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# International Cancer of the Pancreas Screening (CAPS) Consortium summit on the management of patients with increased risk for familial pancreatic cancer

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## ABSTRACT

**Background** Screening individuals at increased risk for pancreatic cancer (PC) detects early, potentially curable, pancreatic neoplasia.

**Objective** To develop consortium statements on screening, surveillance and management of high-risk individuals with an inherited predisposition to PC.

**Methods** A 49-expert multidisciplinary international consortium met to discuss pancreatic screening and vote on statements. Consensus was considered reached if  $\geq 75\%$  agreed or disagreed.

**Results** There was excellent agreement that, to be successful, a screening programme should detect and treat T1N0M0 margin-negative PC and high-grade dysplastic precursor lesions (pancreatic intraepithelial neoplasia and intraductal papillary mucinous neoplasm). It was agreed that the following were candidates for screening: first-degree relatives (FDRs) of patients with PC from a familial PC kindred with at least two affected FDRs; patients with Peutz–Jeghers syndrome; and *p16*, *BRCA2* and hereditary non-polyposis colorectal cancer (HNPCC) mutation carriers with  $\geq 1$  affected FDR. Consensus was not reached for the age to initiate screening or stop surveillance. It was agreed that initial screening should include endoscopic ultrasonography (EUS) and/or MRI/magnetic resonance cholangiopancreatography not CT or endoscopic retrograde cholangiopancreatography. There was no consensus on the need for EUS fine-needle aspiration to evaluate cysts. There was disagreement on optimal screening modalities and intervals for follow-up imaging. When surgery is recommended it should be performed at a high-volume centre. There was great disagreement as to which screening abnormalities were of sufficient concern to for surgery to be recommended.

**Conclusions** Screening is recommended for high-risk individuals, but more evidence is needed, particularly for how to manage patients with detected lesions. Screening and subsequent management should take place at high-volume centres with multidisciplinary teams, preferably within research protocols.

## INTRODUCTION

Pancreatic ductal adenocarcinoma (PC) is a deadly disease. It remains the fourth most common cause of death from cancer in the USA<sup>1</sup> and one of the

deadliest cancers in the world. Although treatments have improved, average PC 5-year survival is  $<5\%$ . Because pancreatic neoplasia detected early is potentially curable, there is interest in pancreatic screening. Because of the low incidence of PC in the general population, population-based screening has not been recommended.

Selective screening of individuals at increased risk for PC (high-risk individuals (HRIs)) based on their family history or identifiable genetic predisposition is considered worthwhile. Over the past decade, centres in the USA and Europe initiated pancreatic screening programmes. Single-<sup>2–10</sup> and multicentre<sup>8–11</sup> cohort studies have evaluated the diagnostic yield of screening (detection of asymptomatic precursor lesions and PCs at baseline and follow-up) using different imaging modalities and study populations (table 1).

## AIMS AND METHODS

### Scope and purpose

The International Cancer of the Pancreas Screening (CAPS) Consortium was formed in 2010 to help organise global pancreatic screening. After prior formulation of key topics for discussion, the CAPS Consortium held a multidisciplinary consensus conference. The statements developed at this conference provide recommendations related to the following questions: (1) Who should be screened? (2) How should HRIs be screened and followed up? (3) When should surgery be performed? (4) What are the goals of screening and what outcome should be considered a success?

### Consortium participants

The conference chairs (Professors Canto and Bruno) selected an international multidisciplinary group of 50 experts from 10 countries in four continents representing the fields of epidemiology, genetics, gastroenterology, radiology, oncology, surgery and pathology. Participant selection was based upon expertise, publications and participation in ongoing PC screening and surveillance programmes. The group included physicians, scientists, nurses and genetic counsellors from community-based practices, academic institutions and cancer centres.



**Table 1** Summary of diagnostic yield of familial pancreatic cancer screening and surveillance programmes

Study	High-risk group	Imaging tests	Diagnostic yield*n (%)
Brentnall 1999 (1) n=14	FPC	EUS+ERCP+CT	7/14 (50)†
Kimmey 2002 n=46‡	FPC	EUS; ERCP§	12/46 (26)†
Canto 2004 (2) n=38	FPC, PJS	EUS; ERCP§, EUS-FNA§, CT§	2/38 (5.3)†
Canto 2006 (3) n=78	FPC, PJS	EUS; CT§, EUS-FNA§, ERCP§	8/78 (10.3)¶, †
Poley 2009 (4) n=44	FPC, BRCA, PJS, p16, p53, HP	EUS; CT§, MRI§	10/44 (23)
Langer 2009 (5) n=76	FPC, BRCA	EUS+MRCP; EUS-FNA§	1/76 (1.3)¶, †
Verna 2010 (6) n=51	FPC, BRCA, p16	EUS and/or MRCP	6/51 (12)†
Ludwig 2011 n=109	FPC, BRCA	MRCP; EUS§, EUS-FNA§	9/109 (8.3)¶
Vasen 2011 (7) n=79	p16	MRI/MRCP	14/79† (18)
Al-Sukhni 2011 (8) n=262	FPC, BRCA, PJS, p16, HP	MRI; CT§, EUS§, ERCP§	19/262¶ (7.3)
Schneider 2011 (9)** n=72	FPC, BRCA, PALB2	EUS+MRCP	11/72 (15)¶
Canto 2012 (10) n=216	FPC, BRCA, PJS	CT, MRI/MRCP, EUS; ERCP§	5/216 (2.3)†–92/216 (43)

\*Yield is defined as the detection of any pathologically proven (pre)malignant lesion ( $\geq$ PanIN-2/IPMN and pancreatic adenocarcinoma) and lesions that are morphologically suspicious for branch-duct IPMNs.

†Includes only pathologically proven pancreatic neoplasms (histology or cytology).

‡Continuation of Brentnall 1999, included 14 high-risk individuals from Brentnall 1999.

§Test performed only as an additional test for detected abnormalities.

¶Includes baseline and follow-up.

\*\*Continuation of Langer 2009, includes high-risk individuals from this series.

ERCP, endoscopic retrograde cholangiopancreatography; EUS, endoscopic ultrasonography; FNA, fine-needle aspiration; FPC, familial pancreatic cancer; IPMN, intraductal papillary mucinous neoplasm; MRCP, magnetic resonance cholangiopancreatography; PJS, Peutz–Jeghers syndrome; PanIN, pancreatic intraepithelial neoplasia.

