STOMACH

Safety and efficacy of low dose Escherichia coli enterotoxin adjuvant for urease based oral immunisation against Helicobacter pylori in healthy volunteers

S Banerjee, A Medina-Fatimi, R Nichols, D Tendler, M Michetti, J Simon, C P Kelly, T P Monath, P Michetti

Background and aims: Escherichia coli heat labile enterotoxin (LT) at doses of 5 µg or 10 µg has adjuvant activity for oral immunisation in humans infected with Helicobacter pylori, but causes severe diarrhoea. This study was undertaken to establish a safe and effective dose of LT, to confirm the safety of recombinant urease, and to compare the immunogenicity of orally compared with enterically delivered urease.

Methods: 42 healthy adults without present or past H pylori infection were randomised to receive 60 mg recombinant H pylori urease in soluble or in encapsulated form, given with doses of LT ranging from 0 µg to 2.5 µg. Four oral doses were administered at day 1, 8, 29, and 57. Specific IgG, IgA, and antibody secreting cells were measured as well as total α4β7 integrin positive lymphocyte responses.

Results: Enterically delivered urease was well tolerated and no serious adverse events occurred. Mild diarrhoea (one to four loose stools) occurred after the first immunisation in 50% (6 of 12) of the volunteers exposed to 2.5 µg LT (p=0.06; paired t test, compared with baseline) but not in volunteers exposed to lower LT doses. Immune responses occurred in five (p=0.048; Fisher’s exact test), one, two, and one of six subjects exposed to 2.5 µg, 0.5 µg, 0.1 µg, and no LT, respectively. Significant CD4+, CD69, and CD45RO+ responses occurred over time among α4β7+ lymphocytes in volunteers receiving 2.5 µg LT. Enterically delivered urease induced higher lymphocyte responses than soluble urease.

Conclusions: The safety of H pylori urease is confirmed. Oral LT may conserve its adjuvant activity at low doses with minimal side effects.

Abbreviations: LT, E coli heat labile enterotoxin; UreA, H pylori urease A subunit; UreB, H pylori urease B subunit
METHODS

Study subjects
Study subjects were H pylori negative asymptomatic healthy volunteers. The absence of infection with H pylori was confirmed by a combination of negative H pylori serology (FlexSure; Beckman Coulter, Fullerton, CA) and 13C urea breath test (Meretek, Nashville, TN) as previously described. Eligible subjects had no history of gastrointestinal disease, immunodeficiency, alcohol or drug misuse, or of other chronic medical or psychiatric illness. None of the study subjects had received treatment with immunosuppressive agents, non-steroidal anti-inflammatory drugs, or antiseizure drugs over the preceding 12 months. All volunteers had a normal physical examination and normal screening laboratory tests including a complete blood count, chemistry, and urine analysis. Pregnant and nursing women were excluded from the study and women of childbearing age were required to practice contraception through the course of the study. A serum pregnancy test was performed before entry into the study and 48 hours preceding each vaccination. Written informed consent was obtained from all study subjects. The study protocol was approved by the Institutional Review Board at Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA.

Vaccine and adjuvant
Recombinant, enzymatically inactive urease (rUrease) was produced from E coli containing a plasmid with the ureA and ureB genes of H pylori downstream from a T7 promoter (OraVax, Cambridge, MA, and Walter Reed Army Institute of Research, Forest Glen, MD). The final product contains >95% intact UreA and UreB subunits, of which over 70% is in a multimeric form of molecular weight 550 kDa–600 kDa. Endotoxin levels were not part of the release criteria for the products used in this study and are not available. The rUrease was administered orally either in the liquid form, or as acid resistant enterically coated capsules (Capsugel, Morris Plains, NJ) and lyophilised in phosphate buffer containing 10% lactose. Because of FDA restrictions, LT could not be combined with urease in the acid resistant capsules and was therefore administered separately.

