

## Gut microbiota as non-invasive diagnostic and prognostic biomarkers for natural killer/T-cell lymphoma

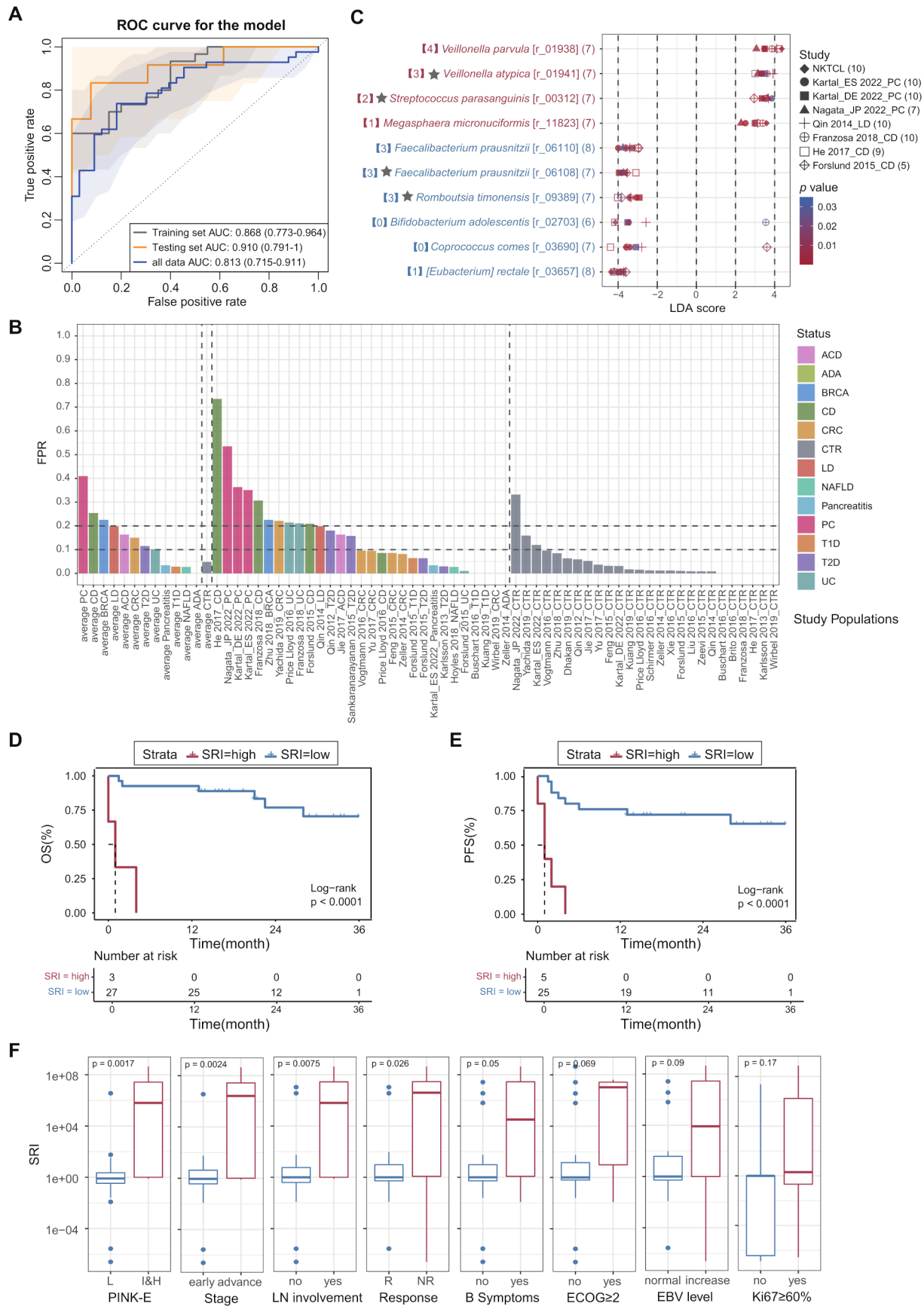
We read with interest the study by Kartal *et al*<sup>1</sup> showing that the gut-microbiota-derived biomarkers for disease stratification are often shared by subjects across disease cohorts. Here, we confirmed their observations with findings from a newly diagnosed natural killer/T-cell lymphoma (NKTCL) cohort, in which the gut biomarkers were significantly overlapped with those of multiple disease cohorts and consistently enriched/depleted in subjects with those diseases. Importantly, many of the shared biomarkers were remarkably associated with patient outcomes in our cohort, implying that they may have broad prognostic values in multiple diseases.