## Conference proceedings

The international CAPS Consortium held a 2-day conference in February 2011, in Baltimore, Maryland, USA. A comprehensive literature search was performed and relevant publications were reviewed by conference chairs and representative experts. At the meeting, experts outlined the current state of the field. Thereafter, workgroups comprising geneticists/epidemiologists, gastroenterologists, radiologists, surgeons and pathologists met to discuss topics relevant to each specialty. Multidisciplinary groups met in breakout sessions to formulate concise statements for voting (see table 1, online Appendix). Gaps in knowledge and areas of disagreement and agreement were also identified and specifically discussed. The statements were presented after plenary and workgroup discussions, and anonymous voting was performed using touchpad technology at the end of the meeting.

The resulting 100 consensus statements were reviewed and refined after the meeting and then voted on by 49 of 50 participants by anonymous electronic survey. Participants voted on multiple choice questions on a five-point scale (eg, a=definitely agree, b=moderately agree, c=neutral, d=moderately disagree, e=definitely disagree) or five-item selection list. A statement was accepted if  $\geq 75\%$  of the participants voted 'agree' or 'disagree'. Statements that did not reach consensus are listed separately (Appendix 1).

The Appraisal of Guidelines Research and Evaluation process for assessment of quality of evidence and strength of recommendations<sup>12 13</sup> was used to determine if the available literature was sufficient to make and grade recommendations. Evidence was graded based upon study design (randomised controlled trial=high, observational study=low, any other evidence=very low), study quality, consistency and directness of evidence.<sup>12</sup> The grade of evidence was modified if there was strong evidence of association (relative risk (RR) $>2$ ) (eg, consistent evidence from multiple observational studies, or evidence of a dose–response gradient).<sup>12</sup> The strength of the group's recommendation statement was based upon Grading of Recommendations Assessment, Development and Evaluation (GRADE) definitions for quality improvement and guidelines development<sup>12 14</sup>: 1 (strong)= 'definitely do it', 2 (weak)= 'probably do it', 3 (no recommendation), 4 (weak)= 'probably don't do it' and 5 (strong)= 'definitely don't do it'.

## RECOMMENDATION STATEMENTS

Each statement includes its grade of evidence, the voting results (table 2) and a brief discussion.

### Who should be screened?

Since the incidence of PC in the general population is low (lifetime risk 1.3%), screening is not recommended for the general population, but instead for individuals considered to be at high risk of developing the disease (ie,  $>5\%$  lifetime risk, or fivefold increased RR). The main tool used to quantify PC risk is still the family history; risk stratification is determined from the number of affected family members and the relationships among at-risk individuals.<sup>15</sup> Gene testing can identify a family's underlying genetic susceptibility, but it has a limited role because the genetic basis of much of the inherited susceptibility to PC remains unexplained. Additional PC susceptibility genes may be discovered in the near future that should improve our ability to identify individuals who would benefit most from pancreatic screening.

### Patients with a family history of PC

Individuals with three or more blood relatives with PC, with at least one affected first-degree relative (FDR), should be considered for screening (agree 91.9%, grade moderate, 'probably do it'). Those with at least two affected FDRs should be considered for screening (agree 91.9%, grade moderate, 'probably do it'). Individuals with two affected blood relatives with PC, with at least one FDR, should be considered for screening (agree 77.5%, grade low, 'probably do it').

These recommendations for screening are primarily based on evidence of increased risk, rather than a proven efficacy of screening. Prospective studies demonstrate an increased risk of developing PC in unaffected FDRs that depends on the number of relatives with PC.<sup>16</sup> This risk has been estimated to be 6.4-fold greater in individuals with two FDRs with PC (lifetime risk 8–12%<sup>17</sup>) and 32-fold greater in individuals with three or more FDRs with PC (lifetime risk 40%<sup>17</sup>). Among kindreds with familial PC, risk is higher in kindreds with a young-onset PC (age  $<50$  years, RR=9.3) compared with kindreds

**Table 2** Summary of consensus statements for the management of high risk individuals

Who should be screened?	Statements
A1	Individuals with three or more affected blood relatives, with at least one affected FDR, should be considered for screening.
A2	Individuals with at least two affected FDRs with PC, with at least one affected FDR, should be considered for screening once they reach a certain age.
A3	Individuals with two or more affected blood relatives with PC, with at least one affected FDR, should be considered for screening.
A4	All patients with Peutz–Jeghers syndrome should be screened, regardless of family history of PC.
A5	<i>p16</i> carriers with one affected FDR should be considered for screening.
A6	<i>BRCA2</i> mutation carriers with one affected FDR should be considered for screening.
A7	<i>BRCA2</i> mutation carriers with two affected family members (no FDR) with PC should be considered for screening.
A8	<i>PALB2</i> mutation carriers with one affected FDR should be considered for screening.
A9	Mismatch repair gene mutation carriers (Lynch syndrome) with one affected FDR should be considered for screening.
	How should high-risk individuals be screened?
	Statements
B1	Initial screening should include (multiple answers allowed): EUS 83.7%, MRI/MRCP 73.5%, CT 26.5%, abdominal ultrasound 14.3%, ERCP 2.0%.
B2	When previous screening did not detect an abnormality that met criteria for shortening of the interval or surgical resection, follow-up screening should include (multiple answers allowed): EUS 79.6% MRI/MRCP 69.4%, CT 22.4%, abdominal ultrasound 4.1%, ERCP 2.0%.
B3	Standardised nomenclature should be used to define chronic pancreatitis-like abnormalities.
B4	Whenever a cystic lesion is detected, an additional ERCP should not be performed.
B5	Patients with a cystic lesion without worrisome features for malignancy should have an imaging test after 6–12 months.
B6	When a solid lesion is detected, CT should also be performed.
B7	When a solid lesion is detected, ERCP should not be performed.
B8	When a solid lesion is detected at baseline with an indeterminate diagnosis and the patient is not referred for immediate surgery, imaging should be repeated after 3 months.
B9	When a new solid lesion is detected at follow-up with an indeterminate diagnosis and the patient is not referred for immediate surgery, imaging should be repeated after 3 months.
B10	If an indeterminate main pancreatic duct stricture without a mass is detected, repeat imaging should be performed within 3 months.
	When should surgery be performed?
	Statements
C1	Screening should only be offered to individuals who are candidates for surgery.
C2	Pancreatic resections should be performed at specialty centres (taking into account volume, morbidity and mortality rates and expertise available).
C3	<i>Intraoperatively</i> , further pancreatectomy (up to a possible total) should be performed in patients with otherwise reasonable life expectancy to achieve R0 resection of cancer.
C4	<i>Intraoperatively</i> , further pancreatectomy (up to a possible total) should not be performed in a patient with otherwise reasonable life expectancy and no cancer but with unifocal PanIN-2 in the resected specimen but not at the margin.
C5	<i>Postoperatively</i> , further pancreatectomy (up to a possible total) should be not performed in patients with otherwise reasonable life expectancy in a patient without cancer in the resected specimen but with PanIN-2 at margin.
C6	<i>Postoperatively</i> , further pancreatectomy (up to possible total) should be not be performed in patients with otherwise reasonable life expectancy in a patient who did not have cancer but had unifocal PanIN-2 in the resected specimens but not at the margin.
C7	<i>Postoperatively</i> , further pancreatectomy (up to a possible total) should be not performed in patients with otherwise reasonable life expectancy in a patient without cancer but who has multifocal PanIN-2 in the resected specimens but not at the margin.
	What are the goals of screening? What outcome(s) would be considered a 'success'?
	Statements
D1	Resectable carcinoma is a potential target for early detection and treatment.
D2	PanINs are a potential target for early detection and treatment.
D3	IPMNs are a potential target for early detection and treatment.
D4	Detection and treatment of multifocal PanIN-3 should be considered a success of a screening/surveillance programme.
D5	Detection and treatment of IPMNs with high-grade dysplasia should be considered a success of a screening/surveillance programme.
D6	Detection and treatment of invasive cancer-T1N0M0 detected at baseline should be considered a success of a screening programme.
D7	Treatment of invasive cancer-T1N0M0 detected at follow-up should be considered a success of a screening programme.
D8	Detection and treatment of invasive cancer >T1N0M0 resectable with margins negative at baseline, should be considered a success of a screening programme.

