2.1 Risk factors

- Age (65% of patients are over 65 years old).
- Primary sclerosing cholangitis (PSC), with or without ulcerative colitis, is the commonest known predisposing factor for cholangiocarcinoma in the UK (lifetime risk 5–15%).
- Chronic intraductal gall stones.
- Bile duct adenoma and biliary papillomatosis.
- Caroli's disease (cystic dilatation of ducts, lifetime risk 7%).
- Choledochal cysts (about 5% will transform, risk increases with age).
- Thorotrast (a radiological agent no longer licensed for use, although the risk of cholangiocarcinoma lasts several decades).
- Smoking (increased risk in association with PSC).
- In SE Asia, where the tumour is quite common, the associated risk factors are:
  - liver flukes—Opisthorchis viverrini and Clonorchis sinensis,
  - chronic typhoid carriers—sixfold increased risk of all hepatobiliary malignancy.

2.2 Anatomical classification

“Cholangiocarcinoma” originally referred only to primary tumours of the intrahepatic bile ducts and was not used for extrahepatic bile duct tumours but the term is now regarded as inclusive of intrahepatic, perihilar, and distal extrahepatic tumours of the bile ducts (fig 1).

- 20–25% are intrahepatic.
- 50–60% of all cases of cholangiocarcinoma are perihilar tumours (those involving the bifurcation of the ducts are “Klatskin” tumours). Most Klatskin tumours may have been coded as intrahepatic tumours for purposes of death certification. 20–25% are distal extrahepatic tumours.
- About 5% of tumours may be multifocal.
- The extent of duct involvement by perihilar tumours may be classified as suggested by Bismuth:
  - type I: tumours below the confluence of the left and right hepatic ducts;
  - type II: tumours reaching the confluence but not involving the left or right hepatic ducts;
levels of evidence lead to subsequent grading of recommendations as:

A=consistent level 1 studies;
B=consistent level 2 or 3 studies or extrapolations from level 1 studies;
C=level 4 studies or extrapolations from level 2 or 3 studies;
D=level 5 evidence or inconsistent or inconclusive studies of any level.

Figure 1  Schematic diagram for sites of cholangiocarcinoma. 
Intrahepatic cholangiocarcinoma (International Classification of Disease-9 codes [ICD-9] 155.1): 1=peripheral cholangiocarcinoma; 
2a, b=right and left hepatic ducts; and 3=confluence of right and left 
hepatic ducts [periilar, Klatskin tumours]. Extrahepatic (ICD-9 156): 
4=common hepatic duct; 5=gall bladder [ICD-9 156.0]; 6=cystic 
duct; and 7=common bile duct.

- type III: tumours occluding the common hepatic duct and 
  either the right (IIIa) or left (IIIb) hepatic duct;
- type IV: tumours that are multicentric or that involve the 
  confluence and both the right and left hepatic ducts.

2.3 Pathology16
There are separate histological classifications of intrahepatic 
and extrahepatic cholangiocarcinomas. The WHO classifications 
are given below.

2.3.1 WHO classification of carcinomas of the liver
- Hepatocellular carcinoma
- Combined hepatocellular cholangiocarcinoma
- Cholangiocarcinoma, intrahepatic
- Bile duct cystadenocarcinoma
- Undifferentiated carcinoma

2.3.2 WHO classification of carcinomas of the extrahepatic bile ducts
- Carcinoma in situ
- Adenocarcinoma
- Papillary adenocarcinoma
- Adenocarcinoma, intestinal-type
- Mucinous adenocarcinoma
- Clear cell adenocarcinoma
- Signet ring cell carcinoma
- Adenosquamous carcinoma
- Squamous cell carcinoma
- Small cell carcinoma (oat cell carcinoma)
- Undifferentiated carcinoma

2.3.3 Histological grade
Most cholangiocarcinomas (95%) are adenocarcinomas. Adeno-
carcinomas are classified (1–4) according to the percentage of 
tumour that is composed of glandular tissue. Some types of 
adenoarcarcinoma are however not graded: carcinoma in situ, 
clear cell adenocarcinoma, and papillary adenocarcinoma. 
Signet ring cell carcinoma is given a grade of 3 and small cell 
carcinoma a grade of 4. Squamous cell carcinomas are graded 
according to the least differentiated areas. Most studies have 