'Microbiota-gut-lymphoma axis' represents a fascinating avenue of microbiota-mediated lymphomagenesis and intervention opportunity,<sup>2</sup> but the implications of gut microbiota in NKTCL remain enigmatic. To identify gut microbiota-derived diagnostic biomarkers for NKTCL, we recruited a discovery cohort consisting of 30 treatment-naïve patients and 20 healthy controls (HCs), and a validation cohort, including 12 patients and 13 HCs, respectively (online supplemental materials and methods). We applied shotgun metagenomic sequencing to their faecal samples, profiled their gut metagenomes using mOTUs2 V.2.5,<sup>3</sup> and trained a patient-stratification classifier with all species-level taxonomic features using the LASSO algorithm implemented in SIAMCAT.<sup>4</sup> Our classifier achieved an accuracy of 0.868 area under the receiver operating characteristic curve (AUROC) on the discovery cohort, and 0.910 AUROC on the validation cohort (figure 1A). To increase the sample size for model training, we retrained a LASSO classifier for the NKTCL using all the samples from both cohorts, and

achieved an accuracy of 0.813 AUROC in cross-validation, which strongly support the role of gut microbiota as diagnostic biomarkers for NKTCL.

To examine the specificity of the NKTCL gut-microbiota-derived signature, we applied the all-sample NKTCL classifier to 29 public gut microbiota cohorts (online supplemental table S1). We observed an overall false positive rate (FPR) of 3.1% in the HCs, but higher FPRs in patients of several cohorts (figure 1B), especially those of the pancreatic cancer (Kartal\_DE\_2022\_PC, Kartal\_ES\_2022\_PC, Nagata\_JP\_2022\_PC), Crohn's Disease (He\_2017\_CD, Franzosa\_2018\_CD, Forslund\_2015\_CD) and liver disease (Qin\_2014\_LD). These results imply significant overlaps in the biomarkers between these diseases and NKTCL, which was confirmed using LEfSe analysis<sup>5</sup> (figure 1C). Importantly, these biomarkers were consistently enriched/depleted in most cohorts, including the enrichment of oral-derived taxa of *Veillonella* and *Streptococcus* in the patients, and known beneficial species in HCs such as *Faecalibacterium prausnitzii*, *Eubacterium rectale* and *Bifidobacterium adolescentis*<sup>1 6 7</sup> (figure 1C). These findings indicate that our classifier can accurately distinguish NKTCL patients from HCs; nevertheless, due to the shared biomarkers with other diseases, combination of selected clinical indicators with microbial biomarkers would be salutary for a distinctive diagnostic model.

Survival data were available for the NKTCL patients in the discovery cohort. Notably, many identified microbiome biomarkers, especially those shared by multiple diseases, could significantly predict the overall survival (OS) and progression-free survival (PFS) of the patients, including *Streptococcus parasanguinis*, *Romboutsia timonensis* and *Veillonella atypica* (online supplemental figure 1A–D). Finally, we created a *Streptococcus parasanguinis*–*Romboutsia timonensis* index (SRI) as the relative abundance ratio of the two species, and obtained the best prognostic prediction power than other individual species and combinations. Namely, NKTCL patients with higher SRI scores showed significantly worse OS and PFS than those with lower SRI scores (figure 1D–E). Furthermore, we observed remarkable correlations between high SRI score and multiple adverse prognostic factors of NKTCL, including PINK-E, stage, lymph node



**Figure 1** (A) Performance of the area under the receiver operating characteristic curve (AUROC) values of the gut microbiota-based classifier of NKTCL on the discovery cohort (threefold three times repeated cross-validation; grey line, the training set), the validation cohort (yellow line, the testing set), and all samples combined (ten-fold ten times repeated cross-validation; the 'all data model', blue line). (B) External validations of the **Figure 1** Continued

