**OESOPHAGEAL DISEASE**

**Time gated fluorescence spectroscopy in Barrett’s oesophagus**


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**Background and aims:** Specialised intestinal metaplasia and its dysplastic transformation, which precedes cancer in Barrett’s oesophagus cannot be differentiated in standard gastroscopy. The aim of this study was to investigate whether laser induced protoporphyrin IX fluorescence permits the detection of specialised intestinal metaplasia and dysplasia during endoscopy and to take biopsy specimens in a guided rather than random manner.

**Methods:** In 53 patients with Barrett’s oesophagus, 5-aminolaevulinic acid was sprayed on the mucosa. Approximately 60 to 120 minutes later, biopsy specimens were taken based on point-like measurements of delayed fluorescence intensity ratios of protoporphyrin IX in vivo. Two independent pathologists examined the 596 biopsy specimens taken, 168 of which were selected to be investigated by a third pathologist. Among these specimens only those (n=141) with a consensus diagnosis by at least two pathologists and p53 expression as additional marker were included in the analysis.

**Results:** The median of normalised fluorescence intensity (ratio of delayed PpIX fluorescence intensity to immediate autofluorescence intensity) in non-dysplastic specialised intestinal metaplasia (0.51, 68% CI 0.09 to 1.92) and low grade dysplasia (1.89, 68% CI 0.55 to 3.92) differed significantly (p<0.005). Dysplasia was detected at a rate 2.8-fold higher compared with screening endoscopy despite taking fewer specimens. In addition, three early cancers were detected for the first time. Moreover, this method permitted differentiation of specialised intestinal metaplasia from junctional or gastric-fundic type epithelium (p<0.013).

**Conclusions:** For the first time it was possible to differentiate low grade dysplasia from nondysplastic Barrett’s mucosa during endoscopy based on delayed laser induced fluorescence endoscopy of PpIX. Furthermore, the method helps to detect specialised intestinal metaplasia in short Barrett’s oesophagus.

A present the incidence of adenocarcinoma of the oesophagus and of the gastro-oesophageal junction shows the highest increase of all gastrointestinal neoplasms. As these carcinomas evolve through a series of progressively severe dysplastic changes in Barrett’s oesophagus with specialised intestinal metaplasia (SIM), endoscopic visualisation of dysplasia and short segment Barrett’s would provide the opportunity for early treatment thus improving the prognosis of this condition. For this purpose, additional endoscopic methods such as dye spraying, chromoendoscopy, for example, methylene blue, laser induced autofluorescence or fluorescence of contrast agents, and optical coherence tomography have been developed. It is still discussed controversially whether dyes, such as methylene blue, improve detection of short segments of Barrett’s mucosa and of dysplasia.

Laser induced fluorescence spectroscopy (LIFS) and laser induced fluorescence endoscopy (LIFE) offer the potential to differentiate premalignant and malignant lesions from normal mucosa because of differences in their fluorescence properties. With the progression from normal to neoplasia, the predominant contribution of green autofluorescence decreases, whereas autofluorescence at longer wavelengths (red) increases. LIFS and LIFE were, therefore, used to fingerprint the dysplastic transformation. By using LIFS or LIFE, high grade dysplasia (HGD) was detected at high sensitivity but moderate specificity. However, low grade dysplasia (LGD) was incorrectly classified as normal. Furthermore inflammation, and even Barrett’s mucosa may produce false positive results.

However, for endoscopic surveillance it is necessary to detect all three types of mucosal changes. The presence of SIM is essential for the development of cancer in Barrett’s oesophagus, and cancer may be preceded by either LGD or HGD, or both.

