Absence of PRSS1 mutations and association of SPINK1 trypsin inhibitor mutations in hereditary and non-hereditary chronic pancreatitis

G R Chandak, M M Idris, D N Reddy, K R Mani, S Bhaskar, G V Rao, L Singh

Background and aims: Mutations in the cationic trypsinogen (protease, serine, 1 (trypsin 1); PRSS1) gene are causally associated with recurrent acute and chronic pancreatitis. We investigated whether mutations in the PRSS1 gene are associated with hereditary and non-hereditary pancreatitis. As a modifier role has been proposed for trypsin inhibitor (serine protease inhibitor, Kazal type I; SPINK1) mutations, the role of SPINK1 mutations in these patients was also analysed.

Subjects and methods: The coding regions of PRSS1 and SPINK1 genes were sequenced in 290 controls and 198 patients, of whom 120 were diagnosed as idiopathic (ICP), 41 as alcoholic (ACP), and 37 as hereditary pancreatitis (HP). Twenty-four unaffected relatives of HP probands were also analysed and genotype-phenotype correlations and statistical analyses were performed.

Results: No mutations in the PRSS1 gene were detected in any of the patients, including HP patients, while the N34S mutation was observed in the SPINK1 gene in the majority of HP patients (73%). Similarly, 26.8% of ACP (11 of 41) and 32.5% (39 of 120) of ICP patients also had SPINK1 mutations. The N34S mutation was observed in both homozygous and heterozygous conditions. In comparison, only 2.76% of the control population had the N34S allele (p<0.001). The P55S mutation was observed in one ICP and one ACP patient, and in three normal individuals. Genotype-phenotype correlations did not suggest any significant difference in the age of onset, severity of disease, or pancreatic endocrine insufficiency in patients with or without mutated SPINK1 and irrespective of the allelic status of N34S SPINK1.

Conclusions: Irrespective of the aetiology, mutations in the PRSS1 gene are not associated with chronic pancreatitis, including HP. In contrast, the N34S mutation in the SPINK1 gene shows a significant correlation in these patients. A comparable phenotype in terms of age of onset, diabetes mellitus, and other phenotypic features in patients with or without SPINK1 mutations and N34S homozygotes and heterozygotes suggests that there may still be involvement of other genetic or environmental factors.
SPINK1 gene in ICP patients,\textsuperscript{20–22} while in a recent study SPINK1 mutations were observed in 47% of TCP patients.\textsuperscript{17} Although alcohol is a known predisposing factor for pancreatitis, only 5–10% of alcoholics develop the disease\textsuperscript{18} who might have a genetic predisposition to develop pancreatitis. Witt and colleagues\textsuperscript{24} reported a 5.8% prevalence of N34S SPINK1 in ACP patients, thus implicating mutated SPINK1 in its pathogenesis. SPINK1 acts as the first line of defence against prematurely activated trypsinnogen by inhibiting approximately 20% of total trypsin activity within the pancreas.\textsuperscript{25} The role of SPINK1 mutations, particularly the N34S mutation, is a matter of controversy, with some suggesting a causal\textsuperscript{19} while others advocating a modifier role for this molecule.\textsuperscript{26}

The genetic basis of pancreatitis in India has rarely been explored and a few studies have focused only on TCP.\textsuperscript{27,28} We undertook a study on a large cohort of patients with hereditary and non-hereditary pancreatitis (ICP and ACP) to determine if PRSS1 and SPINK1 mutations are associated with chronic pancreatitis in India and also to understand their respective roles in the causation of the disease. We found no mutations in the PRSS1 gene but detected only SPINK1 mutations in HP as well as in ICP and ACP patients. We therefore propose a genetic basis of chronic pancreatitis (irrespective of its aetiology) in India, different from that observed in Western countries. The observations made in this study may have implications in counselling and modification of the predisposition risk by avoiding exposure to possible precipitating factors such as alcohol, smoking, nutritional, etc, in India.