2.3.4 Molecular diagnosis
- Cholangiocarcinoma is often associated with inactivation of 
tumour suppressor genes—for example, p53, APC, Smad-4, 
\( bcl-2 \), and p16.
- Mutations in oncogenes have also been described—for 
  example, K-ras, c-myc, c-erbB-2, and c-neu.
- Chromosomal aneuploidy has been reported in up to 25% of 
  periampullary tumours.
- Although these mutations can lead to detectable phenoy-
typic changes, the diagnostic or prognostic usefulness of 
  these developments is unclear and molecular profiling does 
  not, as yet, have an established clinical role in patients with 
  cholangiocarcinoma.

2.4 Levels of evidence
Studies used as a basis for these guidelines are graded in rela-
tion to the quality of evidence according to the Oxford Centre 
for Evidence-based Medicine Levels of Evidence (May 
2001).11 These are summarised in the appendix with explana-
tory notes, and have been reproduced with the permission of 
the Centre for Evidence-based Medicine.

3.0 DIAGNOSIS
3.1 Clinical features
- Most common presenting clinical features of periilar or 
  extrahepatic tumours are those of biliary obstruction: jaun-
dice, pale stool, dark urine, and pruritus.
- Right upper quadrant pain, fever, and rigors suggest 
  cholangitis (this is unusual without drainage attempts).
- Cholangiocarcinoma usually presents after the disease is 
  advanced. This is particularly true with more proximal 
  intrahepatic and periilar tumours obstructing one duct, 
  which often present with systemic manifestations of 
  malignancy, such as malaise, fatigue, and weight loss.
- Some cases are detected incidentally as a result of deranged 
  liver function tests, or ultrasound scans performed for other 
  indications.

3.2 Blood tests
There are no blood tests diagnostic for cholangiocarcinoma. 
Liver function tests often show an obstructive picture with 
raised:
- alkaline phosphatase
- bilirubin
- gamma glutamyl transpeptidase.
- aminotransferases are frequently relatively normal 
  but may be markedly raised in acute obstruction or 
  cholangitis.
- Prolonged obstruction of the common bile or hepatic duct 
  can cause a reduction in fat soluble vitamins (A, D, E, and 
  K) and increase prothrombin time.
Intrahepatic cholangiocarcinoma may be seen as a mass. Diagnosis should be suspected when intrahepatic, but not extrahepatic, ducts are dilated. With advanced disease, systemic non-specific markers of malignancy may be altered—for example, reduced albumin, haemoglobin, and lactate dehydrogenase (LDH).

### 3.2 Imaging

#### 3.2.1 Serum tumour markers

There are no tumour markers specific for cholangiocarcinoma. Overall, the sensitivity and specificity of tumour marker measurements are low but may be useful in conjunction with other diagnostic modalities where diagnostic doubt exists. There is no evidence that measurement of tumour markers is useful for monitoring tumour progression. CA 19-9, carcinoembryonic antigen (CEA), and CA-125 are currently the most widely used serum tumour markers.

**CA 19-9**

The value of CA 19-9 in patients with suspected cholangiocarcinoma is unclear. However:

- CA 19-9 is elevated in up to 85% of patients with cholangiocarcinoma;
- it has been reported that a CA 19-9 value greater than 100 U/ml has a sensitivity of 75% and specificity of 80% in patients with PSC;
- CA 19-9 elevation can occur in obstructive jaundice without malignancy but persistently raised levels of CA 19-9 after biliary decompression suggest malignancy;
- CA 19-9 does not discriminate between cholangiocarcinoma, pancreatic, or gastric malignancy and may also be elevated in severe hepatic injury from any cause;
- the value of CA 19-9 for detecting cholangiocarcinoma in patients without PSC is unknown;
- CA 19-9 may be useful for the differential diagnosis of cholangiocarcinoma but further studies are needed.

**CEA**

- Carcinoembryonic antigen (CEA) is raised in approximately 30% of patients with cholangiocarcinoma.
- CEA can also be elevated in inflammatory bowel disease, biliary obstruction, other tumours, and severe liver injury.

**CA-125**

- This is elevated in 40–50% of cholangiocarcinoma patients.
- It may signify the presence of peritoneal involvement but further studies are needed.