Study protocol
The study followed a randomised, double blind, placebo controlled design. The treatment consisted of administration of rUrease orally either in the liquid form or in the acid resistant capsules together with LT as mucosal adjuvant. Patients were randomised to one of seven treatment groups and received either soluble rUrease or rUrease capsules, together: group 1, soluble urease 60 mg with no LT; group 2, soluble urease 60 mg with 0.1 µg LT; group 3, soluble urease 60 mg with 0.5 µg LT; group 4, soluble urease 60 mg with 2.5 µg LT; group 5, urease capsules 60 mg with no LT; group 6, urease capsules 60 mg with 0.1 µg LT; group 7, urease capsules with 2.5 µg LT. All study volunteers were vaccinated orally on four occasions, on days 1, 7, 28, and 56. Twenty five volunteers were vaccinated orally on a fifth occasion on day 64 (four volunteers each from groups 1, 2, 4, and 6, and three volunteers each from groups 3, 5, and 7). The study subjects were fasted for eight hours before receiving the oral vaccine. All subjects were given 120 ml of 1% NaHCO3 buffer solution in an effort to neutralise pH dependent dissolution in the stomach as a consequence of the administration of bicarbonate. Subjects were then permitted to have liquid nourishment consisting of beverages without citric acid or fat, but no solid food for a further three hours.

Safety assessments and laboratory tests
Participants were asked to complete a symptom diary one week before and throughout the treatment period to record any possible adverse events and the number and consistency of bowel movements. Three days after each immunisation, a targeted medical history and physical examination was carried out. Blood and urine sample were obtained at baseline, at three days after each immunisation, and at the end of the study to monitor the complete blood count with differential, the prothrombin and partial thromboplastin time, serum electrolytes, glucose, liver and renal function tests, lipase, amylase, and urine analysis. Immune responses to vaccination were assessed by measuring serum anti-urease and anti-LT IgG and IgA antibodies at baseline and seven days after each immunisation. Stool sample was collected at baseline and five to eight days after each immunisation. Circulating antibody secreting cells were quantified in peripheral blood by ELISPOT. Expression of surface markers on total lymphocytes was evaluated by flow cytometry at baseline and seven days after each immunisation.

Detection of total and specific antibody secreting cells
Circulating specific antibody secreting cells were quantified by ELISPOT on frozen circulating cells. Serial cell dilutions were plated in four replicate wells in 96 well microtitre plates (Nunc, Naperville, IL) coated with rUrease or LT and incubated overnight at 37°C. Plates were then washed with phosphate buffered saline and incubated with phosphate labelled goat anti-human IgA (anti-α1 and α2 chains) or IgG antibodies for one hour at 37°C. (All antibodies from Kirkegaard & Perry Laboratories, Gaithersburg, MD). After additional washes, a 8 mM MgCl2, 0.1% p-nitroblue tetrazolium chloride, 0.01% 5-bromo-4-chloro-3-indolyl phosphate, 1.4% agarose, 80% Barbitral (all from Sigma) substrate overlay solution was applied to the plates and blue spots enumerated. Secondary antibodies were tested for lack of cross reactivity between IgA and IgG antibody isotypes.

Antigen specific ELISA
Serum anti-urease and anti-LT IgG and IgA levels were determined in serum dilutions on 96 well Immulon 3 plates coated with purified urease (strain ATCC 11637) or LT as appropriate. Secondary conjugated goat anti-human IgA or mouse anti-human IgG (Southern Biotechnology) antibodies and substrate solution were used as described above. Plates were read at 405 nm after 40 minutes at 28°C. Results of duplicate samples were averaged and expressed as ELISA Units by comparison to the values obtained for standard curves established with human serum containing high anti-urease activity. Serum from H pylori positive and H pylori negative subjects served as controls.