ERCP, endoscopic retrograde cholangiopancreatography; EUS, endoscopic ultrasonography; FDR, first-degree relative; IPMN, intraductal papillary mucinous neoplasm; MRCP, magnetic resonance cholangiopancreatography; PC, pancreatic cancer; PanIN, pancreatic intraepithelial neoplasia.

without.<sup>18</sup> No consensus was reached on whether to screen individuals without an affected FDR, including individuals with a young-onset PC relative, or patients with new-onset diabetes (Appendix).

### Mutation carriers

Germline mutations in the *BRCA2*, *PALB2*, *p16*, *STK11*, *ATM*, *PRSS1* genes and the hereditary colon cancer (Lynch syndrome) genes, are associated with significantly increased risk of PC.<sup>19</sup> Mutations in these genes explain only ~10% of the familial susceptibility to PC. Individuals with PC susceptibility gene

mutations may not have many affected family members. Patients with apparently sporadic pancreatic cancer can have mutations in *BRCA2*, as can those without a family history of breast, ovarian cancer.<sup>20</sup> Incomplete or low penetrance is a common feature of familial PC susceptibility gene mutations.

Patients with Peutz–Jeghers syndrome, regardless of family history, should be considered for screening (agree 96%, grade moderate, 'do it').

Patients with Peutz–Jeghers syndrome (who generally carry germline *STK11* gene mutations) have a very high (132-fold<sup>21</sup>)

risk of PC. Lifetime cumulative risk to age 65–70 for PC in patients with Peutz–Jeghers syndrome is 11–36%.<sup>22</sup>

*BRCA2* mutation carriers with one or more affected FDR with PC (agree 85.7%, grade low, ‘probably do it’) and those with two or more affected family members (even without a FDR) (agree 89.8%, grade low, ‘probably do it’) should be considered for screening.

Germline *BRCA2* gene mutations account for the highest percentage of known causes of inherited PC. These have been identified in 5–17% of familial PC kindreds.<sup>23–25</sup> The RR of PC in *BRCA2* gene mutation carriers is 3.5 (95% CI 1.87 to 6.58).<sup>26–27</sup> Individuals with Jewish ancestry and a family history of PC should be considered for genetic counselling and testing for the founder *BRCA2* gene mutation, 6174delT, present in 1% of Ashkenazi Jewish individuals<sup>28</sup> and 4% of patients with PC.<sup>29</sup> It has not been established that the risk of PC in *BRCA1* gene mutation carriers is increased. One cohort study found a modest increased risk of pancreatic cancer (RR=2.3).<sup>30</sup> No agreement was reached on the question of screening *BRCA2* mutation carriers with no family history of PC (agree 51.1%), or for *BRCA1* mutation carriers with one affected FDR or two affective relatives but no FDR (agree 69.4%).

*PALB2* mutation carriers with one or more affected FDR with PC should be screened (agree 77.5%, grade very low, ‘probably do it’).

*PALB2* (partner and localiser of *BRCA2*) was recently identified as a PC susceptibility gene.<sup>31</sup> Germline mutations have been detected in up to 3% of patients with familial PC.<sup>31–35</sup> The magnitude of PC risk in *PALB2* mutation carriers has not been established. However, given the function of the *PALB2* gene, the risk of PC among *PALB2* gene mutation carriers is estimated to be similar to that found for *BRCA2* gene mutation carriers.

*p16* mutation carriers with one or more affected FDR with PC should be considered for screening. (agree 87.8%, grade low, ‘probably do it’).

Germline *p16* gene mutations are found in families with familial atypical multiple mole melanoma syndrome (FAMMM syndrome), an autosomal dominant disease with variable penetrance. PC risk among *p16* gene mutation carriers is estimated to be increased 13- to 22-fold, compared with the general population.<sup>36–38</sup>

Patients with Lynch syndrome and one affected FDR with PC should be considered for screening. (agree 87.5%, grade low, ‘probably do it’).

Patients with mismatch repair gene (*MLH1*, *MSH2*, *MSH6*, *PMS2*) gene mutations (Lynch syndrome) have an estimated lifetime risk of 3.7% of developing PC (8.6-fold higher risk).<sup>39–40</sup> Patients with PC having histology characteristic of mismatch repair-deficient cancers (‘medullary’ histology)<sup>41</sup> should have their pedigree evaluated for possible hereditary non-polyposis colorectal cancer.