disease specificity of the NKTCL faecal microbiota model (the 'all data model'). False positive rates (FPRs) of the unconstrained model (without feature selection) in the 29 external test sets were shown as a bar plot. We defined the false-positive predictions as those wrongly classified as NKTCL by our model. Thus, two FPRs will be calculated for each cohort, one for the healthy controls (ie, the proportion of healthy controls that were wrongly classified as NKTCL), and another for the diseased individuals (ie, the proportion of diseased individuals that were wrongly classified as NKTCL). We then also calculated an overall FPRs for all the healthy controls and each of the diseases. Prediction results from the 'enrichment-constrained' model by selecting NKTCL-enriched biomarkers (enrichment-constrained model) as recommended by Kartal *et al.*,<sup>1</sup> were shown in online supplemental figure 1E. (C) Marker microbes shared by the NKTCL cohort and other seven cohorts that had ~20% and higher FPRs in their diseased subjects in (B); markers were identified using the LDA Effect Size (LEfSe) analysis. Red (blue) species name represents its enriched (depleted) in patients. Wilcoxon rank sum test was used to compare the differences in relative abundances between the patients and HCs of the respective cohorts. Inside the square brackets are the numbers of studies in which the species were also among the top features (robustness >50%) of the corresponding disease-stratification classifiers (online supplemental table S2). The 'Star' symbol in front of a species name indicates that the species are significantly associated with patients' survival in our NKTCL cohort; the details can be found in online supplemental figure 1A–D. Inside the parentheses next to the species name is the number of studies in which the corresponding species were identified as a biomarker, that is, with  $|LDA| \geq 2$ . Inside the parentheses after a study name is the total number of species in this figure that were also biomarkers of the study. (D–E) the overall survival (OS) and progression-free survival (PFS) Kaplan-Meier survival curves for NKTCL patients (n=30). Patients were divided into the SRI-high group and SRI-low group according to scores of the *Streptococcus parasanguinis*–*Romboutsia timonensis* index (SRI), calculated using the quotient of the relative abundances of the two species; the cut-points of SRI 26386550 for OS and 10776890 for PFS, and were determined by the 'survminer' R package V.0.4.9<sup>8</sup> (<https://github.com/kassambara/survminer>). Log-rank test was used to calculate the p values. (F) Correlations between the SRI score and multiple adverse prognostic factors of NKTCL, including prognostic index for natural killer lymphoma-Epstein-Barr virus (PINK-E; L: low risk, I: intermediate risk, H: high risk), disease stage, lymph node (LN) involvement, responses to first-line treatment (R: response, NR: non-response), B symptoms, Eastern Cooperative Oncology Group (ECOG) Performance Status  $\geq 2$ , an increase in plasm Epstein-Barr virus (EBV) DNA level, and Ki67 expression  $\geq 60\%$ . Wilcoxon rank sum test was used to compare continuous variables between groups. (More specific descriptions on these results could be found in online supplemental results). ACD, atherosclerotic coronary disease; ADA, American diabetes; BRCA, breast cancer; CD, Crohn's disease; CRC, colorectal cancer; CTR, controls; DE, German; ES, Spanish; JP, Japan; LD, liver disease; NAFLD, non-alcoholic fatty liver disease; PC, pancreatic cancer; T1D, type 1 diabetes; T2D, type 2 diabetes; UC, ulcerative colitis.

involvement and responses to first-line treatment (all  $p < 0.05$ ; figure 1F).

Overall, our results lend support for gut microbiota as a potent assistive diagnostic tool for NKTCL. Moreover, the SRI score, based on the shared biomarkers, may have extensive prognostic utility in multiple diseases and deserves further scrutiny (online supplemental discussion).

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**Contributors** Study concept and design: MZ, W-HC and ZL. Samples collection: ZS, LZ, XL, XW, LL and XF. Data acquisition: ZS, GH, MWL, ZS, ZL, XZ and LT. Analysis and interpretation of data: W-HC, MZ, ZL, ZS, GH and MWL. Technical and material support: LZ, XL, XW, LL, XF, ZS, ZL, XZ and LT. Drafting of the manuscript: GH and ZS. Revising of the manuscript: W-HC, ZL and MZ. All the authors approved the final version of the manuscript.

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**Ethics approval** This study was performed in accordance with the Declaration of Helsinki and rules of good clinical practice, and the study was approved by the Ethics Review Committee of the First Affiliated Hospital of Zhengzhou University, Zhengzhou, China. Participants gave informed consent to participate in the study before taking part.

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1 **Supplementary material of “Gut microbiota as non-invasive diagnostic and**  
2 **prognostic biomarkers for natural killer/T-cell lymphoma”**

3 **Supplementary Materials and Methods**

4 ***Data availability statement***

5 The metagenomic sequencing data reported in this study is available at the China National  
6 Center for Bioinformation (CNGB) - National Genomics Data Center (NGDC) under BioProject  
7 accession number PRJCA010329. All other data are available in the manuscript including its  
8 supplementary files, or from the corresponding authors upon request.

9 ***Subjects recruitment***

10 During May 2019 to April 2021, a total of 50 subjects, including 30 treatment-naïve patients  
11 with natural killer/T-cell lymphoma (NKTCL) and 20 healthy controls (HCs) were recruited at the  
12 First Affiliated Hospital of Zhengzhou University; the patients and controls were matched for age,  
13 gender and body mass index (Supplementary table S3). They constituted the discovery cohort for  
14 this study. Based on the same criteria, additional 12 treatment-naïve patients with NKTCL and 13  
15 HCs were recruited during October 2021 to February 2022 in the same medical center; they  
16 constituted the validation cohort.

17 All study participants were of Han nationality and lived in the central China, where the  
18 typical diet includes wheat flours, rice, vegetables, meat, and beans, etc. All the individuals  
19 involved in our study were omnivorous.