The aim of our study, therefore, was to examine, whether it is possible to endoscopically locate LGD or HGD in Barrett’s oesophagus and to distinguish columnar lined oesophagus with SIM from columnar lined oesophagus without SIM, especially in short Barrett’s. In contrast with previous investigations we administered 5-aminolaevulinic acid (5-ALA) to stimulate the metabolic production of protoporphyrin IX (PpIX) particularly in neoplastic tissue. Furthermore, we used a tunable, pulsed solid state laser system to record immediate and delayed fluorescence spectra online, simultaneously with white light endoscopy. By recording delayed fluorescence spectra we were able to suppress autofluorescence background effectively, exploiting the long fluorescence decay time of PpIX.

**METHODS**

**Experimental set up**

Figure 1 shows the experimental set up used to excite and detect fluorescence spectra. An optical parametric oscillator...
Fluorescence spectroscopy

Figure 1 Experimental set up. THG, third harmonic generation; SHG, second harmonic generation; OPO, optical parametric oscillator.

(OPO, model 355-A, GWU-Lasertechnik), pumped by the third harmonic ($\lambda = 355 \text{ nm}$, $E_{\text{pump}} = 50–60 \text{ mJ}$, repetition rate $10 \text{ Hz}$) of a Q-switched Nd:YAG laser (Quanta-Ray GCR-11; Spectra-Physics), provided laser radiation tuneable between 410 nm and 2.2 $\mu$m. Energy and pulse width of the output pulses amounted typically to 2 mJ and 3 ns. The output energy of the tuneable laser beam was reduced to 150 $\mu$J and coupled into a 600 $\mu$m hard clad silica fibre inserted into the endoscope. The laser induced fluorescence of the tissue was collected by the same fibre and guided to the entrance slit of an optical multichannel analyser, consisting of a polychromator (McPherson) and a cooled, intensified diode array detector (Princeton Instruments). A dichroic beam splitter served to decouple excitation and observation optical paths, and to separate laser induced fluorescence from back scattered laser light. In addition, a long wave pass filter ($\lambda_{\text{pass}} = 580 \text{ nm}$) allowed the residual back scattered excitation light to be suppressed. To avoid interference with the white light used for endoscopy, the intensifier of the diode array detector was opened for 20 ns only, gated by an electrical pulse of $-180 \text{ V}$. To this end a high voltage (HV) pulse generator was triggered by a pulse provided by the power supply of the Nd:YAG laser and appropriately delayed by means of a digital delay generator.

All fluorescence spectra were corrected for the spectral transmittance of the polychromator and for the spectral sensitivity of the photocathode of the intensified diode array detector, but not for the transmittance of the long pass filter ($\lambda_{\text{pass}} = 550 \text{ nm}$) and the dichroic mirror used. In addition, the electronic background of the detector was subtracted. As fluorescence spectra were corrected for the number of laser pulses applied and for their energy, immediate and delayed spectra could be compared quantitatively.

Fluorophore

The synthesis of 5-ALA is the first step in haem biosynthesis. This pathway is tightly regulated by end point inhibition. Administration of 5-ALA leads to accumulation of PpIX, the immediate precursor of haeme in malignant and premalignant tissue, presumably because of an imbalance between porphobilinogen deaminase (PBGD) and ferrochelatase.

The excitation spectrum of PpIX in methanol consists of the Soret band at 401 nm and four smaller Q-bands at 505, 532, 580, and 630 nm. We used the Q-band at $\lambda = 505 \text{ nm}$ for excitation. The emission spectrum of PpIX in methanol shows bands at 635 nm and 699 nm.

