Primary prevention of diclofenac associated ulcers and dyspepsia by omeprazole or triple therapy in *Helicobacter pylori* positive patients: a randomised, double blind, placebo controlled, clinical trial

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**Background:** There is much controversy as to whether or not treatment of *Helicobacter pylori* reduces the occurrence of peptic ulcers during therapy with a non-steroidal anti-inflammatory drug (NSAID).

**Aim:** To assess the efficacy of triple therapy or omeprazole on the occurrence of diclofenac associated ulcers in *H pylori* positive patients.

**Methods:** This was a randomised, double blind, placebo controlled, multicentre trial in *H pylori* positive patients requiring NSAID therapy who had no past or current peptic ulcer. They received diclofenac 50 mg twice daily for five weeks in combination with one of the four randomly assigned treatments: anti-*H pylori* treatment for one week (omeprazole 20 mg+clarithromycin 500 mg+amoxicillin 1 g, all twice daily) followed by placebo for four weeks (OAC-P); anti-*H pylori* treatment for one week followed by antisecretory treatment with omeprazole 20 mg once daily for four weeks (OAC-O); omeprazole 20 mg once daily for five weeks (O-O); or placebo for five weeks (P-P).

**Results:** Data from 660 patients were included in an intention to treat analysis. The occurrence of peptic ulcers in the four treatment groups during the study period was: 1.2% for OAC-P, 1.2% for OAC-O, 0% for O-O, and 5.8% for P-P (p<0.05 between placebo and all active treatment groups). Patients who received active treatment developed therapy requiring dyspeptic symptoms less frequently than those who received placebo (p<0.05 between placebo and all active treatment groups).

**Conclusions:** In *H pylori* infected patients, all three active therapies reduced the occurrence of NSAID associated peptic ulcer and dyspeptic symptoms requiring therapy.

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**METHODS**

**Study design**

This randomised, double blind, placebo controlled study with four parallel groups was conducted in 73 primary and secondary centres in Germany (64 centres), Austria (seven centres), and the Czech Republic (two centres) between March 1998 and August 1999, in accordance with the principles of good clinical practice and the revised Declaration of Helsinki. The study protocol, patient information, and consent form were approved by an independent ethics committees at each of the centres.

**Abbreviations:** NSAID, non-steroidal anti-inflammatory drug; COX-2, cyclooxygenase 2; RR, relative risk; OACP, omeprazole+amoxicillin+clarithromycin, followed by placebo; OAC-O, omeprazole+amoxicillin+clarithromycin, followed by omeprazole; O-O, omeprazole followed by omeprazole; P-P, placebo followed by placebo; PPI, proton pump inhibitor.
study centres, and written informed consent was obtained from each patient prior to enrolment.

Selection of patients
Patients were aged over 18 years with inflammatory or degenerative disease of the musculoskeletal system requiring treatment with an NSAID for at least five weeks, and *H pylori* positive. Exclusion criteria were: ulcer history or an ulcer at admission endoscopy; clotting disorders; prior regular use of NSAIDs (exception was aspirin at a dose of \( \leq 100 \) mg/day), antibiotics, PPIs, misoprostol, or bismuth salts within the four weeks preceding initiation of the study; regular use of \( \text{H}_2 \) receptor antagonists, prokinetics or sucralfate; systemic corticosteroids (dose corresponding to >10 mg prednisolone); known or suspected intolerance to a study drug; severe concomitant diseases; previous gastric surgery; pregnancy or nursing; and therapy requiring dyspepsia at admission.

Study protocol
Patients were randomised to one of four groups: omeprazole 20 mg twice daily, amoxicillin 1 g twice daily, and clarithromycin 500 mg twice daily (OAC-P) for one week, followed by a four week period of treatment with placebo once daily (OAC-P); OAC for one week followed by four weeks of treatment with omeprazole 20 mg once daily (OAC-O); omeprazole 20 mg once daily for one plus four weeks (O-O); or placebo for one plus four weeks (P-P). Randomisation of these treatments to consecutive patient numbers was done in proportions of 1:1:1:1 within blocks of four by computer using a validated algorithm. Each centre received entire blocks to be used sequentially. Initial NSAID treatment consisted of diclofenac 50 mg twice daily. If needed, the dose could be increased to 50 mg three times daily during the study, and tramadol 100 mg twice daily could be added. If therapy requiring dyspeptic complaints arose during the course of the study, the patient was initially given an antacid (Maaloxan; Rhone-Poulenc Rorer, Cologne, Germany) which was taken between meals as required, but independently of the other study medications. Appointments with the study physician were scheduled for one and five weeks after study initiation.