The estimated lifetime risk of PC in individuals with hereditary pancreatitis is high (about 40%).<sup>42</sup> Many of these individuals have germline *PRSS1* gene mutations. This PC risk is directly related to the duration of recurrent pancreatitis and chronic inflammation.<sup>43</sup> Screening of *PRSS1* mutation carriers with longstanding chronic pancreatitis is being performed within established programmes<sup>44</sup> but it is controversial

whether healthy siblings with a *PRSS1* mutation should also be screened.

### At what age should screening begin and end?

There was disagreement about the age to initiate screening in HRIs (Appendix). For individuals with familial PC, the average age at diagnosis is 68.<sup>18</sup> Fifty-one per cent voted to recommend starting screening at age 50. In contrast, screening typically begins at age 40 in *PRSS1* mutation carriers with hereditary pancreatitis owing to younger age of onset of PC.<sup>45</sup> Smokers with a family history of PC have a greater risk of developing PC than non-smokers,<sup>18</sup> but there was no consensus as to whether to recommend initiating screening at an earlier age for current smokers (Appendix). There was also no consensus recommendation about the age to end screening for HRIs without pancreatic lesions (Appendix).

### How should high-risk individuals be screened?

Published screening studies have employed different screening tests. Direct interpretation of screening modalities is limited by differences in study populations, and reported diagnostic yields have ranged between 1.3% and 50%, depending on whether resected neoplasms or pancreatic lesions were tabulated (table 1).<sup>2–4 6–9 34 46 47</sup> Of 1040 HRIs screened, to date only 70 (6.7%) had a pancreatic lesion or suspected neoplasm resected.<sup>2–4 6–9 34 46 47</sup> Small cysts (branch-duct intraductal papillary mucinous neoplasms or BD-IPMNs) are the most common abnormality detected (in 34% and 53% of HRIs aged 50–59 and 60–69, respectively<sup>11</sup>). Solid masses are rarely detected (20 of 70 resected were pancreatic ductal adenocarcinomas.<sup>2–4 6–9 34 46 47</sup> The number of incident PCs detected in published studies is likely to be unreported (only four were cohort studies, all with limited follow-up), but eight of 20 (40%) of the PCs diagnosed in screened HRIs were not detected at baseline screening.

Initial screening should include (multiple answers allowed): endoscopic ultrasonography (EUS) (agree 83.7%, grade moderate, ‘do it’), MRI/magnetic resonance cholangiopancreatography (MRCP) (agree 73.5%, grade moderate, ‘do it’), CT (26.5%), abdominal ultrasound (14.3%), endoscopic retrograde cholangiopancreatography (ERCP) (2%).

EUS and MRI are considered the most accurate tools for pancreatic imaging and do not involve ionising radiation. Few studies have compared the diagnostic yield of imaging tests for HRIs in screening, and most comparisons have not been performed in a blinded, randomised fashion. The prospective CAPS3 study (published after the CAPS summit) performed blinded comparisons of standardised pancreatic-protocol CT, secretin-enhanced MRI/MRCP and EUS for one-time screening.<sup>11</sup> It showed that EUS and MRI are better than CT for the detection of small, predominantly cystic, pancreatic lesions, with good to excellent concordance of lesion number, size and location between EUS and MRI/MRCP. EUS, MRI/MRCP and CT identified pancreatic lesions in 42.6%, 33.3% and 11% of screened HRIs, respectively.<sup>11</sup> MRCP provided the best visualisation of cyst communication with the main pancreatic duct.

Incorrect diagnosis of lesions identified by EUS and/or MRI is a significant concern, particularly in the screening process. Some cysts are found at resection to be benign serous cystadenomas, while other resected pancreata have only low-grade pancreatic intraepithelial neoplasia (PanIN) associated with lobulocentric parenchymal atrophy.<sup>4–7 34</sup> These results highlight the risk of overtreatment using available screening tests.

The risk of overtreatment for pancreatic screening is magnified by the risks of morbidity and mortality (~1–2%) of pancreatic surgery. The risk of incorrect diagnosis is particularly true for EUS, an operator-dependent test with only modest interobserver agreement.<sup>48</sup>

There was excellent agreement that radiation exposure and the suboptimal detection rate preclude CT from being a routine pancreatic screening test.<sup>2 11 46</sup> Abdominal ultrasound and ERCP were also not recommended for screening, owing to their low diagnostic sensitivity and the risk of pancreatitis, respectively.

### Additional tests

ERCP should be performed as an additional test if a solid lesion (disagree 77.5%, grade high, 'don't do it') or cystic lesion (disagree 77.5%, grade moderate, 'don't do it') is detected. When a solid lesion is detected, CT should be performed (agree 75.5%, grade, low, 'do it').

When ERCP was performed routinely for abnormal EUS results, it did not improve diagnostic yield and was associated with a 7% pancreatitis rate.<sup>4</sup>

No consensus was reached on the role of EUS-guided fine-needle aspiration (FNA) to evaluate solid or cystic lesions in asymptomatic HRIs (Appendix). The role of EUS-FNA in the clinical management of most pancreatic cysts is limited, given the low accuracy of cytology in cystic lesions,<sup>49 50</sup> and the low volume of cyst fluid aspirated from small cysts. False-positive cytology from subcentimetre solid indeterminate lesions may also lead to unnecessary surgery.<sup>5 9</sup>

Multidetector pancreatic-protocol CT was recommended for evaluation of solid lesions identified by EUS or MRI. The level of evidence that supports this agreement is low.

### Surveillance

For routine follow-up, the best imaging test is (multiple answers allowed): EUS (79.6%), MRI/MRCP (69.4%), CT (22.4%), abdominal ultrasound (4.1%), ERCP (2%).

How should surveillance be performed after baseline screening? Published studies have generally used the same imaging tests for follow-up as for baseline imaging. There was no consensus reached on the ideal screening interval in the absence of pancreatic abnormalities at baseline, but 73.5% of participants suggested a 12-month interval. There is only indirect and limited evidence to support this recommendation. The vast majority of individuals in whom a clinically relevant lesion developed during follow-up had pancreatic abnormalities at baseline.<sup>4 9</sup> Furthermore, HRIs who presented with an advanced pancreatic malignancy after prior normal or indeterminate imaging were diagnosed  $\geq 12$  months later.<sup>2 9</sup>

Patients with a non-suspicious cyst should have an imaging test after 6–12 months (agree 83.7%, grade moderate, 'do it'). Patients with a newly detected indeterminate solid lesion should have follow-up screening at 3 months, if surgery is not imminent (agree 85.7%, grade low, 'do it'). If an indeterminate main pancreatic duct stricture is detected, repeat imaging should be performed within 3 months (agree 95.9%, grade low, 'do it').