### 3.3 Imaging

#### 3.3.1 Ultrasonography (US)

Remains the frontline investigation for suspected biliary obstruction. Diagnosis should be suspected when intrahepatic, but not extrahepatic, ducts are dilated.

Intrahepatic cholangiocarcinoma may be seen as a mass lesion but this is unusual.

- Gall stones excluded.
- Often misses small perihilar, extrahepatic, and periampullary tumours and not good at defining the extent of the tumour.
- Colour Doppler can detect tumour induced compression/thrombosis of the portal vein or hepatic artery.

#### 3.3.2 Computed tomography (CT)

CT may provide good views of intrahepatic mass lesion, dilated intrahepatic ducts, and localised lymphadenopathy, however:

- CT does not usually define the extent of cholangiocarcinoma,
- abdominal lymphadenopathy is common in cholangiocarcinoma, and suspected perihilar tumours or those involving the portal venous/arterial system should be studied by contrast enhanced spiral/helical CT.

#### 3.3.3 Magnetic resonance imaging (MRI)

At present good quality MR is the optimal initial investigation for suspected cholangiocarcinoma, providing information on:

- liver and biliary anatomy and local extent of the tumour,
- extent of duct involvement by tumour with MR cholangiopancreatography (MRCP),
- hepatic parenchymal abnormalities and presence of liver metastases,
- hilar vascular involvement by MR angiography.

#### 3.3.4 Cholangiography (MRCP, ERCP, and PTC)

- Essential for early diagnosis of cholangiocarcinoma and assessing resectability.
- MRCP is non-invasive and determines the extent of duct involvement by tumour without the risks of endoscopic retrograde cholangiopancreatography (ERCP) or percutaneous transhepatic cholangiography (PTC).
- ERCP, when available, is usually favoured above PTC. However, ideally, facilities for PTC should always be available to deal with cases where attempts at ERCP have failed.

There is no clear evidence that PTC should generally be favoured over MRCP on the basis of the level of obstruction. However, PTC may be the modality of choice depending on local expertise and anatomical considerations.

- ERCP or PTC allows bile sampling for cytology, which is positive in about 30% of cholangiocarcinoma cases. The yield may be improved by the use of thin preparations and cytospin.
- Combined brush cytology and biopsy specimens increase yield to 40–70%.
- Negative cytology from brushings does not exclude malignancy.
- ERCP and PTC also allow stent insertion for palliative purposes in irresectable tumours (section 4.2).

- Angiography in combination with cholangiography predicts resectability.

#### 3.3.5 New techniques

There are several new promising techniques that are under evaluation.

**Endoscopic ultrasound**

- Allows good view of distal extrahepatic biliary tree, gall bladder, regional lymph nodes, and vasculature.
3.4 Confirmatory histology

Although positive histology and cytology are often difficult to obtain at ERCP, they are recommended for confirmation of a diagnosis of cholangiocarcinoma. Histology is also important for planning clinical trials. An adenocarcinoma is the usual histological subtype seen (see section 2.3.3 above). The only histological feature that allows a definite diagnosis of cholangiocarcinoma to be made is the presence of coexisting adenoma in situ and this is uncommon. However, for patients with potentially curable (resectable) disease, open or percutaneous biopsy is not recommended due to the risk of tumour seeding.

3.5 Excluding metastatic disease

Cholangiocarcinoma is sometimes very difficult to differentiate from metastatic adenocarcinoma, particularly if the pathological diagnosis is obtained from outside the biliary tree—for example, porta hepatitis lymph node/mass or from liver metastases. Thoracic clinical examination and other investigations are necessary to exclude a primary from elsewhere. The extent to which another possible primary is pursued and investigations done (some suggested below) will depend on the clinical situation in each individual case. Metastatic adenocarcinoma mimicking cholangiocarcinoma may arise from several organs, particularly:

1. pancreas—axial imaging (for example, MR, CT, EUS) (evidence levels 2b, 3a; recommendation grade B);
2. stomach—axial imaging, endoscopy (evidence levels 2b, 3a; recommendation grade B);
3. breast—clinical examination, mammography only if breast mass (evidence level 1b; recommendation grade A);
4. lung—chest radiography (evidence levels 2a–c, 3a, b);
5. colon—colonoscopy or spiral CT (evidence level 3a; recommendation grade B);
6. liver metastases. Thorough clinical examination and other investigations are necessary to exclude a primary from elsewhere. The extent to which another possible primary is pursued and investigations done (some suggested below) will depend on the clinical situation in each individual case. Metastatic adenocarcinoma mimicking cholangiocarcinoma may arise from several organs, particularly:

