



# Engineered anti-PDL1 with IFN $\alpha$ targets both immunoinhibitory and activating signals in the liver to break HBV immune tolerance

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## ABSTRACT

**Objective** The purpose of this study is to develop an anti-PDL1-based interferon (IFN) fusion protein to overcome the chronic hepatitis B virus (HBV)-induced immune tolerance, and combine this immunotherapy with a HBV vaccine to achieve the functional cure of chronic hepatitis B (CHB) infection.

**Design** We designed an anti-PDL1-IFN $\alpha$  heterodimeric fusion protein, in which one arm was derived from anti-PDL1 antibody and the other arm was IFN $\alpha$ , to allow targeted delivery of IFN $\alpha$  into the liver by anti-PDL1 antibody. The effect of the anti-PDL1-IFN $\alpha$  heterodimer on overcoming hepatitis B surface antigen (HBsAg) vaccine resistance was evaluated in chronic HBV carrier mice.

**Results** The anti-PDL1-IFN $\alpha$  heterodimer preferentially targeted the liver and resulted in viral suppression, the PD1/PDL1 immune checkpoint blockade and dendritic cell activation/antigen presentation to activate HBsAg-specific T cells, thus breaking immune tolerance in chronic HBV carrier mice. When an HBsAg vaccine was administered soon after anti-PDL1-IFN $\alpha$  heterodimer treatment, we observed strong anti-HBsAg antibody and HBsAg-specific T cell responses for efficient HBsAg clearance in chronic HBV carrier mice that received the combination treatment but not in those that received either single treatment.

**Conclusions** Targeting the liver with an engineered anti-PDL1-IFN $\alpha$  heterodimer can break HBV-induced immune tolerance to an HBsAg vaccine, offering a promising translatable therapeutic strategy for the functional cure of CHB.

## INTRODUCTION

Although effective prophylactic hepatitis B virus (HBV) vaccines have been available since the 1990s, an estimated 257 million people worldwide are living with chronic HBV infection, which poses a high risk for developing fibrosis, cirrhosis and hepatocellular carcinoma (HCC).<sup>1,2</sup> The functional cure of chronic hepatitis B (CHB), which is characterised by the loss of hepatitis B surface antigen (HBsAg) and HBV-DNA in the serum with seroconversion to anti-HBsAg, is a challenging endpoint for current CHB treatments to meet.<sup>3–5</sup> HBsAg seroclearance after anti-HBV therapy is associated with a significantly lower HCC incidence and mortality rate.<sup>6</sup> Type I interferons (IFNs), one type of approved

## WHAT IS ALREADY KNOWN ON THIS TOPIC

- ⇒ High hepatitis B virus (HBV) antigen load and high level of programmed death ligand 1 (PDL1) in the liver lead to immune tolerance to HBV.
- ⇒ Type I interferon (IFN) is the most potent antiviral cytokine and can greatly enhance cross-presentation and T cell activation by dendritic cells (DCs). However, frequently repeated administration of IFN can cause systemic toxicity and induce PDL1 expression, which dampens the T cell response.
- ⇒ Without gaining protective immunity necessary to eradicate HBV, chronic hepatitis B (CHB) relapse is common after completion of antiviral therapy.

## WHAT THIS STUDY ADDS

- ⇒ Anti-PDL1-IFN $\alpha$  heterodimer fusion protein, in which the affinity of anti-PDL1 to PDL1 is higher than IFN $\alpha$  to its receptor for better targeting IFN $\alpha$  into liver tissues.
- ⇒ Anti-PDL1-IFN $\alpha$  heterodimer can block immune checkpoint PDL1, target IFN $\alpha$  to the liver to suppress HBV replication and improve DC functions to break HBV immune tolerance.
- ⇒ Anti-PDL1-IFN $\alpha$  therapy combined with hepatitis B surface antigen (HBsAg) vaccination induced a strong and persistent anti-HBsAg immune response for CHB functional cure.

## HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

- ⇒ Anti-PDL1-IFN $\alpha$  combined with HBsAg vaccination can be a promising translatable therapeutic strategy for clinical CHB therapy and improve the clinical functional cure rate.

drug, cannot effectively reduce the viral antigen load and hardly maintain sustained viral control after treatment withdrawal.<sup>7,8</sup> However, long-term repeated high-dose IFN treatment can cause systemic toxicity.<sup>3</sup> In addition, IFNs can upregulate the expression of the immunosuppressive molecule programmed death ligand 1 (PDL1), which in turn suppresses the antiviral immune response and gradually reduces the therapeutic effect.<sup>9,10</sup> The issue of how to overcome the systemic toxicity and

subsequent immunosuppression induced by IFNs remains to be solved.