Flow cytometry
Expression of cell surface markers on freshly isolated circulating mononucleated cells was determined by double staining with FITC and PE conjugated antibodies. Conjugated antibodies used in this study included anti-CD4 (clone RPA-T4), anti-CD19 (used as a broad B cell marker, clone HIB19), anti-CD45RO (clone UCHL1), and anti-CD69 (clone FN-50, all from Pharmingen, San Diego, CA). Purified α4β7 integrin antibody (clone ACT-1) was kindly provided by Dr W
Newman, PhD, LeukoSite, Cambridge, MA. Cells were aliquoted at 4°C in Hank's balanced salt solution (HBSS) supplemented with 1% bovine serum albumin and 0.1% sodium azide. Cells were incubated with biotinylated anti-\( \alpha_4 \beta_7 \) integrin (0.5 \( \mu \)g/1x10^7 cells) for 20 minutes at 4°C, washed and then incubated with PE-streptavidin with one of the other antibodies according to supplier’s instructions. Cells were then washed twice and fixed in 1% paraformaldehyde in HBSS before analysis using a FACS analyser (Becton Dickinson, San Jose, CA). An identical broad lymphocyte gate was applied to all samples. The results of double staining are presented as percentage of total \( \alpha_4 \beta_7 \)hi cell population coexpressing CD4, CD19, CD45RO, or CD69 markers. Because \( \alpha_4 \beta_7 \) and CD45RO expression and staining do not result in discrete positive and negative peaks of expression on lymphocytes, the threshold for high expression was defined based on values obtained with cells stained with an irrelevant isotype-matched control antibody (Pharmingen). Cells were considered as \( \alpha_4 \beta_7 \)hi or CD45ROhi if their level of fluorescence, measured by flow cytometry, was at least 1.2 times above the maximal level measured in cells stained with the control antibody.

**Statistical methods**

Demographic variables and occurrence of side effects in the various groups were compared by Fisher’s exact test. Wilcoxon’s rank sum tests were used to compare the results of the various study groups. Within each group, changes from baseline to after immunisation were assessed using paired \( t \) tests. Multiple comparisons were analysed using one way analysis of variance plus Bonferroni’s correction.

**RESULTS**

Fifty seven healthy asymptomatic volunteers were screened for possible enrollment into this study. Of these, 42 \( H \) pylori negative volunteers with no exclusion criteria were enrolled into the study, with six volunteers being randomised to each of the seven treatment groups. The volunteers were aged 23–50 years (mean (SD) 30.4 (6.5) years) and 20 of the 42 were male. All 42 volunteers completed the study.

**Safety profile of soluble and enterically delivered urease**

Both soluble urease and enterically delivered urease were found to be safe and no significant adverse events or clinically relevant abnormalities in blood count or chemistry were noted with their use.

**Safety profile of low dose LT**

Mild diarrhoea (ranging from one to four loose stools) occurred after the first and second immunisations in 6 of 12 volunteers (50%) receiving 2.5 \( \mu \)g LT as adjuvant (fig 1). In all cases, stools normalised within 36 hours after immunisation. This approached significance compared with baseline \((p=0.06; \text{paired } t \text{ test})\). None of the volunteers receiving 2.5 \( \mu \)g LT experienced diarrhoea more severe than four loose stools a day. The proportion of volunteers developing mild diarrhoea with the lower doses of LT of 0.1 or 0.5 \( \mu \)g did not differ from baseline or from those receiving no LT. Among patients receiving 2.5 \( \mu \)g of LT, there was a gradual decrease in the proportion of patients experiencing diarrhoea after the third and fourth vaccination (fig 1), and the proportion of patients experiencing mild diarrhoea after the fourth vaccination did not differ

![Figure 1](image-url) **Figure 1** Proportions of volunteers developing diarrhoea (one to four loose stools/day) in the 0 \( \mu \)g, 0.1 \( \mu \)g, 0.5 \( \mu \)g, and 2.5 \( \mu \)g LT groups after baseline and subsequent immunisations. Results are shown grouped by LT dose. Differences are evaluated by paired \( t \) tests compared with baseline. *\( p=0.06 \), \( t \) test compared with baseline.

<table>
<thead>
<tr>
<th>LT (( \mu )g)</th>
<th>0</th>
<th>0.1</th>
<th>0.5</th>
<th>2.5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number</td>
<td>12</td>
<td>12</td>
<td>6</td>
<td>12</td>
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<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>Day 1–7</th>
<th>Day 8–14</th>
<th>Day 56–63</th>
<th>Day 64–71</th>
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**Table 1** Serum antiurease IgG and IgA responses by treatment group