**METHODOLOGY**

**Selection of patients**

Patients were identified and investigated at the Asian Institute of Gastroenterology, Hyderabad. The diagnosis of chronic pancreatitis was based on at least two separate episodes of abdominal pain and radiological findings of pancreatic calcifications by computed tomography, endoscopic ultrasonography, and/or pathological findings such as pancreatic ductal irregularities and dilations on endoscopic retrograde cholangiopancreatography.\textsuperscript{1} A detailed questionnaire, including clinical and family history and various investigations, was collected from all patients and their unaffected relatives willing to participate in the study. Clinical history included aetiology, type and severity of pain, frequency of attacks, presence or absence of diabetes mellitus, male infertility, onset of symptoms and diabetes mellitus, etc. Exclusion criteria for a diagnosis of ICP included absence of precipitating factors such as alcohol, gall stones, infection, trauma, medications, and metabolic disorders, age over 65 years, and a positive family history.\textsuperscript{1} Diabetes mellitus was diagnosed at a fasting plasma glucose value of >126 mg/dl and a two hour plasma glucose value of >200 mg/dl, and/or requirements for insulin or oral hypoglycaemic drugs.\textsuperscript{27} Alcohol was considered causal in chronic pancreatitis patients with a daily intake equivalent to more than 80 g of ethanol for at least two years.\textsuperscript{25} A diagnosis of HP was made on the basis of at least two affected first degree relatives or three or more second degree relatives in two or more generations.\textsuperscript{28}

Thus, in total, 198 patients (120 ICP, 41 ACP, and 37 HP) and 24 unaffected relatives from HP families participated in the study. A total of 290 healthy volunteers from the same institute constituted the control population. Blood samples were withdrawn using EDTA as the anticoagulant after obtaining written informed consent. The institutional ethics committee approved the study following the Indian Council of Medical Research guidelines for research on human subjects.

**DNA studies**

All blood samples were analysed at the Centre for Cellular and Molecular Biology, Hyderabad, where a unique identification number was assigned to each sample and data fed into indigenously developed software for patient details. Genomic DNA was isolated from leucocytes following standard protocols. As there are no reports on the genetics of hereditary or non-hereditary pancreatitis in the Indian population, we decided to sequence PRSS1 and SPINK1 genes to screen for the reported mutations as well as for any novel variants. The coding and flanking non-coding regions of both genes were amplified using published primer sequences,\textsuperscript{29} as per protocols published previously.\textsuperscript{17} Sequencing was done on both strands using the Big dye terminator cycle sequencing ready kit on an ABI 310 genetic analyser (Applied Biosystems, Perkin Elmer New Jersey, USA). A total of 580 control alleles were also sequenced to identify the PRSS1 and SPINK1 variants and their prevalence in the general population.

**Statistical analysis**

All values are presented as median (range, 95% confidence interval (CI)). The $\chi^2$ test was used to analyse differences in the prevalence of SPINK1 and N34S mutations among ICP, ACP, and HP patients, as well as in controls. We categorised the study cohort based on the presence or absence of the N34S SPINK1 mutation and its zygosity. Phenotypic variability in features such as age of onset and presence or absence of diabetes mellitus, etc, among these groups were analysed by applying the Mann-Whitney U test. SPSS for windows software (SPSS Inc, Chicago, Illinois, USA) was used for analyses. A p value of less than 0.05 was considered statistically significant.

**RESULTS**

**Patient details**

Our study cohort comprised 37 HP patients from 16 families and 161 patients with non-hereditary pancreatitis (120 ICP and 41 ACP patients). There were 156 males and 42 females but all ACP patients were exclusively male. The majority of HP families (n = 13) had two or more first degree relatives with pancreatitis while three or more affected second degree relatives were observed in three families in two or more generations. Twenty four unaffected relatives of HP patients comprising 14 males and 10 females were also included. The majority of patients presented with pain in the abdomen (88%) while diabetes mellitus was the presenting symptom in the rest. Median age of onset for HP and ICP patients was comparable at 24.5 and 23.5 years, respectively, which was significantly lower than 36 years for ACP patients (p<0.001). However, HP patients reported a longer duration of disease compared with ICP and ACP (table 1).