Fluorescence spectra and data analysis

As a working hypothesis we assumed that fluorescence spectra of dysplastic lesions are dominated by PpIX fluorescence, as PpIX preferably accumulates in malignant and premalignant tissue. It was observed that immediate fluorescence spectra of Barrett’s mucosa are virtually free of autofluorescence background and exhibits PpIX fluorescence bands only. For comparison, the prompt spectrum was normalised to the maximum intensity and the corresponding delayed spectrum was corrected for different sampling time and excitation energy.
pulse used to open the detector by 20 ns with respect to the laser pulse. At $\lambda=635$ nm—that is, at the peak of the main fluorescence band of PpIX—high intensity of delayed fluorescence was considered as a specific marker for dysplasia (fig 2B). On the other hand low intensity of delayed fluorescence was associated with non-dysplastic mucosa (fig 2A). It should be noted, however, that the fluorescence intensity recorded is strongly affected by geometrical factors, for example, by the distance between probe and mucosa, and by the optical properties of the tissue—that is, by its absorption and scattering properties. For quantification we normalised the delayed PpIX fluorescence intensity $I$ (635 nm, 20 ns) by the intensity of autofluorescence $I$ (599 nm, 0 ns) taken from the immediate fluorescence spectrum at $\lambda=599$ nm. The ratio $R=I(635$ nm, 20 ns)/$I(599$ nm, 0 ns) strongly reduces the influence of geometrical factors and optical properties of the tissue.

**Patients and procedures**

Fifty three patients with Barrett’s oesophagus (34 short, 19 long, 38 men, 15 women, median age: 56 years; range 27–87) were included in our study. The diagnosis of short Barrett’s oesophagus was accepted only if SIM was diagnosed by at least two of the three pathologists. For all patients screening gastroscopies were performed (video gastroscopy, GIF Q 140, Olympus Company) with four quadrant biopsies every cm (Wilson Cook: maxum reusable biopsy forceps; Boston Scientific: megabite forceps, multibite forceps). A follow up endoscopy was performed eight weeks after screening endoscopy. An aqueous solution of 0.5 g of 5-ALA in 50 ml of sodium bicarbonate 8.4% and 50 ml of sodium chloride 0.9% was sprayed on the mucosa during gastroscopy (PW-5L-1, Olympus Company) with four quadrant biopsies every cm (Wilson Cook: maxum reusable biopsy forceps; Boston Scientific: megabite forceps, multibite forceps). A follow up endoscopy was performed eight weeks after screening endoscopy. A total of 596 biopsy specimens were taken and blinded histological evaluation was performed independently by two pathologists from two different institutions. All histological specimens with dysplasia (n=69) diagnosed by at least one of the pathologists together with a random selection of normal samples (n=99) were further examined by a third pathologist from a third institution. In the final analyses only biopsy samples (n=141) were included that were evaluated by all three pathologists—with at least two of the pathologists agreeing on the diagnosis (consensus diagnosis). Pathologists had no information about the endoscopic picture, the location of the biopsy, the diagnosis of the other pathologists, and of the fluorescence intensity values. All biopsy samples were fixed in 4% neutral formaldehyde and embedded in paraffin wax. After staining with haematoxylin and eosin (H&E) and periodic acid Schiff (PAS), serial sections (4 µm) were examined. Dysplasia was defined according to the WHO classification, and SIM was diagnosed if goblet cells were present in columnar cell metaplasia.\(^\text{1,2}\) Normalised ex vivo PpIX fluorescence intensities (see above) were correlated to the consensus diagnosis. Furthermore, agreements between pathologists, p53 analyses and normalised fluorescence intensities were calculated (table 1).

### Table 1

<table>
<thead>
<tr>
<th>Method</th>
<th>By biopsy sample</th>
<th>By patient</th>
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<tbody>
<tr>
<td></td>
<td>$\kappa$</td>
<td>agreement (%)</td>
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<td>P53 v pathologist C</td>
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<tr>
<td>Fluorescence v pathologist C</td>
<td>0.23</td>
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**Histological diagnosis**