Cystic branch-duct lesions (presumed BD-IPMNs) without concerning features indicating malignancy<sup>51</sup> should be re-evaluated at intervals depending on size, similar to accepted international consensus guidelines for sporadic BD-IPMNs.<sup>51</sup> The majority of such BD-IPMNs remained stable during follow-up.<sup>2 6 7 9 34</sup>

Small (<1 cm diameter) lesions identified as solid by EUS are difficult to manage, particularly when not detected by MRI or CT. These lesions can be aspirated but the yield for these lesions is low. Some indeterminate solid lesions identified only by EUS are cancers, but they can be benign lesions, such as non-metastatic pancreatic neuroendocrine tumours<sup>2 4 11</sup> or low-grade PanIN with focal associated lobulocentric parenchymal atrophy.<sup>5</sup> There was no consensus reached on the need for additional tests such as CT, ERCP, FNA, or timing of repeat imaging (although 73.5% suggested 3 months) to evaluate these lesions (Appendix).

Long-term follow-up of PC screening cohorts is lacking, (maximum follow-up period; 10 years,<sup>9 34</sup> mean follow-up time of 4<sup>9</sup> to 4.2 years<sup>2</sup>). Importantly, the group acknowledged that until there are additional studies we will not know if screening HRIs saves lives.

### When should surgery be performed? What type of surgery should be performed?

Screening should only be offered to individuals who are candidates for surgery (agree 75.5%, grade moderate, 'do it'). Pancreatic resections should be performed at high-volume specialty centres (agree 100%, grade moderate, 'do it'). Determining when surgery is required for pancreatic lesions is difficult and is best individualised after multidisciplinary assessment, preferably within research studies.

There is little consensus about which lesions detected by screening require surgery. The few published reports are based on limited numbers of patients.<sup>52 53</sup> Because of the risks of pancreatectomy, prophylactic surgery is not recommended for asymptomatic HRIs without an identifiable lesion. When indicated, pancreatic surgery is best performed at a high-volume specialty centre. Multiple studies have shown volume directly correlates with outcomes.<sup>54 55</sup>

Unambiguous solid lesions ( $\geq 1$  cm, or seen by multiple imaging modalities) are ominous and the threshold for removing them is much lower. There was no consensus as to whether any indeterminate solid lesions detected by EUS should be resected (Appendix).

The majority of cystic lesions detected by screening appear to be low-risk branch-duct IPMNs (table 1). The Sendai international consensus guidelines have been developed and updated<sup>56</sup> to help stratify patients with an IPMN as low risk versus high risk for either developing or currently harbouring a malignancy.<sup>51</sup> In subjects with suspected BD-IPMNs, resection is considered if the patient has symptoms attributable to the cyst(s), if the cysts are >3 cm in size, or if the cysts contain mural nodules. Logic would dictate that if these are the recommendations for subjects without a strong family history of PC, then these thresholds for resection should be either the same or lower in subjects with a strong family history. There was no consensus on the size criterion for resection of suspected BD-IPMNs or other cysts in HRIs but the majority agreed that surgery should be considered for suspected BD-IPMNs which were  $\geq 2$  cm (Appendix). Pathologically confirmed PanIN-3 lesions have been found in the pancreata of individuals who had resections of IPMNs smaller than 1 cm.<sup>5 11</sup> High-grade dysplasia and main-duct involvement have been identified at resection of some individuals who had surgery for one or more small (<3 cm) BD-IPMNs.<sup>4 5 11 34</sup> However, there is insufficient evidence to lower the threshold criteria for surgery for patients with lesions identified during pancreatic screening.

Management of patients with resected lesions was discussed, particularly how the preliminary and final pathology results, including margin status, should influence operative treatment.

Intraoperatively, further pancreatectomy (including total pancreatectomy) should generally be performed to achieve R0 resection of cancer (agree 75.5%, grade low, 'do it'). Intraoperatively, further pancreatectomy (including total pancreatectomy) should not be performed on patients with only unifocal PanIN-2 in the resected specimen, (agree 77.6%, grade low, 'don't do it'). The presence of PanIN-3 at the margin should be dealt with in consideration of the overall medical condition and life expectancy of the patient. The presence of PanIN-2, low-grade IPMN or intermediate-grade IPMN (on either frozen or permanent sections) at the margin or in the resection specimen should not drive further resection.

In patients undergoing surgery for invasive PC, complete resection of the cancer is recommended. If only PanIN is at the margin, it is considered unlikely that resection of additional parenchyma would be beneficial, even if the PanIN is high grade.<sup>57</sup> Importantly, it is difficult to grade PanIN in intraoperative frozen sections.

Postoperatively, further resection of the pancreas to remove PanIN-2 at the margin should be performed in high-risk patients without PC (disagree 79.5%, grade low, 'don't do it'). Postoperatively, further resection of the pancreas should be performed because unifocal PanIN-2 (disagree 81.6%, grade low, 'don't do it') or multifocal PanIN-2 (disagree 77.5%, grade low, 'don't do it') was found anywhere in the resected specimen.

Multiple scenarios for consideration of further pancreatectomy after R0 resection of cancer did not reach consensus agreement, including management of PanIN-3 at the margin (Appendix). PanIN-3 at the resection margin in non-familial patients treated for PC does not significantly affect the post-operative course.<sup>57</sup> However, follow-up imaging was recommended less than 6 months after surgery if there was any PanIN-3 in the resected pancreas of individuals without PC.