Assessments
Patients first underwent a rapid whole blood test for *Helicobacter pylori* (BM Test Helicobacter pylori; Boehringer Mannheim, Mannheim, Germany). In serologically positive patients a global question on dyspeptic complaints and on musculoskeletal pain was asked and scored on a visual analogue scale, and endoscopy was performed. One antral and one corpus biopsy was investigated using the rapid urease test (HUT; Astra GmbH, Wedel, Germany). *H pylori* infection was assumed when, within six hours, a definitive change in colour from yellow to red occurred. Two biopsies from the antrum and corpus were assessed semiquantitatively for den-colour from yellow to red occurred. Two biopsies from the base and ulcers (including complications), as well as the Lanza score, were recorded separately for the stomach and duode-

Unscheduled visits were encouraged when the antiphlogistic or analgesic treatment was ineffective, or when therapy requiring dyspeptic complaints or adverse events occurred. An unscheduled endoscopy was carried out in the event of an inadequate effect of the antacid treatment or for an adverse event such as bleeding. At every endoscopic examination, the number of erosions and ulcers (including complications), as well as the Lanza score, were recorded separately for the stomach and duode-num. An ulcer was defined as a mucosal break with a diameter \( \geq 3 \) mm, identifiable by apparent depth and an inability to lift the mucosa with the biopsy forceps. In the event of an ulcer being found, additional biopsies were taken from the base and margin of the lesion. A patient was classified as *H pylori* negative at final endoscopy when the biopsy specimens obtained from the antrum and corpus revealed no signs of *H pylori* infection, either in the urease test or in the histological work up. Determination of the eradication rate achieved with the various treatment regimens was not an objective of the study but is reported. However, false negative test results are likely during acid suppressive treatment in the OAC-O and O-O groups.

Blinding
The randomised treatment was given in a double blind, double dummy manner using matching placebo preparations. Active medications and corresponding placebos were similar in appearance and taste. The treatment code was broken after checked by counting the tablets. At the five week visit, patients underwent endoscopy of the upper digestive tract, including biopsy.

![Figure 1](http://gut.bmj.com/) Numbers of patients enrolled in the study and analysed according to the intention to treat (ITT) and per protocol (PP) approaches.
clean filing and allocation of individual patients to intention to treat and per protocol analyses.

Data management and statistical analysis
Data were transferred to and analysed by an independent statistical institute (Institute for Numerical Statistics, Cologne, Germany). All analyses were based on SAS (version 6.11) and SPSS (version 7.5) for Windows.

The primary outcome criterion was the proportion of patients with endoscopically proved peptic ulcer(s) in any one of the three active treatment groups compared with placebo. The sample size calculation for this study was based on the assumption that about 20% of patients treated with NSAIDs develop an ulcer (primary objective), and that optimal prophylaxis, for example with omeprazole, can reduce the occurrence to less than 7.5%, 21,22 Using a two tailed test (Fisher’s exact test), a significance level of 5%, and a power of 80%, the study required 134 patients in each treatment arm. As the appropriateness of corrections for multiple comparisons (Bonferroni) has recently been questioned, 23,24 we calculated the statistical significance of the major outcome variable both with and without the classical Bonferroni adjustment and also with a less conservative sharper Bonferroni procedure. 25

The intention to treat analysis included all randomised patients who had taken at least one dose of the study medication and in whom H pylori infection was confirmed histologically. During the study, all centres were closely monitored and one centre that had recruited 72 patients failed to pass the final audit. As fraud was suspected, and later confirmed by DNA fingerprinting of gastric biopsies, these patients were excluded from all analyses. All patients in major violation of the protocol (violation of inclusion criteria, non-compliance with study medication, major delay of scheduled visits, early termination of study) were excluded from the per protocol analysis but the safety analysis included all patients who had taken at least one dose of the study medication.