<table>
<thead>
<tr>
<th>Group</th>
<th>Serum antiurease IgG positive/total volunteers*</th>
<th>Serum antiurease IgG titre median† (range)</th>
<th>Serum antiurease IgA positive/total volunteers*</th>
<th>Serum antiurease IgA titre median† (range)</th>
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<tbody>
<tr>
<td>1: Soluble urease, no LT</td>
<td>0/6</td>
<td>N/A</td>
<td>1/6</td>
<td>4.7</td>
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<tr>
<td>2: Soluble urease, 0.1 ( \mu )g LT</td>
<td>1/6</td>
<td>15.4</td>
<td>1/6</td>
<td>5.3</td>
</tr>
<tr>
<td>3: Soluble urease, 0.5 ( \mu )g LT</td>
<td>1/6</td>
<td>4.2</td>
<td>0/6</td>
<td>N/A</td>
</tr>
<tr>
<td>4: Soluble urease, 2.5 ( \mu )g LT†</td>
<td>2/5</td>
<td>58 [6.5–109.8]</td>
<td>3/5</td>
<td>4.5 [4.1–28.3]</td>
</tr>
<tr>
<td>5: Urease capsule, no LT</td>
<td>1/6</td>
<td>152</td>
<td>1/6</td>
<td>24.8</td>
</tr>
<tr>
<td>6: Urease capsule, 0.1 ( \mu )g LT</td>
<td>1/6</td>
<td>7.3</td>
<td>1/6</td>
<td>9.9</td>
</tr>
<tr>
<td>7: Urease capsule, 2.5 ( \mu )g LT</td>
<td>1/6</td>
<td>15.3</td>
<td>2/6</td>
<td>4.3 [4.2–4.4]</td>
</tr>
</tbody>
</table>

*Positive status defined as a fourfold increase from baseline. †Titres are expressed as fold change as compared with baseline. Positive results only. †One serum sample not available for analysis.
from baseline. No other significant adverse events or clinically relevant abnormalities in blood count or chemistry were observed.

Humoral immune response
Seroconversion was defined as a fourfold increase in titres of IgA or IgG (table 1), or as more than 15 urease specific IgG antibody secreting cells/10⁶ cells (table 2). According to these criteria, 67% of patients (n=12) responded to immunisation with either soluble urease or urease capsules and 2.5 µg LT, with lower numbers responding to lower doses of LT in both groups (fig 2). None of these results was individually significant compared with volunteers receiving no LT. When results of both the soluble urease and urease capsule groups were pooled by the LT dose, the proportions of serological responders were 67% (p=0.048; Fisher’s exact test), 17%, 33%, and 25% among subjects who received 2.5 µg, 0.5 µg, 0.1 µg, and no LT, respectively.

Only one of 12 volunteers receiving 2.5 µg of LT was found to develop IgG anti LT antibodies by ELISA. No IgA LT antibodies were detected. Anti-LT seroconversion was not seen with the lower doses of LT. Two volunteers exposed to urease without LT seroconverted according to these criteria.

**Cellular immune response**
Significant CD4⁺, CD69⁺, and CD45RO⁺ responses occurred over time among α4β7⁺ lymphocytes in volunteers receiving 2.5 µg LT, but not with lower doses of adjuvant (fig 3). The proportion of activated α4β7⁺ CD69⁺ cells increased significantly, from 64.5% at baseline to 81.8% at day 8 (p<0.05, paired t test), 85% at 64 days (p<0.05, paired t test), and 87.2% at 73 days (p<0.05, paired t test). This increase remained significant after correction for multiple comparisons (p=0.01, one way analysis of variance). The proportion of α4β7⁺ CD19⁺ cells and of α4β7⁺ CD45RO⁺ memory cells also increased (p<0.05, paired t test in both series) but these changes only showed strong trends when corrected for multiple comparisons (p=0.07, one way analysis of variance in both series). No significant increase was noted in α4β7⁺ CD19⁺ cells with vaccination (94.3 v 95.6). In volunteers receiving 2.5 µg LT, enterically delivered urease as acid resistant capsules seemed more effective than soluble urease (urease liquid) in inducing lymphocyte responses, although the numbers of volunteers in this subgroup were small (n=3 to 4 per group). Thus, subgroup analysis of the 2.5 µg LT subset, indicated a significant increase in the proportion of α4β7⁺ CD69⁺ cells in volunteers receiving urease capsules (p=0.013,

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**Table 2** Peripheral blood urease specific IgG and IgA antibody secreting cells (ASC) by treatment group