1. pancreas—axial imaging (for example, MR, CT, EUS) (evidence levels 2b, 3a; recommendation grade B);
2. stomach—axial imaging, endoscopy (evidence levels 2b, 3a; recommendation grade B);
3. breast—clinical examination, mammography only if breast mass (evidence level 1b; recommendation grade A);
4. lung—chest radiography (evidence levels 2a–c, 3a, b);
5. colon—colonoscopy or spiral CT (evidence level 3a; recommendation grade B).

Serum tumour markers may also be useful—for example, LDH, α-fetoprotein (evidence level 3b; recommendation grade B).

4.0 TREATMENT

4.1 Surgery

Surgery is the only curative treatment for patients with cholangiocarcinoma. Surgery cures the minority of patients with cholangiocarcinoma, with a 9–18% five year survival for proximal bile duct lesions and 20–30% for distal lesions.

- Bile duct cancers may be multifocal (5%).
- Lymph node involvement is present in 50% of all patients at presentation and is associated with poor surgical outcome.
- Peritoneal and distant metastases are present in 10–20% of all patients at presentation.

4.1.1 Resectable tumours

- Patients’ suitability for major surgery should be guided by medical risk factors rather than age.
Irradiation (for example, brachytherapy or external beam radiation), surgical resection with palliative, rather than curative, intent is unproved.

4.1.3 Palliative procedures

- Surgical resection with palliative, rather than curative, intent is unproved.
- Symptoms related to biliary obstruction in unresectable disease may be palliated by insertion of a biliary endoprosthesis (see below) rather than a surgical bypass. Stenting procedures resulting in adequate biliary drainage improves survival. Surgical bypass has not been demonstrated to be superior to stenting.
- Irradiation (for example, brachytherapy or external beam radiation therapy, unproved in cholangiocarcinoma).
- Intraoperative coeliac plexus block for pain control (unproved in cholangiocarcinoma).
- Close liaison between oncological and surgical teams is important.

4.1.2 Liver transplantation for unresectable tumours

Liver transplantation is currently contraindicated (recommendation grade B).
- It is usually associated with rapid recurrence of disease and death within three years.
- In pilot studies, liver transplantation following preoperative chemotherapy for unresectable cholangiocarcinoma has resulted in long term survival of carefully selected patients and may be appropriate within clinical trials.

4.1.4 Reporting surgical specimens

All surgical resection specimens from both intrahepatic and extrahepatic cholangiocarcinomas need to be reported in a systematic manner. The following information should be included in the final report (recommendation grade D):

(i) Tumour
   (a) histological type (see section 1.3),
   (b) histological grade (see section 1.3),
   (c) extent of invasion (according to the TNM system),
   (d) blood/lymphatic vessel invasion,
   (e) perineural invasion: this is very common and has been shown to be associated with a worse outcome. It is also very useful in making the diagnosis of invasive cancer.

(ii) Margins
   These must be adequately sampled because it has been shown that local recurrence is related to involvement of the margins. This is particularly important because extrahepatic cholangiocarcinomas may be multifocal (5%).

(iii) Regional lymph nodes
   To stage the lymph nodes accurately, the lymph node groups must be specifically identified. It should be noted that peripancreatic nodes located along the body and tail of the pancreas are considered sites of distant metastasis.

(iv) Additional pathological findings
   These must be noted if present—for example, carcinoma in situ, sclerosing cholangitis.

(v) Metastases
   To other organs or structures.

4.2 Biliary decompression and stents

4.2.1 Stenting prior to surgery (evidence level 1a)

- Stents ideally should not be inserted prior to assessing resectability.
- Although the routine use of preoperative biliary drainage is not recommended, in certain patients who are severely malnourished, or who are suffering from acute suppurative cholangitis, preoperative drainage may be beneficial.
- Preoperative placement of biliary catheters may be a useful technical aid in patients requiring a difficult hilar dissection for proximal biliary diseases.