In an exploratory analysis, factors which might be associated with an ulcer or therapy requiring dyspeptic symptoms during NSAID treatment were evaluated, and the relative risk (RR) and associated 95% confidence interval (CI) were determined. The factors explored were: active treatment (v placebo), age, sex, body weight, smoking, type of rheumatic disorder, concomitant disease, previous dyspeptic complaints, dosage of diclofenac during the study, ratio randomised/screened patients, Lanza score at study entry, and erosions in the duodenum at study entry. With respect to the primary objective of the study, we also performed a multiple logistic regression analysis. A two sided Fisher’s exact test was used to compare the proportion of patients with a given binary outcome between the treatment groups. Two sided Wilcoxon signed rank tests were used to compare ordered categorical data within the treatment groups. Finally, we calculated the relative risk reduction, absolute risk reduction, and the number needed to treat (with the corresponding 95% CIs) with respect to ulcer and/or therapy requiring dyspepsia. 26

RESULTS
Patient population
We screened a total of 2264 patients, of whom 832 were randomised to the study. Twenty patients were not treated and hence 812 patients entered the safety analysis. In addition to the 72 patients excluded from the fraudulent centre, 80 patients were excluded from the intention to treat analysis and a further 163 patients from the per protocol analysis (fig 1). No differences were noted between the treatment arms in terms of protocol violations. The demographic and clinical data of the study patients were comparable in all treatment groups (table 1). Overall, 35% of all study patients (with equal distribution among treatment groups) said that they had suffered from NSAID associated dyspepsia in the past.

Compliance
Compliance was excellent, as judged by counting returned medication. For antibiotics or their respective placebos, 99–100% of patients in the four treatment groups were considered to be fully compliant—that is, they took at least 80% of the prescribed medication. The results were similar for diclofenac and omeprazole (or its placebo). The number of patients who did not have a second endoscopy was low (six in the OAC-P nine in the OAC-O, four in the O-O, and nine in the P-P group).

Peptic ulcers
Overall, 14 patients in the intention to treat population developed peptic ulcers (>3 mm) during treatment (2, 0, 0, and 7 patients with duodenal ulcer as well as 0, 2, 0, and 3 patients with gastric ulcer in the OAC, OAC-O, O-O, and P-P groups, respectively). The rate was significantly higher in the placebo group than in all of the active treatment arms but there was no difference between the active treatments (fig 2). Using a modified Bonferroni procedure for adjustment of p in multiple comparisons, 27 all differences remained significant. Using a conventional Bonferroni adjustment of the p level (0.017 for three comparisons), only the difference between the placebo and O-O groups was significant. As all patients with ulcers qualified for the per protocol evaluation, this analysis showed similar results (not shown). Thus depending on the treatment,
between 17 and 22 patients needed to be treated to prevent one ulcer (table 2). When an ulcer was defined as a lesion of \( \geq 5 \) mm, the number of ulcers in each group was as follows: P-P, \( n=9 \); O-O, \( n=0 \) \( (p=0.004) \); OAC-O, \( n=1 \) \( (p=0.01) \); and OAC-P, \( n=1 \) \( (p=0.020) \).

All ulcer patients in the placebo group remained \( H \) pylori positive at the follow up endoscopy. All ulcers in the active treatment groups developed in patients who had become \( H \) pylori negative. Seven of the 10 patients on placebo who developed an ulcer had therapy requiring dyspepsia during the treatment period while patients who developed ulcers during active treatment were asymptomatic. No ulcer related complications were observed.

### Erosions and Lanza scores

At study entry, 24% of patients had erosions in the stomach or duodenum, with no significant differences between the treatment arms. In all of the active treatment groups, but not in the placebo group, the proportion of patients with erosions decreased significantly by the end of the study (fig 2). Comparable results were found for the Lanza score, with highly significant \( (p<0.001) \) differences between all active treatments and placebo.

### Dyspeptic symptoms

Mild dyspeptic symptoms not requiring treatment were common prior to the start of the study (table 1). During the study,

![Figure 2](http://gut.bmj.com/)

Table 2: Relative risk reduction (RRR), absolute risk reduction (ARR), and number needed to treat (NNT) with respect to ulcer or therapy requiring dyspepsia