**DNA analysis**

We sequenced the entire coding region of PRSS1 and SPINK1 genes in all the patients and controls. None of the patients or controls carried the common mutations or any novel variant in the coding region of the PRSS1 gene. However, two commonly reported neutral polymorphisms 162Asp (GAC$\rightarrow$GAT) and 246Asn (AAC$\rightarrow$AAT) were observed in the majority of patients (88%) as well as in controls (90%; p>0.05). In comparison, 77 patients (38.9%) with chronic pancreatitis had at least one SPINK1 mutation. The majority of patients (n = 75) carried the N34S allele, including 15 homozygotes and 60 heterozygotes (table 2). The N34S mutation was found to be in complete linkage disequilibrium with IVS–37T$\rightarrow$C. P55S was observed in the heterozygous state in only two patients (1.01%). The previously reported neutral polymorphism $\sim$253T$\rightarrow$C was identified in the
heterozygous state in 3.5% of patients and in 27.9% of controls. Eight of 29 healthy controls also carried the N34S mutation (2.76%, allele frequency = 0.014) while P55S was observed in only three individuals (1.03%, allele frequency = 0.0017). Both mutations were present in the heterozygous state and no other previously reported mutations such as 2T>C, 4T>C, etc, were detected in these patients.

Thirty eight of 120 ICP patients (31.7%) carried the N34S mutation (p<0.0001 vs controls), of which seven were homozygous. However, no significant difference in N34S SPINK1 mutation frequency was noted for early onset (35.7%) and late onset forms (22.2%; p = 0.3872) of ICP. Analysis of N34S carrier frequency after categorising our study cohort into groups by age showed interesting results. The <20 year group had a carrier frequency of 52.8% (28 of 53), which was significantly higher than that of 24.8% (36 of 145) in the 20–65 year old group (p<0.016). Interestingly, the majority of homozygotes (14 of 15) had chronic pancreatitis before the age of 20 years. The only homozygote in the older group was a 54 year old patient with mild disease and diabetes for six years. An increased influence of environmental factors in the latter group may have contributed to this significant difference.11

Diabetes mellitus as a feature of pancreatic endocrine insufficiency was equally prevalent in both groups, as were other parameters of disease severity such as pain, pseudocysts, pancreatic ductal abnormalities, etc. (table 3). Almost half of the patients with chronic pancreatitis and diabetes had the N34S SPINK1 mutation (42.9%) compared with only 36.5% of patients without diabetes (table 4). Relatively more N34S homozygotes were observed in patients with diabetes (50%) than the group without diabetes mellitus (10.5%). This may be due to additional genetic or environmental factors, especially in the HP cohort as the prevalence of the N34S mutation was comparable in both groups. However, the association between N34S and diabetes mellitus did not reach statistical significance in all three categories of patients. We did not find any patient with pancreatic carcinoma although many patients had a long duration of chronic pancreatitis.

Data from HP families showed a variable genotype-phenotype association in individual families. In one of the families, the proband was a 40 year old woman with chronic pancreatitis at 21 years and diabetes at 23 years (fig 1). She was detected to be homozygous for N34S SPINK1, which was inherited from her obligate heterozygous healthy parents. Her father had been diabetic for the past 30 years but of her two obligate N34S heterozygote sons, the younger has had the disease.

Genotype-phenotype correlation
We categorised the study cohort according to aetiology and then compared SPINK1 N34S positive and negative patients in each category as a function of various phenotypic markers (table 3). Age at onset of symptoms was lower in the group with the N34S SPINK1 compared with those carrying the wild-type SPINK1 in each category but did not reach statistical significance, except in HP patients (p = 0.045). Analysis of N34S carrier frequency after categorising our study cohort into groups by age showed interesting results. Of 41 HP patients, 23 (56.1%) carried the N34S mutation and the HP group had a significantly higher frequency of N34S mutation compared with both the ICP (33.3%) and ACP (17.2%) groups (V0.001).