4.2.2 Stents alone for palliation of jaundice (evidence levels 2a-c, 4)

- Stents are used to maintain adequate biliary drainage and relieve symptoms.
- Most stents are inserted endoscopically and are initially plastic.
- The use of MRCP to plan endoscopic stent placement in complex hilar tumours may reduce the risk of postprocedure cholangitis.
- In patients with complex hilar lesions, retrospective case control studies suggest that bilateral versus unilateral
endoscopic/percutaneous biliary drainage may result in improved jaundice, postprocedure cholangitis, and survival, although this was not confirmed in a recent randomised trial.

4.2 Plastic versus metal stents

- Cost analysis has demonstrated that metallic stents are advantageous in patients surviving more than six months whereas a plastic stent is satisfactory for patients surviving six months or less.
- Placement of metal stents may be associated with shorter hospital stay and lower hospital costs overall.
- Tumour growth through the mesh of metal stents may lead to further problems with biliary obstruction. This may be overcome by inserting plastic (Cotton-Leung) stents through the lumen of the metal stent or placement of a further mesh metal stent where technically possible.
- Mesh metal stent occlusion may give rise to complex biliary obstruction and sepsis.
- Alternatively, semicovered stents have been recently developed which reduce tumour ingrowth but are as yet unproved to have superior long term patency.

4.2.3 Complications of stenting

- Complications of endoscopy.
- It should be noted that following palliative stenting, patients can die from recurrent sepsis, biliary obstruction, and stent occlusion as well as disease progression.

4.3 Oncological approaches

4.3.1 Chemotherapy

- In advanced disease, one randomised study of combination chemotherapy versus best supportive care reported a significantly improved survival (four months of benefit) and quality of life to the chemotherapy arm. (The study also included pancreatic cancers with a positive result although the analysis was separate.)
- There is currently no evidence to support postsurgical adjuvant therapy outside a trial setting.
- Conclusions from predominately phase II studies suggest:
  - (i) cholangiocarcinomas are relatively chemosensitive, with most studies being 5-fluorouracil (5-FU) based, and 10–20% partial response rates to (older) single agents;
  - (ii) partial response rates to newer single agents, such as gemcitabine, vary from 20% to 30%;
  - (iii) partial response rates to recent phase II combinations vary from 20 to 40%.
- Gemcitabine in combination with cisplatin shows 30–50% partial response rates. It is encouraging that several patients have been clearly documented as being down staged and converted to operability in some phase II studies, with occasional long term survivors.
- the chance of responding appears to be correlated with performance status at the outset. Quality of life is significantly improved, particularly in responders.

4.3.2 Radiotherapy

(a) External beam radiotherapy (and chemoradiation)

- There is currently no evidence to support adjuvant postoperative radiotherapy. Radiotherapy did not improve survival or the quality of life in patients with resected perihilar cholangiocarcinoma when assessed prospectively.
- There is no evidence for radiotherapy improving survival or the quality of life in advanced disease. There is significant toxicity from current methods of delivery and no evidence of disease sterilising effects without significant morbidity.
- The role of chemoradiation (chemotherapy combined with local radiation) remains to be established in randomised clinical trials as local and systemic toxicity is also concomitantly increased.
- Radiation alone still has potential important palliative value for painful localisable metastases, uncontrolled bleeding, etc.
**Recommendations**

- All patients who have inoperable tumours, or who are operable but have not been rendered disease free, or those patients with recurrences should be actively encouraged to participate in chemotherapy and/or radiotherapy clinical trials (recommendation grade B).

(b) Local radiation techniques: intraoperative or intraluminal brachytherapy

- A few uncontrolled studies using intraluminal brachytherapy (iridium implants), combined with external beam irradiation, have suggested a benefit. In one study, median survival rates reached about 10 months compared with seven months in patients managed by stenting alone.
- Median survival using a combination of external beam irradiation, transcatheater iridium, and chemotherapy (fluorouracil) was 13 months in another uncontrolled study.
- In advanced disease, liver and abdominal cavity relapse were the major causes of progression in these and other radiotherapy studies.