#### What are the goals of screening? What outcome(s) would be considered a 'success'?

Screening HRIs can be considered successful if it can be shown that the benefits outweigh the costs of screening. The goal of screening is the reduction of pancreatic cancer-related mortality. Evidence for success is best provided by large randomised controlled trials in which the outcomes of subjects who undergo surveillance are compared with appropriate controls, as has been demonstrated for colonoscopy screening.<sup>58</sup> However, given the relatively low incidence of familial PC, such trials are difficult to undertake. Surrogate end points that define the success of pancreatic screening are therefore needed. Pathological staging is critical and a standard protocol for handling pancreatic resection specimens is recommended (Appendix). The following questions (What are the goals of screening? What outcome(s) would be considered a success?) were designed to define surrogate end points of screening, such as resection of potentially curable lesions (high-grade precursor neoplasms and early invasive carcinomas), as these lesions, if left untreated, can progress to incurable and lethal disease.

One target for early detection and treatment is resectable carcinoma (agree 83.7%, grade high, 'do it'). Detection and treatment of early invasive cancer (T1N0M0) (agree 89.8%, grade high, 'do it') at baseline or follow-up (agree 77.5%, grade moderate, 'do it') should be considered a success. Detection and treatment of any invasive resectable PC at baseline screening should also be

considered a success of the screening programme (agree 89.8%, grade high, 'do it').

Long-term survival can be achieved by resecting small non-metastatic PCs,<sup>59 60</sup> particularly if margins are negative for invasive PC (R0 resection).<sup>57 61</sup> However, most patients who undergo an R0 resection of their pancreatic cancer will die from their disease. Survival is most likely for patients with the smallest cancers (T1N0M0). A critical statistic which screening and surveillance programmes should track is the number of high-risk patients that need to be screened and treated,<sup>62</sup> which considers the likelihood that treatment will prevent the target event of PC at the expense of adverse events. In one prospective screening study of high-risk Leiden *p16* mutation carriers using MRI/MRCP, nine invasive pancreatic PCs were detected and treated in 79 patients followed up for a median of 4 years. The number of patients needed to be screened to detect and treat one PC was 11.<sup>9</sup>

Well-differentiated neuroendocrine tumours (PanNETs) have been detected within familial PC screening programmes.<sup>2 4 11</sup> PanNETs <0.5 cm (microadenomas) are essentially benign lesions. Resection of PanNETs between 0.5 and 1.0 cm is generally curative.<sup>63</sup> There was no consensus as to whether detecting and treating PanNETs should be considered a success of screening (Appendix).

One potential target for early detection is PanIN (agree 81.7%, grade high, 'do it'). Detecting and treating multifocal PanIN-3 should be considered a success (agree 83.7%, grade moderate, 'do it'). Whether to detect and treat unifocal PanIN-3 did not reach consensus (agree 73.5%)

The strength of the evidence linking sporadic PanIN-3 lesions to invasive carcinoma is based on clinical associations and genetic analyses.<sup>64-66</sup> Similarly, strong evidence supports the hypothesis that some of the invasive PCs that arise in patients with a family history of pancreatic cancer arise from PanIN lesions.<sup>67 68</sup> Although PanINs are a well-accepted precursor of sporadic and familial PC, the frequency and rate at which PanINs progress to invasive carcinoma is not known. In a non-familial population, it is estimated that the average adult pancreas has five PanINs and that 0.86% of these progress to invasive cancer.<sup>69</sup> It may take a decade or more for an early precursor cell (a low-grade PanIN) to progress to PC, and initial estimates suggest that the first invasive cancer cell may take several years to extend beyond the pancreas or metastasize. Importantly, the 'window' for clinical detection of an invasive PC is shorter since these lesions are only detected once they reach a certain size.<sup>70</sup> These estimates may not apply to patients with a strong family history of PC, especially those with specific genetic mutations that may increase the rate at which precursor lesions progress.

Another target for early detection and treatment is IPMN (agree 87.7%, evidence high, 'do it'). Detection and treatment of IPMN with high-grade dysplasia should be considered a success of a screening/surveillance programme (agree 95.9%, evidence high, 'do it').

IPMNs, particularly IPMNs with high-grade dysplasia, are associated with a significantly increased risk of invasive PC.<sup>67 68 71 72</sup> The IPMN phenotype has been described in familial PC relatives and gene mutation carriers.<sup>73</sup> The frequency and rate at which IPMNs in HRIs progress to invasive PC are not well known. In patients with apparently sporadic non-invasive IPMNs it may take 3-5 years for a clinically detectable non-invasive lesion to progress to an invasive PC.<sup>74</sup>

Furthermore, in patients with small BD-IPMN(s) followed up over 5 years, only 2.4–6.9% of these lesions progressed to invasive PC.<sup>75 76</sup>

### Important areas where there was lack of consensus: areas for future research

Topics that did not reach consensus voting are listed in the Appendix. Additional evidence is required to more accurately answer important questions, such as who to screen, when to begin screening and the frequency of screening. Some of the important gaps in knowledge pertain to the optimal age at which to begin screening, the role of as yet unidentified PC susceptibility genes as a guide to optimising screening and how to incorporate environmental risk factors such as smoking, diabetes, obesity and other exposures into risk stratification.

The CAPS summit recommended prioritising research into areas where there was lack of consensus: diagnostic evaluation and management of cystic and solid lesions detected by screening and postoperative management. Importantly, the group recommends collaborative multicentre institutional review board-approved studies to collect data on demographics, family history, risk factors, and to bank tissue, juice, aspirated fluid and blood to improve biomarker prediction of the risk of progression to PC in HRIs. Ultimately, research into how to improve screening methods, the outcomes of screening and surveillance for PC and the cost-effectiveness of alternative approaches, is of the highest priority.

### SUMMARY

Screening studies have identified pancreatic neoplasms in asymptomatic patients with strong family histories of PC. However, available evidence supporting screening and surveillance is limited to observational studies. The diagnostic yield from pancreatic screening depends on many factors, including the extent of an individual's family history, the age at which screening begins and the screening modality used. Screening may also lead to the discovery of incidental or indeterminate lesions, resulting in diagnostic confusion and uncertain management. There is a clear need to improve approaches to screening of HRIs. The management of asymptomatic pancreatic lesions detected by imaging tests remains the most challenging aspect of screening and surveillance programmes. Individualised decision-making within multidisciplinary programmes and prospective research studies is essential. The findings of this workgroup should standardise current efforts and serve as a platform for the development of future multidisciplinary research protocols.

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## Correction

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Canto MI, Harinck F, Hruban RH, *et al.* International Cancer of the Pancreas Screening (CAPS) Consortium summit on the management of patients with increased risk for familial pancreatic cancer. *Gut* 2013;**62**:339–347.