20 Among the participants, NKTCL patients were identified by pathological diagnosis, and the  
21 HCs included healthy individuals who visited our hospital for their physical examination. All the  
22 enrolled individuals had to meet the following criteria: (1) age 18 years or older; (2) no antibiotics  
23 use within two weeks; (3) without any anti-tumor treatments, including chemotherapy,  
24 radiotherapy, or immunotherapy; (4) no severe gastrointestinal disorders, including ulcerative  
25 colitis, Crohn’s disease, or acute diarrhea; (5) no history of severe, progressive or uncontrolled  
26 cardiac, hepatic, renal, or mental diseases; (6) no history of drug abuse. Furthermore, all the HCs  
27 should meet the following additional criteria, including having the following routine examinations  
28 results within the range of healthy individuals, including the physiological and clinical parameters  
29 of the blood, urine and stools, liver and kidney function, blood sugar, blood lipid, electrolyte,  
30 electrocardiogram and chest X-ray or computed tomography, negative for hepatitis B surface  
31 antigen, hepatitis C virus antibody, treponema pallidum antibody and human immunodeficiency  
32 virus antibody; in addition, they should have no history of malignant tumor and acute or chronic  
33 diseases, including hypertension, diabetes, obesity (BMI  $\geq 30$ ), metabolic syndrome and infectious  
34 diseases.

35 ***Clinical assessment***

36 Relevant clinical data were captured from consulting physicians, electronic medical records  
37 and follow-up systems (Supplementary table S4-5). Survival data for this research was evaluated  
38 by overall survival (OS) and progression-free survival (PFS). Here the OS was defined from the  
39 date of diagnosis until death from any reason. In addition, PFS was defined as the time of  
40 diagnosis until objective disease progression or death from any reason. The stage and risk  
41 stratification of patients with NKTCL were based on the Chinese Southwest Oncology Group and  
42 Asia Lymphoma Study Group ENKTL (CA) system [1] and the prognostic index for natural killer  
43 lymphoma-Epstein-Barr virus (PINK-E) [2], respectively. The 2014 Lugano criteria [3] was used



1 to assess the responses to first-line treatment, and patients were classified as response (R) if they  
2 achieved an objective response (complete or partial response), versus non-response (NR) if they  
3 had stable disease or progressed on therapy.

#### 4 ***Sample collection***

5 Fresh faeces of each study subject were collected in the morning (6:00-9:00 a.m.) using a  
6 fecal collection container (Sarstedt, 80.734.311, Germany) and stored without any additives. All  
7 collected samples were transferred on ice to a -80°C refrigerator (Haier, DW-86L626, China)  
8 within two hours and stored there until the time of DNA extraction.

#### 9 ***DNA extraction***

10 Total faecal DNA was extracted using a MagPure Stool DNA KF kit B (Magen, China)  
11 according to the manufacturer's instructions. The concentration of genomic DNA in each sample  
12 was quantified with a Qubit Fluorometer by using Qubit dsDNA BR Assay kit (Invitrogen, USA)  
13 and the quality was checked by running aliquot on 1% agarose gel.

#### 14 ***Library construction***

15 1µg DNA was randomly fragmented by Covaris E210, and the fragmented DNA was selected  
16 by Magnetic beads (Agencourt, Cat. No. A63882) to an average size of 200-400bp. The selected  
17 fragments were through end-repair, 3' adenylated, adapters-ligation, PCR amplifying and the  
18 products were purified by the Magnetic beads. The double stranded PCR products were heat  
19 denatured and circularized by the splint oligo sequence. The single strand circle DNA were  
20 formatted as the final library and qualified by QC. The qualified libraries were sequenced on  
21 MGISEQ-2000 platform (BGI-Shenzhen, China) for paired-end sequencing in both directions  
22 with a read length of 150 bp (PE-150).

#### 23 ***Metagenome data processing***

24 All the raw metagenomic data were trimmed by SOAPnuke v.1.5.2 [4] to remove adapter  
25 contamination, low-quality bases, N' base, and duplication. Then the trimmed reads were mapped  
26 to the human genome reference sequence (hg19) using Bowtie2 (version 2.3.5.1) [5] with default  
27 parameters, and filtered to get clean data.

28 Taxonomic profiles were obtained using the mOTU profiler v2.5 [6] and filtered to retain  
29 species with a relative abundance of  $\geq 10e-5$  in  $\geq 2\%$  of the samples.

#### 30 ***Modelling and evaluation of a patient stratification classifier to distinguish NKTCL*** 31 ***patients from healthy controls***

32 The modeling and evaluation was performed using the SIAMCAT R package v.1.14.0 [7]; R  
33 version 4.0 was used throughout the study.