![Management algorithm for cholangiocarcinoma](https://www.gutjnl.com/gut/jnldocs/figures/Figure_2.jpg)

**Figure 2** Management algorithm for cholangiocarcinoma. US, ultrasonography; MRCP, MR cholangiopancreatography; MRA, MR angiography; ERCP, endoscopic retrograde cholangiopancreatography; PTC, percutaneous transhepatic cholangiography. *Where magnetic resonance imaging/MRCP is not possible, patients should have contrast enhanced spiral/helical computed tomography. **Fine needle biopsy or biopsy is ideally avoided until resectability has been assessed by a specialist surgeon.

Thus although intraoperative radiotherapy and intraluminal brachytherapy appear promising, the studies do not support their use in isolation and there are no controlled data confirming their value in comparison with standard chemotherapy, chemoradiation, or stenting alone.

**Oncology conclusion**

Definitive evidence from large randomised studies for a survival benefit of non-surgical oncological intervention compared with best supportive care is still lacking. Patients with advanced cholangiocarcinoma should therefore be actively offered the opportunity to participate in clinical trials as there are many newer promising agents and combinations with potential improved efficacy and tolerability. In chemotherapy trials, good performance status patients appear to have the most significant benefit in terms of quality of life.

4.4 Recurrent bile duct cancer

The prognosis for any treated patient with progressing, recurring, or relapsing bile duct cancer is poor. Further treatment depends on several factors, including prior treatment and site of recurrence, as well as individual patient considerations. Relief of recurrent jaundice usually improves quality of life. Clinical trials, of chemotherapy in particular, may be appropriate and should be considered when possible.

A management algorithm for cholangiocarcinoma is shown in fig 2.

5.0 REVISION OF GUIDELINES

We recommend that these guidelines are regularly audited and we request feedback from all users. These guidelines should be formally revised within three years of publication or sooner in light of new evidence.

6.0 APPENDIX: LEVELS OF EVIDENCE

Levels of evidence are shown in table A1.

Authors’ affiliations

S A Khan, S D Taylor-Robinson, H C Thomas, M R Thursz, Liver Unit, Department of Medicine A, Imperial College School of Medicine, St Mary’s Hospital Campus, South Wharf Street, London W2 1PG, UK
B R Davidson, Department of Hepatobiliary Surgery, Royal Free Hospital, Pond Street, London NW3 2QG, UK
R Goldin, Department of Histoopathology, Imperial College School of Medicine, St Mary’s Hospital Campus, South Wharf Street, London W2 1PG, UK
S Pereira, Department of Gastroenterology, Middlesex Hospital, University College London Hospitals, Mortimer Street, London W1N 8AA, UK
W M C Rosenberg, University of Southampton, Southampton General Hospital, Tremona Road, Southampton SO16 6DY, UK
A V Thilainayagam, Department of Gastroenterology, Imperial College School of Medicine, Charing Cross Hospital Campus, Fulham Palace Rd, London W6 8RF, UK
H Wasan, Department of Oncology, Imperial College School of Medicine, Hammersmith Hospital Campus, Du Cane Road, London W12 OHS, UK

Correspondence to: C Romaya, Audit Office, British Society of Gastroenterology, 3 St Andrew’s Place, Regents Park, London NW1 4LB, UK; c.romaya_bsg@mailbox.ulc.ac.uk