A total of 596 biopsy specimens were taken and blinded histological evaluation was performed independently by two pathologists from two different institutions. All histological specimens with dysplasia (n=69) diagnosed by at least one of the pathologists together with a random selection of normal samples (n=99) were further examined by a third pathologist from a third institution. In the final analyses only biopsy samples (n=141) were included that were evaluated by all three pathologists—with at least two of the pathologists agreeing on the diagnosis (consensus diagnosis). Pathologists had no information about the endoscopic picture, the location of the biopsy, the diagnosis of the other pathologists, and of the fluorescence intensity values. All biopsy samples were fixed in 4% neutral formaldehyde and embedded in paraffin wax. After staining with haematoxylin and eosin (H&E) and periodic acid Schiff (PAS), serial sections (4 µm) were examined. Dysplasia was defined according to the WHO classification, and SIM was diagnosed if goblet cells were present in columnar cell metaplasia.\(^\text{1,2}\) Normalised ex vivo PpIX fluorescence intensities (see above) were correlated to the consensus diagnosis. Furthermore, agreements between pathologists, p53 analyses and normalised fluorescence intensities were calculated (table 1).

**p53 protein expression**

All 168 biopsy samples examined by the third pathologist were subsequently examined for p53 protein expression as an additional biological marker.\(^\text{17}\) The investigator had no prior information on the results of endoscopy, histological examination, and fluorescence spectroscopy. For p53 protein expression immunohistochemistry was performed on 4 µm sections of fixed and paraffin wax embedded tissue. The sections were dewaxed in xylene and rehydrated through graded alcohol. Activity of endogenous peroxidase was blocked incubating the sections for 10 minutes in 3% hydrogen peroxide. Retrieval of antigen in fixed tissues was accomplished by microwave...
normalised to the total number of biopsy samples. The normalised cumulative frequency of biopsy samples—ordered for increasing R values—of patients diagnosed to be of a specific type by pathology is the parameter R. The normalised cumulative frequency as a way to illustrate the distribution of the intensity (R) of groups with different numbers of samples (for the SPSS software (SPSS 10.07-G).

Patients

Fluorescence guided endoscopy and consensus histological diagnosis

As the central 68% mass is characteristic for the lognormal distribution, results are expressed as median with the 68% confidence interval (CI) in parentheses, unless otherwise stated.\(^3\) Statistical significance of the differences for non-normally distributed data was tested by the the Mann-Whitney U test.\(^26\) Statistical analyses were performed using the SPSS software (SPSS 10.07-G).

To improve the comparability of normalised fluorescence intensity (R) of groups with different numbers of samples (for example, LGD, non-dysplastic) we used the normalised cumulative frequency as a way to illustrate the distribution of the parameter R. The normalised cumulative frequency of biopsy samples diagnosed to be of a specific type by pathology is the sum of biopsy samples—ordered for increasing R values—normalised to the total number of biopsy samples.\(^37\)\(^38\)

RESULTS

Fifty five fluorescence guided endoscopies were performed in 53 patients with Barrett's oesophagus. From the 596 biopsy specimens taken only 141 samples with a consensus diagnosis by at least two pathologist and additional p53 expression measurement were included in the final analysis. Administration of 5-ALA and endoscopies were well tolerated by all patients. Speculating that for further therapeutic decision making it might be sufficient to suspect dysplasia in a patient by recording at least one normalised fluorescence intensity value above 0.8 a sensitivity of 100%, a specificity of 67%, a PPV of 89%, and a NPV of 100% would have been obtained.