34 In order to explore the effect of intestinal microbes on the identification of NKTCL patients,  
35 we first eliminated taxa from the discovery cohort that had low overall abundance and prevalence  
36 (abundance cut-off point: 0.001). Then, features were standardized as centered log-ratio after  
37 being normalized by log10 transformation (to prevent endless numbers from the logarithm, a  
38 pseudo-count of  $1e-05$  was applied to all values). Data were randomly split into test and training  
39 sets in three times repeated 3-fold cross-validation. The remaining folds were utilized as training  
40 data to develop an L1-regularized (LASSO) logistic regression [8] model for each test fold.

41 The trained metagenomic classifiers for NKTCL were then applied to the validation cohort  
42 after applying a data normalization routine, which selected the same set of features and used the

1 same normalization parameters as in the normalization procedure for the discovery cohort.

## 2 ***External validation of NKTCL classifier on 29 public cohorts***

3 To test the specificity of the NKTCL classifier against other disease cohorts, we first  
4 combined the samples from both the discovery and validation cohort in order to increase the  
5 sample size for model training. We then trained two LASSO classifiers for the NKTCL using all  
6 the samples by using the SIAMCAT R package by two methods. The first method is the same as  
7 the above, except that data were randomly split into test and training sets in ten times repeated  
8 10-fold cross-validation. In a second approach as Kartal *et al* [9] putting forward, features were  
9 filtered by first calculating the single-feature AUROC and then removing features with an  
10 AUROC <0.5, thereby selecting features enriched in NKTCL ('enrichment-constrained' model).

11 To assess the disease specificity of the trained models, we applied the above two models to  
12 the 29 gut microbiota studies covering 6,641 samples across twelve diseases used by Kartal *et al*  
13 [9] and Nagata *et al* [10] (Supplementary table S1). Within-cohort data normalization was  
14 performed according to the instructions by SIAMCAT (the same normalization procedure used for  
15 the NKTCL dataset). Then the NKTCL classifiers were applied to these cohorts, which classified  
16 the samples as either "healthy" or "NKTCL". The cut-off threshold for the predictions was set to a  
17 false-positive rate of 10% among controls in the initial NKTCL study population. Subjects were  
18 considered as "false-positives" if they were classified as "NKTCL". Thus, a false-positive rate  
19 could be calculated separately for the control and disease groups for each cohort.

## 20 ***Modelling and evaluation of seven public cohorts***

21 To identify the top features whose relative abundances could be used to distinguish the  
22 diseased subjects from the controls in seven selected public cohorts including pancreatic cancer  
23 (Kartal\_DE\_2022\_PC, Kartal\_ES\_2022\_PC, Nagata\_JP\_2022\_PC), Crohn's Disease  
24 (He\_2017\_CD, Franzosa\_2018\_CD, Forslund\_2015\_CD), and liver disease (Qin\_2014\_LD), we  
25 first built a patient-stratification classifier for each of the cohort, by using the same procedures  
26 mentioned above, except a 10-fold ten times repeated cross-validation method was used. The top  
27 features were defined as those having more than 50% robustness as calculated by the SIAMCAT  
28 tool, i.e., the features that were used by  $\geq 50\%$  of the 100 cross-validation models.

## 29 ***Marker identification***

30 We used the linear discriminant analysis effect size (LEfSe) [11] method to identify the  
31 marker microbes for each cohort between the control and disease groups. The markers in selected  
32 diseases were then compared with those of our NKTCL cohort. The Wilcoxon rank sum test was  
33 used to examine whether the relative abundances of the markers were significantly different  
34 between the diseased and HC groups within each cohort.

## 35 ***Survival analysis***

36 The survival analysis was performed using the "survminer" R package v.0.4.9 [12], which  
37 determined the optimal cut-points to divide the patients into two groups, and evaluated the  
38 associations between the marker abundances and patients' survival outcomes. The Kaplan-Meier  
39 plot, statistical results and "number at risk" table were also visualized using the "survminer" R  
40 package.

## 41 ***Statistical analysis***

42 All statistical analyses, and the analyses involving R packages, were performed in the version  
43 4 of R.

## 1 **Supplementary Results**

### 2 ***Metagenome-based classifiers distinguished NKTCL patients from controls with high*** 3 ***accuracy***

4 We constructed three times repeated 3-fold cross-validation LASSO logistic regression  
5 models on the discovery cohort, achieving an AUROC of 0.868 (95% CI: 0.773-0.964; figure 1A).  
6 The models validated very well on the validation cohort, achieving an AUROC of 0.910 (95% CI:  
7 0.791-1; figure 1A). To increase the sample size for model training, we combined the samples  
8 from both the discovery and validation cohorts, built a LASSO logistic regression model, and  
9 validated it using ten times repeated 10-fold cross-validation. We achieved an accuracy of 0.813  
10 (95% CI: 0.715-0.911; figure 1A) AUROC for the “all-samples” model, which strongly support  
11 the role of gut microbiota as diagnostic biomarkers for NKTCL. The top contributing species to  
12 the model are shown in Supplementary figure 1F.