Table 1 Characteristics of the study population

<table>
<thead>
<tr>
<th></th>
<th>HP</th>
<th>ICP</th>
<th>ACP</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>120</td>
<td>41</td>
<td>198</td>
<td></td>
</tr>
<tr>
<td>Sex (M/F)</td>
<td>28/9</td>
<td>87/33</td>
<td>41/0</td>
<td>156/42</td>
</tr>
<tr>
<td>Age at presentation (y)</td>
<td>39.5 (31.4–46.6)</td>
<td>27.5 (26.7–30.9)</td>
<td>40.0 (37.1–41.9)</td>
<td>30.0 (29.6–33.8)</td>
</tr>
<tr>
<td>Age at onset (y)</td>
<td>24.5 (18.1–34.5)</td>
<td>23.3 (22.8–27.3)</td>
<td>36.0 (32.9–37.9)</td>
<td>27.0 (26.0–29.6)</td>
</tr>
<tr>
<td>Duration of symptoms (y)</td>
<td>9.5 (6.7–15.9)</td>
<td>4.7 (3.9–5.4)</td>
<td>4.0 (3.7–5.7)</td>
<td>5.3 (4.5–6.0)</td>
</tr>
</tbody>
</table>

Values are median (range 95% confidence interval).

n, number of patients; HP, hereditary pancreatitis; ICP, idiopathic chronic pancreatitis; ACP, alcohol related pancreatitis.

Table 2 Distribution and status of the PRSS1 and SPINK1 mutations in affected individuals

<table>
<thead>
<tr>
<th></th>
<th>HP</th>
<th>ICP</th>
<th>ACP</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>n</td>
<td>37</td>
<td>120</td>
<td>41</td>
<td>198</td>
</tr>
<tr>
<td>PRSS1 mutation</td>
<td>41</td>
<td>120</td>
<td>41</td>
<td>198</td>
</tr>
<tr>
<td>SPINK1 mutation</td>
<td>27 (73%)</td>
<td>39 (32.5%)</td>
<td>11 (26.8%)</td>
<td>77 (38.9%)</td>
</tr>
<tr>
<td>N34S</td>
<td>27</td>
<td>38</td>
<td>10</td>
<td>75 (37.9%)</td>
</tr>
<tr>
<td>Homozygote</td>
<td>7</td>
<td>7</td>
<td>1</td>
<td>15</td>
</tr>
<tr>
<td>Heterozygote</td>
<td>20</td>
<td>31</td>
<td>9</td>
<td>60</td>
</tr>
<tr>
<td>P55S</td>
<td>2</td>
<td>1</td>
<td>1</td>
<td>2 (1.01%)</td>
</tr>
<tr>
<td>Homozygote</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Heterozygote</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>

HP, hereditary pancreatitis; ICP, idiopathic chronic pancreatitis; ACP, alcohol related pancreatitis; n, number of patients.

*Neither the common nor any novel mutation was detected in the PRSS1 gene.
both pancreatitis and diabetes for past five years while the elder one is healthy. Three of her brothers were positive for N34S and had diabetes without evidence of pancreatitis. In another family, the proband was an 18 year old N34S heterozygote, inherited from his heterozygous father who also had an early onset of the disease. However, his elder brother and paternal grandmother are healthy despite being heterozygous for N34S SPINK1, whereas two aunts with N34S/WT have severe pancreatitis with diabetes and another heterozygote aunt has diabetes only.

**DISCUSSION**

Chronic pancreatitis is a heterogeneous disease and its genetic basis in India has not been investigated. We analysed 198 patients with hereditary and non-hereditary (idiopathic and alcoholic) pancreatitis with the major objective of understanding the respective roles of PRSS1 and SPINK1 mutations in its causation. As there is considerable confusion about the role of SPINK1 mutations, we also looked at the association of these mutations and their zygosity with phenotype. In the present study, except for two previously reported cSNPs, no PRSS1 mutation was identified in any patient or control individual. Absence of PRSS1 mutations in HP and ICP patients is intriguing as such mutations have been reported in up to 60% of HP32 and approximately 20% of ICP patients.33 We describe here for the first time the absence of PRSS1 mutation in the majority of HP patients is particularly interesting as PRSS1 mutations are lacking in these patients. N34S SPINK1 prevalence (2.76%) in controls with ICP and ACP (p<0.001). The presence of the N34S SPINK1 mutation in the majority of HP patients is significantly higher than the 6.4%,27 18.0%,29 and observed prevalence of mutated SPINK1 in ICP patients might be more variable than originally assumed.45 46 The observed prevalence of mutated SPINK1 in ICP patients (32.5%) was significantly higher than the 6.4%,19 18.0%,29 and 21.0%27 in other studies but much less than 40.4% reported by Pfutzer and colleagues.37 Although a strong association has been reported between mutated SPINK1 and familial ICP, but not with true ICP,29 we did not attempt to categorise this further as our diagnosis of ICP was considered in the absence of known aetiological factors, including heredity. A stronger genetic basis has recently been suggested for early onset rather than late onset ICP48 but no significant association for N34S SPINK1 prevalence was identified in this study, although the majority of patients in the older group (12 of 36) presented with diabetes compared with only seven of 84 early onset patients (p = 0.0103). The higher age of onset for