Fluorescence data of dysplastic and non-dysplastic mucosa

Typical fluorescence spectra of non-dysplastic mucosa and dysplastic tissue are shown in figure 2A and 2B, respectively. We have plotted (see fig 3) normalised cumulative frequencies\(^37\)\(^38\) versus fluorescence intensities R (see section fluorescence spectra and data analysis) for biopsy specimens classified as dysplasia or non-dysplastic Barrett's mucosa in long and short Barrett's according to the consensus diagnosis. The median of normalised fluorescence intensity R of the 50 biopsy specimens with LGD was Rmedian = 1.89 (CI: 0.55 to 3.92), whereas it was Rmedian = 0.51 (CI: 0.09 to 1.92) in the 91 specimens with non-dysplastic Barrett's mucosa. The significantly higher median of normalised fluorescence intensity of dysplastic tissue compared with non-dysplastic Barrett's mucosa with SIM (p<0.001) clearly confirms our working hypothesis that PpIX accumulates preferably in malignant and premalignant tissue and hence leads to higher normalised PpIX fluorescence intensities. The median of normalised fluorescence intensity R of the nine samples with HGD was Rmedian = 0.95 (CI 0.4 to 2.30) was within the range of R-values of LGD. The Rmedian (SD) of the early cancer specimens was 1.73 (1.3). Based on these results, a value of Rdiscr = 0.8 of the normalised fluorescence intensities of biopsies was chosen to discriminate between dysplasia (R>0.8) and non-dysplastic (R<0.8) tissue. Following this approach, 38 of the 50 biopsy specimens with dysplasia according to the consensus diagnosis were correctly classified as malign and 58 out of 91 non-dysplastic Barrett's mucosa as benign. In this way, a sensitivity of 76%, a specificity of 63%, a positive predictive value (PPV) of 52%, and a negative predictive value (NPV) of 82% were achieved. At a discriminator value Rdiscr >0.8, the number of false positives will be reduced, at the same time increasing the number of false negatives for low grade malignancy. More importantly the number of false negatives of HGD would be unacceptable large. The value of Rdiscr = 0.8 leads to about the same specificity for LGD and HGD.

Fluorescence data of short Barrett's oesophagus

In this section we correlate normalised fluorescence intensities R with pathology of biopsy specimens of endoscopically short Barrett's only (fig 4). The analyses were performed based on the consensus diagnosis. The median of normalised fluorescence intensities of columnar epithelium with SIM was Rmedian = 0.91 (CI: 0.2 to 2.50), whereas Rmedian = 0.31 (CI: 0.07 to 1.55) was obtained for gastric fundic type or junctional type epithelium (p<0.02). We have chosen a value of Rdiscr = 0.7 to distinguish SIM (R>0.7) from junctional or gastric fundic type (R<0.7) by normalised fluorescence intensity, resulting in a sensitivity of 67%, a specificity of 70%, a PPV of 53%, and a NPV of 81%.

Comparison between screening endoscopy and fluorescence guided endoscopy

LIFS led to a considerable increase in the number of dysplasia detected. Based on the consensus diagnoses of two pathologists dysplasia was observed by LIFS in 14 of 53 patients (4 patients HGD, 10 patients LGD), whereas in only five of these patients dysplasia had been found by previous routine screening procedures. Among the 14 patients with dysplasia detected by LIFS eight patients carried dysplasia in long, six patients in short Barrett's segments. In contrast, dysplasia was detected by routine screening endoscopy only in long segments. Interestingly, detection of dysplasia was improved by LIFS despite fewer biopsy specimens taken (median LIFS: 7; median screening endoscopy: 28). Furthermore, early cancers were detected in three patients by LIFS. In one of these patients HGD was suspected by screening endoscopy.

Ethical guidelines

The protocol followed in the trials was approved by the ethics committee of the Medical Faculty (Charité) of the Humboldt University. Written informed consent was obtained from each patient.

Analysis of results and statistics

Statistical significance of the differences for non-normally distributed data was tested by the the Mann-Whitney U test.\(^26\)
Barrett's oesophagus are primarily associated with SIM, however, the high sensitivity and moderate specificity. However, HGD could be detected only when large areas were involved. Furthermore, mixed HGD and LGD were correctly classified as premalignant in only 28% of the biopsy specimens and LGD were classified as benign. Moreover, the authors concluded from preliminary data that active inflammation might be misclassified as premalignant.

In this study we provide evidence that, with the aid of time delayed PpIX fluorescence spectroscopy, LGD can be discriminated from non-dysplastic Barrett's mucosa with a sensitivity of 76% and a specificity of 63%. Furthermore, a distinction can be made between SIM and other types of columnar epithelium in short Barrett's oesophagus.