### 13 ***Performance of NKTCL classifier on external cohorts of other diseases***

14 We next tested the specific of our NKTCL classifier on 29 metagenomic datasets (cohorts) of  
15 other diseases, including pancreatic cancer, type 1 and type 2 diabetes, colorectal cancer, breast  
16 cancer, liver diseases, non-alcoholic fatty liver disease, Crohn’s disease and ulcerative colitis  
17 (Supplemental table S1). All the datasets contained also healthy controls. In total, these cohorts  
18 contained a total of 6,641 samples. Among the cohorts, 28 were used by Kartal *et al* [9]. We added  
19 an additional cohort Nagata *et al* [10], which also focused on the pancreatic cancer, similar to the  
20 study by Kartal *et al* [9].

21 By setting a 90% specificity (allowing for 10% false positive predictions) cut-off to our  
22 model, we applied it to the samples of the 29 datasets. We defined the false-positive predictions as  
23 those wrongly classified as NKTCL by our model. Thus, two false-positive rates (FPRs) will be  
24 calculated for each cohort, one for the healthy controls (i.e., the proportion of healthy controls that  
25 were wrongly classified as NKTCL), and another for the diseased individuals (i.e., the proportion  
26 of diseased individuals that were wrongly classified as NKTCL); please consult the  
27 Supplementary table S6 in which we used the CD as an example to demonstrate how the FPRs  
28 were calculated. We then also calculated an overall FPRs for all the healthy controls and each of  
29 the diseases. As shown in figure 1B, we observed an overall FPR of 3.1% in the healthy controls,  
30 suggesting the high specificity of our model against the HCs. However, we observed higher FPRs  
31 in patients of several cohorts, especially those of the pancreatic cancer (Kartal\_DE\_2022\_PC,  
32 Kartal\_ES\_2022\_PC, Nagata\_JP\_2022\_PC), Crohn’s Disease (He\_2017\_CD, Franzosa\_2018\_CD,  
33 Forslund\_2015\_CD), and liver disease (Qin\_2014\_LD).

### 34 ***Performance of “enrichment-constraint” NKTCL classifier on external cohorts***

35 Kartal *et al* also observed high FPRs of their pancreatic cancer (PDAC) classifier on the  
36 external cohorts. They resolved this issue by developing a “enrichment-constraint” model, i.e., by  
37 selecting features that are significantly enriched in the PDAC patients. Their resulting  
38 “enrichment-constraint” model had low FPRs in both the healthy controls and diseases in the 25  
39 external cohorts (see Figure 3 in ref.[9]). To test if their strategy could also work on our dataset,  
40 we retrained a classifier using the discovery cohort and the NKTCL-enriched species as the  
41 features. We did intra-cohort validation it using three times repeated 3-fold cross-validation and  
42 obtained an accuracy 0.812 AUROC (95% CI: 0.689-0.935; Supplementary figure 1G); this model  
43 achieved similarly on the validation cohort with a 0.769 AUROC (95% CI: 0.580-0.958;  
44 Supplementary figure 1G). We thus also trained a “all sample” model on combined samples of  
45 both cohorts using NKTCL-enriched features. This final “enrichment-constrained” model



1 performed quite well in ten times repeated 10-fold cross-validation with an accuracy of 0.864  
2 AUROC (95% CI: 0.776-0.951; Supplementary figure 1G).

3 We then applied this model to the 29 external cohorts. We observed significantly increased  
4 FPRs in both the healthy controls and diseased individuals, suggesting that the  
5 “enrichment-constraint” method did not work on our dataset. Our results implied that the NKTCL  
6 might be better characterized by both the loss of healthy bacteria and the enrichment of pathogenic  
7 bacteria, and both types of bacteria are required to build disease-specific machine learning  
8 classifiers.

### 9 **Marker microbes shared by NKTCL and other diseases**

10 We noticed significant overlap of the microbial biomarkers between the NKTCL cohort and  
11 the other disease cohorts, especially those having high FPRs with our classifier (figure 1C). We  
12 thus systematically investigated the overlapping of the marker microbes among these cohorts. We  
13 observed significant overlap of the markers among these cohorts. Most importantly, these shared  
14 biomarkers were consistently enriched/depleted in most cohorts, including the enrichment of  
15 oral-derived taxa of *Veillonella* and *Streptococcus* in the patients, and known beneficial species in  
16 HCs such as *Faecalibacterium prausnitzii*, *Eubacterium rectale* and *Bifidobacterium adolescentis*  
17 (figure 1C). Also, a few biomarkers were also among the top features of the machine-learning  
18 classifiers of their respective cohorts, i.e., they were used by  $\geq 50\%$  of the 100 cross-validation  
19 models during intra-cohort validation; for example, *Veillonella parvula* is the top feature of  
20 multiple models on various cohorts (NKTCL, Kartal\_DE 2022\_PC, Qin 2014\_LD, Franzosa  
21 2018\_CD; Supplementary table S2). These findings indicate that due to the shared biomarkers  
22 with other diseases, combination of selected clinical indicators with microbial biomarkers would  
23 be salutary for a distinctive diagnostic model.