<table>
<thead>
<tr>
<th>Group</th>
<th>Soluble urease, no LT</th>
<th>Soluble urease, 0.1 µg LT</th>
<th>Soluble urease, 0.5 µg LT</th>
<th>Soluble urease, 2.5 µg LT</th>
<th>Urease capsule, no LT</th>
<th>Urease capsule, 0.1 µg LT</th>
<th>Urease capsule, 2.5 µg LT</th>
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<tbody>
<tr>
<td>Group 1</td>
<td>1/6</td>
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<td>Group 2</td>
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<td>Group 3</td>
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<tr>
<td>Group 4</td>
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<tr>
<td>Group 7</td>
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</table>

*Positive status defined as >15 urease specific ASC/10⁶ peripheral blood lymphocytes. †Highest count of urease specific ASC/10⁶ peripheral blood lymphocytes between day 7 and day 73. Positive results only.

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Figure 2  Proportions of seroconverters with 0 µg, 0.1 µg, 0.5 µg, and 2.5 µg of LT in the soluble urease group, the urease capsule group, and in both urease groups combined. Each volunteer with either a fourfold increase in IgG or IgA titres, or a positive status for ASC IgG or IgA as defined in table 2 was considered as having achieved seroconversion. (n=12 in the pooled series). *p=0.04, Fisher’s exact test compared with no LT.
one way analysis of variance) The proportions of α4β7hi CD4+ and α4β7hi CD45ROhi cells were not found increased after correction for multiple analyses in this subgroup analysis.

DISCUSSION
This study shows that oral immunisation with rUrease and LT is immunogenic in healthy human subjects not previously or currently infected by H pylori. Our results also show that LT retains some mucosal adjuvant activity at a dose of 2.5 µg, stimulating both humoral and cell mediated immune responses, but is ineffective when administered at the lower doses of 0.5 µg and 0.1 µg. LT has been used as an oral vaccine adjuvant in humans in only one prior study, where significant diarrhoea was noted after its use at the higher doses of 10 µg and 5 µg. Defining a safe and effective dose of LT in humans represents therefore a potentially important step in the development of future oral vaccines, including H pylori vaccines. At a dose of 2.5 µg LT is acceptably tolerated, with only 50% of volunteers developing mild (one to four loose stools/d) diarrhoea, which was manageable and did not interfere with their daily functioning. No other significant adverse events were seen with LT. Further studies are needed to confirm these results and further define the optimal dose of LT in humans.

As with our previous study, we found that the proportion of patients developing diarrhoea diminished with repeated vaccination. The number of patients with mild diarrhoea after the fifth administration of LT with rUrease was similar to that at baseline, or in the no LT group. We had earlier postulated that this diminishing toxicity might be attributable to the development of a protective immune response to LT and we therefore measured levels of IgA and IgG antibodies against LT in all volunteers in this study. We were able to record IgG anti-LT seroconversion in only one study volunteer, receiving the highest LT dose of 2.5 µg. This does not rule out the possibility that improved tolerance to repeat LT exposure results from the development of a local immune response. The level of immunity induced by low dose LT may be too low to be detected in blood, or may be limited to a mucosal immune response. If the development of an anti-LT immune response is responsible for the improvement in diarrhoea upon repeated vaccination, this response may also interfere with LT adjuvant activity. Subsequent studies should therefore assess the benefit of escalating the dose of LT in the course of repeated vaccinations, in order to maintain adjuvant activity.

Although lower doses of LT (0.1 µg and 0.5 µg) did not result in significant diarrhoea, they were not immunogenic. Taken together with the results of our previous studies, these data suggest that both LT adjuvant activity and toxicity are dose related. Indeed, in our previous study, human volunteers receiving 10 µg of LT had a non-significant trend towards developing higher ASC responses than those receiving the lower dose of 5 µg LT. The ranges of urease specific IgG and IgA ASC numbers observed in this study are similar to those observed during our previous study with higher doses of LT. To circumvent its toxicity by the oral route, LT could be detoxified or administered by other routes.