The Collaborator Pascal Hamell's name should read Pascal Hammell.

*Gut* 2014;**63**:178. doi:10.1136/gutjnl-2012-303108corr1

## Correction

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Canto MI, Harinck F, Hruban RH, *et al.* International Cancer of the Pancreas Screening (CAPS) Consortium summit on the management of patients with increased risk for familial pancreatic cancer. *Gut* 2013;62:339–47.

The Collaborator Pascal Hammell's name should read Pascal Hammel.



CrossMark

*Gut* 2014;63:1978. doi:10.1136/gutjnl-2012-303108corr2

Appendix – Web Only Publication  
 Statements for the Management of High Risk Individuals  
 Without Consensus Agreement or Disagreement

**Who should be screened?**

% Agree	% Neutral	% Disagree	Statement
25.0	12.5	62.5	Individuals with 2 relatives on the same side of the family (no FDR) with PC should be screened once they reach a certain age.
57.2	22.4	20.4	All p16 carriers should be screened, regardless of family history.
53.0	26.5	20.4	HNPCC carriers with 2 affected relatives, no FDR, should be screened.
43.9	34.7	22.4	HNPCC carriers with 1 affected relative, no FDR, should be screened.
37.5	12.5	37.5	Individuals with 2 blood relatives (no FDR) with PC one <50 years at diagnosis should be screened once they reach a certain age.
			In general, for the defined high-risk groups, screening should begin at age ____, or 10 years younger than earliest PC in the family (except PJS).  40 yr 18.4% 45 yr 28.6% 50 yr 51%
			Peutz-Jegher syndrome patients should have screening beginning at age ____.

			30 yr 36.7% 35 yr 14.3% 40 yr 36.7%
71.5	12.2	16.3	New-onset diabetes in a high risk individual should lead to initiation of screening, regardless of age.
55.1	28.6	16.4	Current smokers should start screening at 5 years earlier than nonsmokers.
			Screening should stop at age ____ for an individual in a surveillance program with no evidence of a lesion. Never 16.3% 85 18.4% 80 36.7% 75 26.5% 70 2.0% <b>Stop at age 75: 81.6%</b>

### How should high risk individuals be screened?

% Agree	% Neutral	% Disagree	Statement
26.5	40.8	32.6	When performing MRI, one should always use secretin.
34.7	32.7	32.7	In the presence of severe chronic pancreatitis, EUS-screening should be discontinued

30.6	8.2	61.3	In case of detection of a cystic lesion, EUS-FNA should always be performed.
			In case of detection of a cystic lesion, EUS-FNA should be performed only when the size is larger than: 5 mm 8.2% 10mm 30.6% 20mm 32.7% 30mm 6.1% EUS-FNA should never be performed. 22.4% <b><i>Do EUS-FNA for cyst <math>\geq</math> 10 mm: 69.4%</i></b>
32.6	18.4	49.0	Whenever a cystic lesion is detected, CT should be performed.
			After a cystic lesion is detected at <u>baseline</u> screening and the morphological characteristics do not meet criteria for surgical resection (Sendai International Consensus Guidelines, Tanaka et al, 2006), an imaging test should be repeated after ___ months. 3 months 16.3%, 6 months 53.1%, 12 months 30.6%, 24 months 0, 36 months 0 <b><i>Repeat imaging at 6-12 months: 83.7%</i></b>
			After a cystic lesion is newly detected at <u>follow-up</u> screening and the morphological characteristics do not meet criteria for surgical resection, an imaging test should be repeated after ___ months. 3 months 30.6%, 6 months 53.1%, 12 months 16.3%, 24 months 0, 36 months 0 <b><i>Follow-up screening at 3-6 months: 83.7%</i></b>
67.4	12.2	20.4	In case of the detection of a solid lesion, EUS-FNA should always be performed.

			<p>In case of the detection of a solid lesion, EUS-FNA should only be performed when the size is larger than:</p> <p>5 mm 53.1%, 10 mm 24.5% 15 mm 0, 20 mm 0</p> <p>EUS-FNA should never be performed 22.4%</p> <p><b><i>Do EUS-FNA for solid lesions (regardless of size): 77.6%</i></b></p>
51.0	14.3	34.7	In case of a MPD-stricture, EUS-FNA should always be performed.
38.8	18.4	42.8	In case of an indeterminate MPD-stricture, without a mass by EUS, EUS-FNA should be performed.
67.3	14.3	18.4	In case of a MPD-stricture, CT should also be performed
44.9	34.7	20.4	In case of a MPD-stricture, ERCP should also be performed

### When should surgery be performed?

% Agree	% Neutral	% Disagree	Statement
59.2	20.4	20.5	Enucleation of pancreatic lesions is not indicated.
61.2	6.1	32.7	Prophylactic resection is performed for a patient with no pancreatic lesion but strong family history or genetic syndrome.
69.4		30.6	Any detectable solid lesion by EUS (and not biopsy proven or highly suspicious to be neuroendocrine, autoimmune, and other known benign conditions) should be resected.
			In making a decision to resect a solid lesion, size should be

			<p>considered. The size should be at least:</p> <p>Any size 34.7%, 5 mm 34.7%, 7 mm 8.2%, 10 mm 22.4% 15 mm 0%</p> <p><b><i>Resect any solid lesion <math>\geq 5</math> mm: 65.3%</i></b></p>
67.4	16.3	16.4	<p>Each of the following criteria are indications for resection of IPMN<sup>1</sup> when detected in a high-risk individual: <u>cyst &gt; 2 cm</u> (different from sporadic); mural nodule in cyst (= to sporadic); symptoms including pancreatitis, jaundice, pain (= to sporadic); main duct diameter &gt; 5 mm (= to sporadic)</p>
			<p>Each of the following are criteria for resection of IPMN<sup>1</sup> when detected in a high-risk individual: cyst size &gt; ___ cm.</p> <p>1 cm 10.2%, 2 cm 36.7%, 3 cm 38.8%, 4 cm 2%, disregard size 12.2%</p> <p><b><i>IPMN size <math>\geq 2</math> cm:77.5%</i></b></p>
<p><i>Intra-operatively</i>, further pancreatectomy (up to a possible total) should be performed in patients with otherwise reasonable life expectancy in the following situations:</p>			
49.0	16.3	34.7	<p>patient with R0 resection of an invasive N0 cancer BUT with the presence of PanIN-3 at margin</p>
24.5	20.4	55.1	<p>patient with R0 resection of an invasive N0 cancer BUT with the presence of PanIN-2 at margin</p>
49.0	20.4	30.6	<p>patient without cancer BUT with <u>PanIN-3</u> at the margin</p>
12.2	22.4	65.3	<p>patient without cancer BUT with <u>PanIN-2</u> at the margin</p>
32.7	22.4	44.9	<p>patient with R0 resection of cancer and <u>multifocal high grade</u></p>