### 24 ***A. S. parasanguinis/R. timonensis* abundance ratio (SRI score) is of predictive value to 25 NKTCL patient survival, and is based on shared biomarkers**

26 With the availability of the survival data of 30 NKTCL patients in the discovery cohort, we  
27 evaluated the prognostic value of the species to patient survival. We identified a total of four  
28 species that could significantly predict the overall survival (OS) and/or progression free survival  
29 (PFS) of the NKTCL patients, including *Streptococcus parasanguinis*, *Romboutsia timonensis*,  
30 *Veillonella atypica*, and *Faecalibacterium prausnitzii* (Supplementary figure 1A-D). We also  
31 evaluated the combinations of the four species and created an *S parasanguinis-R.timonensis* index  
32 (SRI) as the relative abundance ratios of the two species that had the best performance (figure  
33 1D-E and Supplementary table S7). According to the SRI score, patients were divided into two  
34 groups, namely SRI-high and SRI-low at the SRI cut-points of 26,386,550 and 10,776,890 for the  
35 OS and PFS, respectively. We observed that the NKTCL patients with higher SRI scores had  
36 significantly inferior OS and PFS ( $p < 0.001$  for both the OS and PFS; figure 1D-E). In addition,  
37 we observed a significant correlation between high SRI score and multiple adverse prognostic  
38 factors of NKTCL, including PINK-E, stage, lymph node involvement, and responses to first-line  
39 treatment (all  $p < 0.05$ ; figure 1F). Since all the four species are also biomarkers of many diseases  
40 (figure 1C), and the SRI index is based on them, we speculate that they can have broad prognostic  
41 values in other diseases. In fact, *F. prausnitzii* has been shown to be significantly associated with  
42 the patient survival in the PDAC Japan cohort (see Figure 5 in ref.[10]), further supporting our  
43 speculation.

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## 1 Supplementary Discussion

2 Overall, our results suggest that the gut microbiota could be both of diagnostic and  
3 prognostic values for the natural killer/T-cell lymphoma (NKTCL).

4 Strikingly, there were significant overlaps in the disease biomarkers (i.e., microbial species  
5 that show significant differential abundances between the diseased individuals and the  
6 non-diseased controls) among the NKTCL and several diseases, including pancreatic cancer  
7 (PDAC or PC), liver disease (LD) and Crohn's disease (CD). Although it is not clear for the exact  
8 mechanism underlying the cross-disease overlaps, we speculate that the "shared" biomarkers are  
9 likely associated with common pathogenic changes of the local gut environments, including  
10 inflammation and/or gut epithelial barrier dysfunction, which render the intestinal mucosa more  
11 conducive to the same microbial taxa and further account for some overlapped alterations of gut  
12 microbiota in different diseases. Furthermore, the NKTCL might be better characterized by both  
13 the loss of healthy bacteria and the enrichment of pathogenic bacteria, suggesting both types of  
14 bacteria are required to build disease-specific machine learning classifiers, which might be the  
15 reason for a higher FPRs in the "enrichment-constrained" diagnostic model than the all-feature  
16 model.

17 In fact, some of the microbial biomarkers also show consistent depletion/enrichment  
18 behaviors in multiple other diseases, as shown in our GMrepo v2 database [13] (data repository  
19 for human gut microbiota); see the list below for details. Thus, they are less likely caused by diet,  
20 life style and living environment, which are often cohort-specific.

- 21 • *Veillonella parvula*: <https://gmrepo.humangut.info/taxon/29466>
- 22 • *Veillonella atypica*: <https://gmrepo.humangut.info/taxon/39777>
- 23 • *Streptococcus parasanguinis*: <https://gmrepo.humangut.info/taxon/1318>
- 24 • *Megasphaera micronuciformis*: <https://gmrepo.humangut.info/taxon/187326>
- 25 • *Faecalibacterium prausnitzii*: <https://gmrepo.humangut.info/taxon/853>
- 26 • *Bifidobacterium adolescentis*: <https://gmrepo.humangut.info/taxon/1680>
- 27 • *Coprococcus comes*: <https://gmrepo.humangut.info/taxon/410072>

28 Most recently, Priya *et al.* [14] identified a similar set of gut microbes that were shared in  
29 patients with colorectal cancer, inflammatory bowel disease and irritable bowel syndrome,  
30 including *Peptostreptococcaceae*, *Streptococcus* and *Staphylococcus*. They showed that these  
31 biomarkers could impact disease-specific pathophysiological processes through regulation of  
32 different host genes. Therefore, studies integrating gut microbiome and host genomics data are  
33 urgently needed to unravel the relationships between the "shared" microbial perturbations and the  
34 pathogenesis of diverse diseases.

