increased,16 normal or only moderately decreased stool SCFA concentrations compared with normal subjects,17 their colonocytes do not use these compounds readily.7 We and others have postulated that increased concentrations of, or prolonged contact with, SCFA might have a therapeutic effect in ulcerative colitis by overcoming a metabolic defect, enhancing cell repair or by some other mechanism.3 18 19 Although a controlled trial of nightly butyrate enemas failed to show benefit,20 several uncontrolled19 21 and controlled clinical trials18 22-24 using enemas twice daily have suggested that rectal instillation of these compounds may be helpful in the management of patients with distal ulcerative colitis.

In our original design, we projected a 60% clinical response rate for SCFA and a 30% response rate for placebo in order to have an 80% chance of showing statistical significance. By these criteria, the results of this double-blind, placebo controlled trial do not show a definite benefit for SCFA in the treatment of distal ulcerative colitis. However, all trends in the blinded trial were in favour of SCFA. The significant response of those with a relatively short current episode of colitis to SCFA in our trial and the results of the open-label follow up...
Figure 1: Comparison of changes in DAI component scores in the open-label extension trial with those for the same patients in the double-blind trial (n=14). A positive change indicates improvement, a negative change indicates worsening. Columns are mean (SEM). *p=0.01 by paired t test, double-blind v open-label extension trial.

Figure 2: Comparison of changes in histology index component scores in the open-label extension trial with those for the same patients in the double-blind trial (n=14). A positive change indicates improvement, a negative change indicates worsening. Columns are mean (SEM). *p=0.002 by paired t test, double-blind v open-label extension trial.

disease, their responses to standard rectal medications had been about half those usually reported. For some patients, this trial was the last step before colectomy; others, especially those from tertiary care centres, were veterans of clinical trials whose symptoms had been refractory to medical therapy for years.

Compliance with the protocol may be another factor. Although overall compliance was poor, every SCFA treated patient who improved completed at least 35 of the 42 days of the trial and retained more than half the enemas for at least 30 minutes compared with a minority of those SCFA treated patients who did not improve. Poor compliance occurred despite frequent contact with the subjects as required by the study protocol, and was not statistically different between patients in the placebo and SCFA groups. Reasons given included travel and inability to retain the enemas. Interestingly, the odour of butyrate was not recorded as a factor affecting compliance. Whether taking five of six of the prescribed treatments and enema retention time depended on disease severity, early improvement, motivation or other factors is not clear. However, these data suggest that increased mucosa-SCFA contact time may be an important determinant of efficacy.

Finally, we used a mixture of SCFA corresponding approximately to physiological concentrations of acetate and propionate and double that for butyrate, whereas other investigators have used butyrate alone. Positive blinded studies by Senegore et al and Vernia et al using the same or similar mixture as ours and the comparable results for the mixture and butyrate alone in one trial suggest that the composition of the SCFA solution was not a major factor.

Vernia et al reported positive results in a placebo controlled trial in 40 patients with "mild to moderately severe" colitis by the Truelove-Witts’ criteria using a design similar to ours and the same SCFA rectal irrigation solution. None of their patients required steroids at entry and most seemed to have a milder form of colitis than those in our trial. In addition, all the Italian patients were on maintenance 5-ASA during the six week trial compared with only 37% of our study cohort. These investigators have suggested that rectal SCFA may be more effective in patients receiving 5-ASA concomitantly because of proposed different modes of action.

The results of our follow up, open-label study in which two-thirds of placebo responders in the double-blind trial improved on rectal SCFA and a half improved notably (complete remission/much improved) suggest that the effect of our rectal solution is SCFA related and not due to a non-specific washout effect of noxious substances such as lactate or reduced sulphate compounds. These patients’ notable improvement in the endoscopic appearance of the affected mucosa as well as in their histology scores document the potential for SCFA related healing in some patients.
SCFA rectal irrigation seems to be safe. In addition to the 67 patients treated with rectal SCFA in this trial, we know of nine other trials in which SCFA rectal irrigations have been given to 130 additional patients with colitis without any reported side effect (Breuer RI, unpublished data). Three Four trials used a SCFA mixture identical or similar to ours, four butyrate alone and one compared the SCFA mixture with butyrate; four were open-label, four were double-blind, and four had concurrent comparison or control groups. Differences in study design and patient populations make comparison of results difficult. Overall, more than two-thirds of patients improved and a trend favoring SCFA was observed in all studies except the one that used a single rather than twice daily rectal instillation, illustrating the need for prolonged mucosa–SCFA contact.

The mechanism(s) by which SCFA may influence the clinical course, and endoscopic and histological findings in ulcerative colitis are complex and best studied for butyrate. Preliminary evidence from our laboratory suggests that butyrate oxidation by colonocytes from patients with ulcerative colitis does not increase in a concentration dependent manner on exposure to up to 100 mM butyrate, well above that in our irrigation solution (Pollack J and Breuer RI, 1995, unpublished data). However, the responses of colonocytes to butyrates or mixtures of SCFA at the luminal pH and high concentrations of reduced sulphur compounds present in colitis have not been studied.10 Butyrate also plays a key part in mucosal repair processes, reduces mucosal permeability27 and facilitates salt and water absorption.28 These effects may, in turn, reduce bleeding, favour healing of colitis, reduce mucosal transmigration of bacterial or food antigens, and diminish diarrhea. Butyrate also stimulates proliferation of normal colon cells,18 dilates resistance arteries of the colon increasing blood flow and mucosal oxygen uptake,29 reduces upper crypt cell frequency, improves the differentiation of cell lines from normal and neoplastic tissues,30 and acts as an anti-neoplastic agent.31 Thus, in addition to being a preferred nutritional substrate for colonocytes, butyrate seems to be an important intraacellular modulator of many metabolic processes.

The significant correlation between high clinical activity scores and low faecal SCFA and high lactate values in this study confirms prior observations.12 13 15 17 The correlation between decreased lactate and increased SCFA concentrations with clinical improvement does not clarify whether these changes are determinants or markers of clinical activity. The results of this double-blind, placebo-controlled trial, though negative according to our initial study design, and especially the open-label follow up study suggest that a mixture of SCFA may be effective therapy in subsets of patients with distal ulcerative colitis. Except for those with short duration of current attack (<6 months), we have not been able to identify definitely which subgroup of patients would be most likely to benefit. The data suggest that future studies that include patients with distal colitis with relatively short attack duration on maintenance 5-ASA may be more useful in evaluating the role of SCFA as a primary or adjunct treatment for distal ulcerative colitis.

Prolonged contact of the SCFA mixture with the mucosal surface seems to be an important factor for clinical efficacy. Therefore, future study designs might include more frequent applications of the topical solution, formulating the enema to improve retention, use of a foam to increase contact time, providing SCFA in an oral encapsulated form designed for release in the inflamed area, or using a slowly fermented oral substrate such as fermented starch.31

This study was supported by grant number FDU-000553 from the Orphan Products Development Division of the Food and Drug Administration and also by grant EHS-1 from Evanston Hospital. Additional funding was received from Orphan Medical Inc, and Pharmacience Inc. We wish to thank the CB Fleet Company, Lynchburg, Virginia, USA, for supplying the enema bottles.