<table>
<thead>
<tr>
<th></th>
<th>RRR (%) (95% CI)</th>
<th>ARR (%) (95% CI)</th>
<th>NNT (n) (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ulcer</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OAC-P</td>
<td>79 (4.5–95)</td>
<td>4.6 (0.7–8.5)</td>
<td>22 (12–143)</td>
</tr>
<tr>
<td>OAC-O</td>
<td>80 (11.1–96)</td>
<td>4.7 (0.8–8.6)</td>
<td>21 (12–125)</td>
</tr>
<tr>
<td>O-O</td>
<td>100</td>
<td>5.8 (2.1–9.5)</td>
<td>17 (11–48)</td>
</tr>
<tr>
<td>Therapy requiring dyspepsia</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>OAC-P</td>
<td>47 (8.8–69)</td>
<td>9.3 (1.7–17)</td>
<td>11 (6–59)</td>
</tr>
<tr>
<td>OAC-O</td>
<td>48 (11–69)</td>
<td>9.5 (2.0–17)</td>
<td>11 (6–50)</td>
</tr>
<tr>
<td>O-O</td>
<td>38 (3.5–63)</td>
<td>7.6 (0.3–16)</td>
<td>13 (6–∞)</td>
</tr>
</tbody>
</table>

OAC-P, one week treatment with omeprazole, amoxicillin, and clarithromycin, followed by four weeks of placebo; OAC-O, one week treatment with omeprazole, amoxicillin, and clarithromycin, followed by four weeks of omeprazole; O-O, five week treatment with omeprazole.
10.6% of patients in the OAC-P group, 10.4% of those in the OAC-O group, 12.3% of patients in the omeprazole group, and 19.9% of those in the placebo group developed therapy requiring dyspeptic symptoms (fig 2). In the placebo group, the probability of developing therapy requiring dyspeptic symptoms during treatment with diclofenac was greater in patients who, at the start of the study, already presented with mild dyspeptic complaints than in those initially symptom free (27.1% v 14.9%; p=0.05). In the active treatment arms, mild dyspeptic complaints at the start of the study had no influence on the subsequent development of therapy requiring dyspepsia. Dyspeptic complaints, as assessed by a VAS, improved in all treatment groups. However, the OAC containing regimens were more effective than placebo (fig 3).

**Combined criteria**
The probability of developing an event defined as an ulcer, more than 10 erosions, or the development of therapy requiring dyspeptic symptoms was comparable in all of the active treatment arms, and was significantly lower than in the placebo arm (fig 2).

**Prognostic factors**
In the univariate analysis, the sole prognostic factor with regard to the development of a peptic ulcer was active versus placebo treatment (RR 0.14, 95% CI 0.04–0.44; p<0.001). Active treatment was also the only prognostic factor identified by multiple logistic regression analysis. Ulcers occurred in a similar proportion of patients with (1/56; 1.8%) and without (13/590; 2.2%) duodenal erosion(s) at the beginning of the study. With regard to the occurrence of therapy requiring dyspeptic symptoms during the study, significant prognostic factors were assignment to active prophylactic treatment (RR 0.56, 95% CI 0.38–0.82; p=0.006) and smoking (RR 1.61, 95% CI 1.07–2.43; p=0.028).

**H pylori status at the end of the study**
A negative H pylori status was demonstrated in 81.3% of patients in the OAC-P group, in 85.3% of patients in the OAC-O group, in 21.9% of patients in the O-O group, and in 11.8% of patients in the P-P group. Both OAC treatment groups differed significantly from the placebo (P-P) and omeprazole (O-O) groups (p<0.0001).

**Pain control and general condition**
At the start of the study, musculoskeletal pain intensity and general condition, assessed on the basis of a visual analogue scale, were comparable in all groups. During the course of the five weeks of treatment, a significant improvement in pain levels and general condition for all four treatment arms was observed (p<0.001), with no difference between the individual groups with respect to pain control (fig 3). However, general condition was significantly better in both patient groups receiving OAC compared with placebo (fig 3). The number of patients who needed an increase in the daily dose from 100 mg to 150 mg of diclofenac daily, at least for part of the study, was as follows: OAC-P 16%, OAC-O 24%, O-O 19%, and P-P 22%. Differences between the groups were not statistically significant. The proportion of patients who needed additional therapy with tramadol was similar across the treatment groups: OAC-P 6.2%; OAC-O 6.4%; O-O 4.5%; and P-P 7.0%.

**Evaluation of safety**
A total of 201 patients reported 302 adverse events. The incidence of adverse events was 26% in patients treated with OAC-P, 31% in patients treated with OAC-O, 16% in patients treated with O-O, and 26% in patients treated with P-P. The most frequently reported adverse event was diarrhoea which occurred more frequently in patients treated with antibiotics (OAC-P 8.4%; OAC-O 8.8%) than in patients assigned to the O-O (3.0%) and P-P (3.3%) groups, respectively.