**Table 3**

<table>
<thead>
<tr>
<th>Status</th>
<th>HP (n = 37)</th>
<th>ICP (n = 120)</th>
<th>ACP (n = 41)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mutated SPINK1</td>
<td>Mutation negative</td>
<td>Mutated SPINK1</td>
<td>Mutation negative</td>
</tr>
<tr>
<td>n</td>
<td>27</td>
<td>10</td>
<td>38</td>
</tr>
<tr>
<td>Age at presentation (y) (median, range 95% CI)</td>
<td>35.0 (31.5–36.1)</td>
<td>39.5 (36.5–44.3)</td>
<td>24.0 (22.2–28.8)</td>
</tr>
<tr>
<td>Age at onset (y) (median, range 95% CI)</td>
<td>23.5 (21.2–29.2)</td>
<td>24.5 (23.2–31.8)</td>
<td>19.5 (17.8–24.2)</td>
</tr>
<tr>
<td>Clinical parameters</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nature of pain</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intermittent</td>
<td>18 (74.1%)</td>
<td>3 (30%)</td>
<td>18 (47.4%)</td>
</tr>
<tr>
<td>Constant</td>
<td>6 (22.2%)</td>
<td>6 (60%)</td>
<td>14 (36.8%)</td>
</tr>
<tr>
<td>Diabetes mellitus†</td>
<td>11 (40.7%)</td>
<td>4 (40%)</td>
<td>4 (10.5%)</td>
</tr>
<tr>
<td>Smoking</td>
<td>4 (14.8%)</td>
<td>3 (30%)</td>
<td>6 (15.8%)</td>
</tr>
<tr>
<td>Pseudocyst</td>
<td>4 (14.8%)</td>
<td>2 (20%)</td>
<td>6 (15.8%)</td>
</tr>
</tbody>
</table>

n, number of patients; HP, hereditary pancreatitis; ICP, idiopathic chronic pancreatitis; ACP, alcohol related chronic pancreatitis.

**Table 4**

<table>
<thead>
<tr>
<th>Status</th>
<th>HP (n = 37)</th>
<th>ICP (n = 120)</th>
<th>ACP (n = 41)</th>
<th>Total (n = 198)</th>
</tr>
</thead>
<tbody>
<tr>
<td>With DM</td>
<td>Without DM</td>
<td>With DM</td>
<td>Without DM</td>
<td>With DM</td>
</tr>
<tr>
<td>N34S SPINK1 mutation</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homozygote</td>
<td>15 (73.3%)</td>
<td>22 (72.7%)</td>
<td>19 (61%)</td>
<td>101 (83.3%)</td>
</tr>
<tr>
<td>Heterozygote</td>
<td>11 (73.3%)</td>
<td>16 (72.7%)</td>
<td>4 (21%)</td>
<td>34 (33.3%)</td>
</tr>
</tbody>
</table>

n, number of patients; HP, hereditary pancreatitis; ICP, idiopathic chronic pancreatitis; ACP, alcohol related chronic pancreatitis; DM, diabetes mellitus. Values in parentheses indicate percentages.
Figure 1 Two pedigrees of families with hereditary pancreatitis (HP) and coinheritance of the N34S mutation in the SPINK1 gene. N34S/N34S, homozygotes; N34S/WT, heterozygotes; WT/WT, wild-type.