Accepted for publication 15 May 2002

7.0 REFERENCES

<table>
<thead>
<tr>
<th>Level</th>
<th>Therapy/prevention</th>
<th>Prognosis</th>
<th>Diagnosis</th>
<th>DDX/symptom prevalence</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>SR (with homogeneity*) of RCTs (randomised control trial)</td>
<td>SR (with homogeneity*) of inception cohort studies or untreated control groups in RCTs</td>
<td>SR (with homogeneity*) of level 1 diagnostic studies; CDR† validated in different clinical centres</td>
<td>SR (with homogeneity*) of prospective cohort studies</td>
</tr>
<tr>
<td>1b</td>
<td>Individual RCT (with narrow confidence interval)</td>
<td>Individual inception cohort study with &gt;80% follow up; CDR† validated in a single population</td>
<td>Validating ** cohort study with good††† reference standards; or CDR† tested within one clinical centre</td>
<td>Prospective cohort study with good follow up****</td>
</tr>
<tr>
<td>1c</td>
<td>All or none§</td>
<td>All or none case series</td>
<td>Absolute SpPins and SnNouts††</td>
<td>All or none case series</td>
</tr>
<tr>
<td>2a</td>
<td>SR (with homogeneity*) of cohort studies</td>
<td>SR (with homogeneity*) of either retrospective cohort studies or untreated control groups in RCTs</td>
<td>SR (with homogeneity*) of level &gt;2 diagnostic studies</td>
<td>SR (with homogeneity*) of 2b and better studies</td>
</tr>
<tr>
<td>2b</td>
<td>Individual cohort study (including low quality RCT, eg, &lt;80% follow up)</td>
<td>Retrospective cohort study or follow up of untreated control patients in an RCT; Derivation of CDR† or validated on split sample§§§ only</td>
<td>Exploratory** cohort study with good††† reference standards; CDR† after derivation, or validated only on split sample§§§ or databases</td>
<td>Retrospective cohort study or poor follow up</td>
</tr>
<tr>
<td>2c</td>
<td>“Outcomes” research; ecological studies</td>
<td>“Outcomes” research</td>
<td>Ecological studies</td>
<td>Ecological studies</td>
</tr>
<tr>
<td>3a</td>
<td>SR (with homogeneity*) of case control studies</td>
<td>SR (with homogeneity*) of 3b and better studies</td>
<td>SR (with homogeneity*) of 3b and better studies</td>
<td>SR (with homogeneity*) of 3b and better studies</td>
</tr>
<tr>
<td>3b</td>
<td>Individual case control study</td>
<td>Non-consecutive study; or without consistently applied reference standards</td>
<td>Non-consecutive cohort study or very limited population</td>
<td>Non-consecutive cohort study or very limited population</td>
</tr>
<tr>
<td>4</td>
<td>Case series (and poor quality cohort and case control studies§§)</td>
<td>Case series (and poor quality prognostic cohort studies*** )</td>
<td>Case control study, poor or non-independent reference standard</td>
<td>Case series or superseded reference standards</td>
</tr>
<tr>
<td>5</td>
<td>Expert opinion without explicit critical appraisal, or based on physiology, bench research, or “first principles”</td>
<td>Expert opinion without explicit critical appraisal, or based on physiology, bench research, or “first principles”</td>
<td>Expert opinion without explicit critical appraisal, or based on physiology, bench research, or “first principles”</td>
<td>Expert opinion without explicit critical appraisal, or based on physiology, bench research, or “first principles”</td>
</tr>
</tbody>
</table>

*Homogeneity means a systematic review (SR) that is free of worrisome variations (heterogeneity) in the directions and degrees of results between individual studies. Not all SRs with statistically significant heterogeneity need be worrisome, and not all worrisome heterogeneity need be statistically significant.

§Met when all patients died before the treatment became available, but some now survive on it; or when some patients died before the treatment became available, but none now die on it.

§§Poor quality cohort study: one that failed to clearly define comparison groups and/or failed to measure exposures and outcomes in the same (preferably blinded), objective way in both exposed and non-exposed individuals and/or failed to identify or appropriately control known confounders and/or failed to carry out a sufficiently long and complete follow up of patients. Poor quality case control study: one that failed to clearly define comparison groups and/or failed to measure exposures and outcomes in the same (preferably blinded), objective way in both cases and controls and/or failed to identify or appropriately control known confounders.

†††“Absolute SpPin”: a diagnostic finding whose specificity is so high that a Positive result rules in the diagnosis. An “Absolute SnNout”: a diagnostic finding whose Sensitivity is so high that a Negative result rules out the diagnosis.

Excellent reference standards are independent of the test, and applied blindly or objectively to all patients. Poor reference standards are haphazardly applied, but still independent of the test. Use of a non-independent reference standard (where the “test” is included in the “reference”, or where the “testing” affects the “reference”) implies a level 4 study.

****Good follow p in a differential diagnostic study is >80%; with adequate time for alternative diagnoses to emerge (for example, 1–6 months acute, 1–5 years chronic).
Cholangiocarcinoma guidelines


www.gutjnl.com

Gut: first published as 10.1136/gut.51.suppl_6.v1 on 1 November 2002. Downloaded from http://gut.bmj.com/ on October 21, 2023 by guest. Protected by copyright.