A possible explanation for the improvement in the detection of dysplasia and SIM is the larger penetration depth of the laser light associated with the longer excitation wavelength (λ=505 nm), compared with the penetration depth at the wavelength (λ=410 nm) used previously.31 Furthermore, bleaching of the fluorophore may be reduced by the use of green rather than blue light32-35 resulting in an increased sensitivity of dysplastic lesions. In addition, pretreatment of these patients with precursors (5-ALA) of fluorophores, which accumulate preferentially in malignant tissue, might increase the sensitivity of detection of dysplasia compared with endogenous fluorophores (for example, collagen, elastin, NADH, flavins) detected by autofluorescence spectroscopy.36 In our case we exploited the long fluorescence decay time (τ about 16 ns) of PpIX fluorescence compared with decay times (τ about 2-4 ns) of autofluorescence37-39 to suppress autofluorescence background by delayed observation of laser induced fluorescence. Furthermore, pulsed excitation and observation within 20 ns permitted fluorescence spectra to be recorded under normal endoscopic conditions—that is, in the presence of permanent white light illumination.

The interobserver disagreement of our pathologists is high, and mainly caused by distinguishing LGD from non-dysplastic mucosa. However, this is consistent with published literature, there a high degree (around 40%) of interobserver variability is reported.40-51 To handle this problem all samples included in our final analyses had been diagnosed by three different pathologists, and in addition the expression of p53 was determined. For comparison of normalised fluorescence intensities and p53 expression with histological examination we used consensus diagnosis, as a consensus diagnosis of LGD among gastrointestinal pathologists suggests an increased risk of progression from LGD to HGD or carcinoma.93

Although normalised fluorescence intensities correlate with p53 expression to a certain extent (see table 1), the latter parameter cannot replace the consensus diagnosis. Despite all these reservations, time gated fluorescence spectroscopy seems to be a reliable method for detecting low grade dysplasia in patients with Barrett's mucosa. This conclusion is supported by the nearly tripled detection rate of dysplasia compared with screening endoscopy. In addition, delayed observation of PpIX fluorescence aids detection of specialised metaplasia in short Barrett's.

The price of our fluorescence guided endoscopy system is rather high, but a dedicated system could be built more cheaply. Nevertheless, the reduced number of histological biopsy specimens required in the case of fluorescence spectroscopy (median 7 compared with median 28 biopsy samples per patient in conventional endoscopy) would lower the expenses for the screening procedure in the present investigation by the same amount. Moreover, our equipment can also be used for screening of other diseases, such as ulcerative colitis, early stomach cancers, flat adenomas, and polyps.

In conclusion, our data show that time gated detection of protoporphyrin IX fluorescence improves fingerprinting of short Barrett's (SIM) and dysplastic lesions during endoscopy for target biopsies. Furthermore, LGD can be localised by this technique. Our present set up is a research instrument, which

**DISCUSSION**

The efficacy of regular screening endoscopy in Barrett's oesophagus is still under debate, although it is known that cancer is preceded by dysplastic transformation of Barrett's mucosa. On the one hand, dysplasia and cancer may be missed even when four quadrant biopsy specimens are taken, because dysplasia and cancer may occur in mucosa that appears normal in white light endoscopy.1 On the other hand, the cost effectiveness of surveillance is questionable because of the low of making SIM, dysplasia and small carcinomas visible during endoscopy.44

Differentiated by their appearance during white light endoscopy, the different types of columnar epithelium cannot be discriminated a sensitivity of 68%, a specificity of 52%, a PPV of 49%, and a NPV of 71% was deduced.

In this study we provide evidence that, with the aid of time delayed PpIX fluorescence spectroscopy, LGD can be discriminated from non-dysplastic Barrett's mucosa with a sensitivity of 76% and a specificity of 63%. Furthermore, a distinction can be made between SIM and other types of columnar epithelium in short Barrett's oesophagus.

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is not intended to be used in routine endoscopy. However, a commercial instrument based on the same principle could be designed for this purpose.

ACKNOWLEDGEMENT

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