HP patients (24.5 years) in our study compared with the majority of studies conducted abroad may be due to the presence of N34S SPINK1 mutations which are hypothesised to perform a modifier role in comparison with the causal role played by PRSS1 mutations in Western HP patients. A highly significant prevalence of SPINK1 mutations in our cohort of ACP patients suggests an important role for this genetic variant in our population. Decreased trypsin inhibitor to trypsinogen levels have been reported in the pancreatic juice of alcoholics compared with controls without alcoholism. Alcohol may also affect SPINK1 regulation during the development of alcoholic pancreatitis. 

In conclusion, the N34S mutation in the SPINK1 gene is strongly associated with chronic pancreatitis, although the penetrance is very low. However, mutations in the cationic trypsinogen gene are not an important cause of pancreatitis in the Indian population. To date, the N34S SPINK1 mutation significantly lowers the threshold for pancreatitis to predispose humans to an earlier age of onset, they may have an impact on the phenotypic presentation of ACP.

The cohort in our study represents the conglomeration of chronic pancreatitis patients usually seen in routine clinical practice in developing countries such as India. It has been a matter of intense speculation how a mutated inhibitor, especially N34S SPINK1, can cause the disease in the presence of wild-type PRSS1 where the R122 autolysis site is intact. PRSS1 mutations such as R122H and N29I have been shown to lead to either failure of autolytic degradation of active trypsin or facilitated activation of trypsinogen. This pancreatic protease-protease inhibitor system may play an important role in the pathogenesis of chronic pancreatitis as SPINK1 can block ~20% of trypsin activity. Although the exact mechanism is not well understood, it is hypothesised that mutated inhibitor, with N34S mutation, may have functional consequences, probably due to alteration of the protein structure.

Current research on the role of N34S SPINK1 is confusing, with both a causal as well as a modifier role being described. A recent study supports the significance of SPINK1 mutations based on disappearance of the pancreas in homozygous knockout mice of SPINK1, although heterozygous mice showed no alteration in pancreatic tissue (as quoted by Hirota and colleagues). We did not observe any significant difference in the phenotype between SPINK1 mutation positive and mutation negative groups, or between SPINK1 N34S heterozygotes and homozygotes, also reported in Indian TCP patients by us. Although the association between N34S and diabetes mellitus was not statistically significant in all three categories of patients, N34S homozgyosity was positively associated with diabetes mellitus. This suggests that the N34S SPINK1 mutation may be involved in only modifying the phenotype.

Several studies have argued about SPINK1 mutations being autosomal recessive or autosomal dominant. Our results may suggest an autosomal dominant mode of inheritance with a low level of penetrance. At the same time, the autosomal recessive model is also suggested by the high prevalence of N34S homozygotes (7.6%) in patients. Despite a significantly strong association between N34S and HP, analysis of HP families in our study showed variable inheritance patterns and associations with the phenotype. This phenomenon of genetic heterogeneity is characteristic of complex diseases with an important role for the environment. Hence, it may be logical to suggest that the N34S mutation significantly lowers the threshold for pancreatitis due to other genetic or non-genetic factors, including the environment. Based on the finding that the vast majority of these patients are N34S SPINK1 heterozygotes, other genes such as cystic fibrosis transmembrane regulator (CFTR) and α1-antitrypsin have been speculated to act as modifier genes. It may be interesting to screen these patients for CFTR mutations. Although the frequency of CFTR gene mutations is low in our population, it may be tempting to speculate that the coexistence of mild mutations in CFTR with the N34S mutation could account for the low penetrance of SPINK1. The association between ICP and SPINK1, PRSS1, and CFTR genes in recent studies suggests a genetic basis and challenges the concept of ICP as a non-genetic disorder. This confusion in understanding of hereditary chronic pancreatitis needs to be addressed appropriately in future studies so that a uniform terminology can be coined for these conditions.
mutation is the only factor implicating a genetic basis for chronic pancreatitis in Indian patients. This may have implications in presymptomatic genetic testing but analysis of more such patients are needed to validate such a conclusion.

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