35 Despite the above results, we feel that our study had several limitations, such as the limited  
36 sample sizes, the single-center recruitment strategy, and relatively short observational time for  
37 patient survival status. These may affect the generalization ability of our results. Thus,  
38 multi-center studies with longitudinal repeated sampling are needed to further validate our  
39 findings. In addition, multi-omics survey of the patient samples and mechanism researches with  
40 the help of model animals are also needed to provide mechanistical insights underlying the gut  
41 microbiome-disease associations.

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## 1 **Supplementary Figure Legends**

2 **Supplementary figure 1** (A-D) Associations between selected biomarker species and patient  
3 survival outcomes in terms of the overall survival (OS) and progression-free survival (PFS) for  
4 NKTCL patients (n = 30). In each analysis, patients were divided into two groups according to the  
5 relative abundances; the cut-points were determined automatically by the “survminer” R package  
6 v.0.4.9 [12] (<https://github.com/kassambara/survminer>). The cut-points are: *Streptococcus*  
7 *parasanguinis* [r\_00312], 0.001437739 for OS and 0.001077689 for PFS; *Romboutsia timonensis*  
8 [r\_09389], 7.91e-05 for OS and 1e-10 for PFS; *Veillonella atypica* [r\_01941] 0.007384512 for  
9 both OS and PFS; and *Faecalibacterium prausnitzii* [r\_06108], 0.003101582 both for OS and PFS.  
10 (E) External validation results of the disease specificity of the NKTCL “all data” model. False  
11 positive rates (FPRs) of the “enrichment-constrained” model by selecting NKTCL-enriched  
12 biomarkers (enrichment-constrained model) using methods recommended by Kartal *et al* [9]. ACD,  
13 atherosclerotic coronary disease; ADA, American diabetes; BRCA, breast cancer; CD, Crohn’s  
14 disease; CRC, colorectal cancer; CTR, controls; LD, liver disease; NAFLD, non-alcoholic fatty  
15 liver disease; PC, pancreatic cancer; T1D, type 1 diabetes; T2D, type 2 diabetes; UC, ulcerative  
16 colitis; JP, Japan; ES, Spanish; DE, German. (F) The heatmap shows the normalized abundance of  
17 11 selected species in the faecal microbiome of the samples. The left panel represents the  
18 contribution of each selected feature to the unconstrained model (without feature selection) using  
19 all data, and the robustness (the percentage of models in which the feature is included as predictor)  
20 of each feature is expressed as a percentage. (G) Performance as the area under the receiver  
21 operating characteristic curve (AUROC) values of “enrichment-constrained” diagnostic model on  
22 the discovery cohort (three-fold three times repeated cross-validation; grey line, the training set),  
23 the validation cohort (yellow line, the testing set), and all samples combined (ten-fold ten times  
24 repeated cross-validation; blue line, all data).

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## 1 **Supplementary Tables**

- 2 **Supplementary table S1.** External validation cohorts used in this study. The cohort lists,  
3 corresponding meta-data, and processed microbial profile data were all obtained from the study by  
4 Kartal *et al* [9] and Nagata *et al* [10].
- 5 **Supplementary table S2.** Overlaps between the biomarker species shown in Figure 1C and the  
6 top features of the disease-stratification classifiers for selected cohorts. Here the top features of  
7 each cohort are those having more than 50% robustness in the corresponding disease-stratification  
8 classifier, determined by the SIAMCAT tool (see Materials and Methods for more details).
- 9 **Supplementary table S3.** Participant characteristics at the time of faeces sampling.
- 10 **Supplementary table S4.** Summarized clinical features of patients with natural killer/T-cell  
11 lymphoma.
- 12 **Supplementary table S5.** The sequencing depth and meta-information of the samples we  
13 collected, including basic information such as age, gender and some clinical features of patients  
14 with natural killer/T-cell lymphoma.
- 15 **Supplementary table S6.** CD as an example to demonstrate how the FPRs were calculated. FPR  
16 is the number of wrongly classified patients/healthy controls divided by the number of  
17 patients/healthy controls.
- 18 **Supplementary table S7.** Evaluations of the prognostic value of the shared species to the survival  
19 of NKTCL patients.

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# Supplementary figure 1