			<u>dysplasia</u> in the resected specimen but NOT at the margin
28.6	18.4	53.0	patient with R0 resection of cancer and <u>unifocal high grade dysplasia</u> in the resected specimen but NOT at the margin
32.6	22.4	44.9	patient with no cancer BUT with <u>multifocal PanIN-3</u> in the resected specimen but NOT at the margin
8.1	24.5	67.4	patient without cancer BUT with <u>multifocal PanIN-2</u> in the resected specimen but NOT at the margin
18.4	26.5	55.1	patient without cancer BUT with the presence of <u>unifocal PanIN-3</u> in the resected specimens, NOT at the margin
<i>Postoperatively</i> , further pancreatectomy (up to a possible total) should be performed in patients with otherwise reasonable life expectancy in the following situations:			
61.2	12.2	26.5	To achieve R0 resection of cancer.
25.0	16.3	38.8	patient who already had R0 resection of an invasive N0 cancer but has PanIN-3 at the margin
4.0	22.4	73.4	patient who already R0 resection of an invasive N0 cancer but has PanIN-2 at the margin
42.9	26.5	30.6	patient without cancer in the resected specimen BUT has PanIN-3 at the margin
22.4	18.4	59.2	patient who had R0 resection of cancer but had <u>multifocal high grade dysplasia</u> in the resected specimen but NOT at the margin
10.2	18.4	71.4	patient who underwent R0 resection of cancer but had <u>unifocal high grade dysplasia</u> in the resected specimen but NOT at the margin

22.4	26.5	51.0	patient who did not have cancer but had <u>multifocal PanIN-3</u> in the resected specimen but NOT at the margin
10.2	20.4	69.4	patient who did not have cancer but had <u>unifocal PanIN-3</u> in the resected specimen but NOT at the margin
0	18.4	81.6	patient who did not have cancer but had <u>unifocal PanIN-2</u> in the resected specimens but NOT at the margin
			Follow-up imaging should be performed __ months after surgery with any PanIN 3 in the resected pancreas.  3 months 32.7%, 6 months 46.9%, 12 months 20.4%, 24 months, 36 months 0

**What are the goals of screening? What outcome(s) would be considered a “success”?**

% Agree	% Neutral	% Disagree	Statement
38.8	28.6	32.7	One of the pathologic lesions that is a potential target for early detection and treatment is extra-pancreatic neoplasm
73.5	12.2	14.3	Detection and treatment of <u>unifocal PanIN-3</u> should be considered a success of a screening program.
59.1	14.3	26.6	Detection and treatment of IPMN with low or intermediate grade dysplasia should be considered a success of a screening program.
61.2	4.1	34.7	Detection and treatment of invasive cancer >T1N0M0 resectable with margins negative on follow-up, should be considered a

			success of a screening program.
			<p>Detection and treatment of <u>pancreatic neuroendocrine tumor (PancNet)</u> should be considered a success of a screening program.</p> <p>Irrespective of size 34.7%, &gt; 5mm 22.4%, &gt; 10mm 36.7%, &gt; 15mm 0, &gt; 20 mm 6.1%</p> <p><b><i>Detection and treatment of any pancreatic neuroendocrine tumor should be considered a success: 65.2%</i></b></p>
16.3	32.7	51	There is evidence-based medicine that supports the contention that precursor lesions in high risk groups PROGRESS FASTER to invasive cancer than do precursor lesions in the general population.
30.6	26.5	42.9	There is evidence-based medicine that supports the contention that precursor lesions in high-risk individuals ARE MORE LIKELY TO PROGRESS to invasive cancer than precursor lesions in the general population.
16.3	32.7	51.0	I suspect that precursor lesions in high risk groups PROGRESS FASTER to invasive cancer than do precursor lesions in the general population.
30.6	26.5	42.9	I suspect that precursor lesions in high risk individuals ARE MORE LIKELY TO PROGRESS to invasive cancer than precursor lesions in the general population.

1. Tanaka M, Chari S, Adsay V, Fernandez-del Castillo C, Falconi M, Shimizu M, Yamaguchi K, Yamao K, Matsuno S. International consensus guidelines for management of intraductal papillary mucinous neoplasms and mucinous cystic neoplasms of the pancreas. *Pancreatology* 2006;6:17-32.

*Standard Pathology Protocols for the Handling of Pancreatic Resections and Their Reporting*

The goals of the pathologic examination of pancreata removed as part of screening studies are to establish the diagnoses and to prepare well-oriented biosamples for future studies. Since the lesions removed are often small, and the pancreas is prone to autodigestion, the preparation of these biosamples in a timely manner is critical. Resected specimens should be examined fresh. Surgical margins and any other clinical frozen sections for intraoperative consultations should obviously take priority. The specimen should be carefully oriented. If invasive cancer is not seen grossly, the pathologist should start at one end and serially bread-loaf the pancreas in 1-2mm slices. Each slice should be examined grossly and any lesions can be photographed. For research purposes, consideration should be given to harvesting tissue for laser capture microdissection. To harvest this tissue, every ~4<sup>th</sup> slice and any gross lesions should then be placed in Optimal Controlled Temperature (OCT) media and sectioned for frozen section. A 5-micron hematoxylin and eosin (H & E) section should be prepared on a regular slide, and then 20 unstained frozen sections (cut at 10 microns) should be prepared on slides, to allow for laser capture microdissection, and immediately placed in deep freezer. These sections then represent well-oriented, well-preserved representative sections of the pancreas and all grossly visible lesions on appropriate slides for laser capture microdissection. If an invasive PC is grossly identified, then after the processing described above, the specimen should be prepared for research studies if appropriate institutional review board (IRB) approval is in place, such as storing the cancer fresh-frozen in a tumor bank and xenografting. One also needs to bank fresh-frozen normal tissue, including spleen, normal pancreas, and/or normal duodenum for research.