**DISCUSSION**
In the present study, ulcer rates in H pylori positive patients receiving diclofenac for five weeks were much higher than would be expected in H pylori positive patients not taking NSAIDs. In the group without any preventive measures—that is, neither eradication nor omeprazole treatment—the ulcer incidence was 6%. This rate is rather low, probably due to the fact that we excluded patients with a high risk of ulcer development such as a history of peptic ulcer, severe comorbid diseases, and old age. In addition, all patients received an antirheumatic agent with moderate ulcer risk. Similar rates were observed in other studies where high risk patients were excluded. A higher ulcer risk during NSAID treatment has been reported from areas with a high endemic ulcer risk such as Hong Kong. Possible explanations for the enhanced
mucosal toxicity of NSAIDs in patients with *H pylori* infection are deterioration of the mucosal barrier caused by inflammation, a higher level of apoptosis in the infected gastric mucosa, and an increase in acid secretion. In addition, *H pylori* may prevent gastric adaptation to NSAIDs. In contrast, prostaglandin associated mechanisms appear to play a minor, if any, role in the interaction between *H pylori* and NSAIDs.

Eradication treatment and prophylactic omeprazole appear to be equally effective in the primary prevention of NSAID associated ulcers. The effectiveness of omeprazole confirms previous studies. In contrast, the effectiveness of *H pylori* eradication treatment, for which we have provided convincing evidence, has to date been considered controversial on the basis of one study with an inadequate design. This single study of *H pylori* treatment as a primary prophylaxis of NSAID associated ulcers had the following major shortcomings: treatment was not double blind, but “single blind”, using bis-muth, which blackens the stools, as opposed to our double blind, double dummy treatment. In addition, the one week *H pylori* eradication treatment was given before starting NSAID therapy and hence study duration was different for the two treatment groups; in our study, NSAID therapy and prophylactic treatment were started simultaneously. Furthermore, various different NSAIDs were used, while in our study all patients received diclofenac. Finally, comparisons were limited to *H pylori* eradication with no treatment; in our study, a four treatment arm design was used allowing adequate differentiation of *H pylori* eradication and omeprazole treatment.

Studies on the effect of *H pylori* treatment in secondary, as opposed to primary, prevention of NSAID associated ulcers have shown a different picture. In patients with previous ulcers or ulcer bleed, omeprazole maintenance was much more effective than *H pylori* treatment. In an earlier small study, ulcer recurrence after omeprazole based *H pylori* treatment was not significantly reduced compared with short term omeprazole therapy alone. The finding that the rate of ulcer recurrence of *H pylori* positive patients on long term NSAIDS was lower than in *H pylori* negative patients during maintenance treatment with omeprazole may be explained by the higher effectiveness of omeprazole on gastric acidity in *H pylori* positive subjects and improvement in gastric adaptation by the suppression or elimination of the infection in the antrum under PPI treatment.

The prevalence of dyspeptic symptoms at the start of the present study corresponded to that of a large general population of non-selected blood donors in Germany. In the placebo group, NSAID treatment caused therapy requiring dyspeptic symptoms in approximately 20% of patients (particularly in those with mild dyspeptic complaints prior to the study), a higher effectiveness of omeprazole on gastric acidity in *H pylori* was lower than in *H pylori* negative patients during maintenance treatment. In contrast, the effectiveness of *H pylori* eradication is surprising as in population based studies, subjects with *H pylori* infection do not demonstrate dyspeptic symptoms more frequently than non-infected patients and, in non-ulcer dyspepsia, treatment of *H pylori* has no clear effect in comparison with placebo. This indicates that in the pathogenesis of NSAID associated dyspepsia, factors other than those operative in non-ulcer dyspepsia are involved.

In conclusion, one week of triple therapy with omeprazole, clarithromycin, and amoxicillin is as effective as co-treatment with omeprazole alone in the primary prevention of ulcers and dyspepsia during short term treatment with diclofenac in *H pylori* infected patients of low risk. However, in view of the very low incidence of ulcers (with no complications) in the placebo group of our carefully selected population, we hesitate to recommend a general “test and treat” strategy for *H pylori* at the beginning of short term NSAID therapy. This opinion is also in line with our random decision analysis model regarding the clinical and economic impact of *H pylori* screening in patients requiring chronic NSAID treatment.

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**Appendix**


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**References**


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