As with humoral immune responses, we found that cellular immune responses developed only with 2.5 µg of LT and were not seen with lower doses of the adjuvant. This dose therefore seems to be the lowest immunogenic oral dose of LT in humans. The level of immune response observed during this study, as indicated by ELISPOT and ELISA titres, is comparable to those previously observed by us and by others after mucosal immunisation. The value of peripheral blood ELISPOT in the assessment of mucosal immune response has been previously defined. These responses are short lived, with a peak five to seven days after immunisation. This response thus usually precedes serum antibody responses,
which require accumulation of plasma cells, and appear usually later. In our experience, IgA ASC responses were associated with decreased gastric colonisation with *H. pylori*. The level of response was comparable in magnitude to the levels observed here. Despite the differences in experimental setting, it may thus be speculated that the level of response obtained with 2.5 µg of LT is sufficient to provide some degree of protection, and that low dose LT may provide adjuvant effect to trigger a protective immune response.

We used αβ7 integrin expression as a marker of mucosal immune response.40 Indeed, naïve lymphocytes express L selectin and low levels of αβ7 integrin. After activation in Peyer’s patches or other mucosal inductive sites, lymphocytes switch expression to increased αβ7 integrin expression of αβ7 integrin. L-selectin directs activated lymphocytes and other αβ7 cells back to mucosal surfaces, including the gastric and intestinal mucosa.41

We have previously shown in mice that αβ7 integrin function is required for protection against helicobacter infection after oral immunisation. Protective oral immunisation with urease and cholera toxin in mice induced high numbers of αβ7+ CD4+ T cells in the gastric mucosa and protection was abolished by the administration of αβ7 antibody.42 The αβ7+ lymphocyte responses after vaccination in our study volunteers were subgrouped depending on the coexpression of the CD4 and CD19 antigens. We also estimated the proportions of αβ7+ CD45RO+ lymphocytes expressing activation (CD69) or memory markers (CD45RO) antigens. No significant increase in CD19+ lymphocytes was seen after immunisation. However, significant increases after immunisation were noted in αβ7+ lymphocyte populations coexpressing CD69, and trends were observed for CD4 and CD45RO cells. This study therefore shows for the first time that mucosal immunisation with rUrease and LT in humans is able to stimulate a cellular immune response comprising T helper cells, activated lymphocytes, and memory cells expressing a mucosal homing receptor.

The only other human study of vaccination for *H. pylori* with urease and LT used a vaccination schedule of four weekly doses.43 This was a relatively compressed schedule, compared with human vaccination schedules against other infectious agents. In an effort to maximise the immune response, we expanded both the immunisation schedule and the number of doses in this study, by administering four vaccinations over eight weeks to all subjects, and a fifth vaccination at nine weeks to a subgroup. Significant αβ7+ CD4+ and αβ7+CD45RO+ lymphocyte responses occurred only at day 73, after the fifth immunisation. The αβ7+ CD69+ lymphocyte response reached significance by day 64, after four doses of the vaccine, but rose further by day 73, after the fifth dose. Future studies should further evaluate the impact of immunisation schedules on immune response to oral immunisation with LT as an adjuvant. In mice, immunisation with attenuated *Salmonella typhimurium* expressing low level of *H. pylori* urease provided good protection after a single immunisation.39 Studies in humans with similar constructs should be performed to determine whether the use of live vectors can also shorten the immunisation schedule in humans.

This study confirms the safety of soluble urease in humans and provides initial evidence of its safety when delivered in enteric release capsules. Furthermore, our results suggest that enterically delivered urease in the form of acid resistant capsules may be more immunogenic than soluble urease in inducing lymphocyte responses. Urease capsules administered with 2.5 µg LT elicited significant CD4+, CD45RO+ and CD69+ cell responses whereas soluble urease administered with 2.5 µg LT did not. The inferior immunogenicity of soluble urease may be related to acid and peptic degradation and denaturation of the antigen in the stomach. However, the numbers of volunteers in this subgroup were small and the results should be viewed with caution. Larger scale studies are required to more fully compare the immunogenicity of soluble and enterically coated urease.

In conclusion, this study suggests that oral immunisation with urease and LT at a dose of 2.5 µg is safe and immunogenic in humans, and is able to elicit humoral as well as cellular mucosal immune responses comprising activated lymphocytes able to home to the gastric mucosa. Enterically coated urease is safe and may be more immunogenic than soluble urease.

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