The liver is an immunotolerant organ, especially in CHB patients. PDL1 is significantly upregulated in the liver of CHB patients, skewing the immune response towards the induction of tolerance in circulating naïve T cells and attenuating the effector functions of liver-infiltrating cytotoxic T lymphocytes (CTLs).<sup>11 12</sup> Conditional knockout of PDL1 in dendritic cells (DCs) can release these inhibitions, leading to better T cell activation.<sup>13</sup> To date, programmed death 1 (PD1)/PDL1 blockade therapy has been shown to induce an antitumour response in a small portion of patients with cancers.<sup>14 15</sup> In addition, several studies have reported that PD1/PDL1 blockade can partially restore HBV-specific T or B cell function in vitro.<sup>16 17</sup> However, the functional cure rate is fairly low in CHB patients receiving a PD1 inhibitor (nivolumab).<sup>18</sup> PD1/PDL1 inhibitors may enhance the ability of CTLs to kill infected hepatocytes, which can release many viruses to infect healthy hepatocytes. Killing infected cells while blocking viral reinfection is a challenge. High levels of viral antigens in the circulation, which impair DC and natural killer and HBV-specific T cell and B cell functions, abolish the host immunity needed to eradicate HBV.<sup>16 19–21</sup> T cells encounter HBV antigens poorly presented by DCs in the liver, which can lead to immune tolerance rather than functional activation.<sup>22</sup> We proposed that concurrent suppression of the viral load and enhancement of antigen presentation may be required to break tolerance for the induction of anti-HBV immunity.

We took advantage of the high expression of PDL1 in the liver of CHB patients and chronic HBV carrier mice and targeted IFN $\alpha$  with an anti-PDL1 antibody (anti-PDL1-IFN $\alpha$ ) to simultaneously target PDL1 and type I IFN receptor (IFNAR) in the liver and thereby overcome local PDL1-mediated resistance and viral production. Importantly, the anti-PDL1-IFN $\alpha$  multifunctional fusion protein could overcome HBsAg vaccine resistance in chronic HBV carrier mice, produce strong anti-HBsAg neutralising antibody (NAb) and HBsAg-specific T cell responses, and achieve a functional cure of CHB in mice.

## MATERIALS AND METHODS

### Human samples

In this study, patient clinical information is listed in online supplemental table 1. Liver tissue samples were collected from healthy controls (HCs; n=6), non-HBV liver disease patients (n=3) and CHB patients (n=7) and stored by the Fifth Medical Center of Chinese PLA General Hospital (Beijing, China). Consent for collection of liver tissue samples was given by each patient in writing and authorised by the hospital ethics review committee.

### Mice and an HBV carrier mouse model

► C57BL/6J mice (4–6 weeks old) were purchased from SiPeiFu (SPF) (Beijing) Biotechnology (Beijing, China). Rag1-deficient (Rag1<sup>-/-</sup>) mice, which do not produce mature T cells and B cells, were purchased from the Model Animal Research Center of Nanjing University (Nanjing, China). IFNAR1<sup>-/-</sup> mice were kindly provided by Professor Haidong Tang (Tsinghua University, Beijing, China). Mice were maintained under specific pathogen-free conditions in an ABSL-2 animal facility, and animal experiments followed protocol Nos. DWSWAQ (ABSL-2) 2012205 and ABSL-2–201902 at the Institute of Biophysics, Chinese Academy of Sciences (Beijing, China) and were approved by the Biomedical Research Ethics Committee of the Institute of Biophysics of the Chinese Academy of Sciences.

The adeno-associated virus (AAV)-HBV1.3 virus was purchased from PackGene Biotech (Guangzhou, China). This recombinant virus carries 1.3 copies of the HBV genome (genotype D, serotype ayw) and is packaged in AAV serotype 8 capsids. Generation of the AAV/HBV carrier mouse model used in this study has been described previously.<sup>23–26</sup> Briefly, C57BL/6J mice were injected with the AAV-HBV1.3 virus through the tail vein ( $1 \times 10^{11}$  viral genome copies per mouse). After 5 weeks or more, stable HBV carrier mice (serum HBsAg >1000 IU/mL) were used. Blood was collected from the retro-orbital sinuses at the indicated time points in the indicated experiments to monitor the levels of HBsAg, hepatitis B e antigen (HBeAg), ayw subtype-specific anti-HBsAg antibodies and HBV-DNA in the serum.

### Fusion protein treatments and immunisation

To test the therapeutic effects of fusion proteins in vivo, HBV carrier mice were treated intravenously with 0.1687 nmol anti-PDL1-IFN $\alpha$  heterodimer two times with a 3-day interval. Other fusion proteins (anti-PDL1-IFN $\alpha$  homodimer, IFN $\alpha$ -Fc and anti-PDL1) were used to treat HBV carrier mice in the same manner with equimolar doses of IFN $\alpha$  and anti-PDL1 (as determined by the single subunit). Free IFN $\alpha$  (mouse IFN $\alpha$ 4, His Tag) was purchased from Sino Biological (Beijing, China). A recombinant HBsAg (subtype ayw) protein with the same encoding sequence as that in AAV-HBV1.3 was purchased from Meridian Life Science Inc. (Memphis, USA) for vaccination and ex vivo stimulation assays. CpG-1826 (TCCATGACGTTCCCTGACGTT), an adjuvant, was synthesised by Shanghai Generay Biotech (Shanghai, China). Two micrograms of recombinant HBsAg (subtype ayw)/30  $\mu$ g CpG-1826 was used for subcutaneous immunisation at the indicated time points. Anti-CD4 (clone GK1.5) and anti-CD8 (clone TIB210) depleting antibodies were produced in-house. For CD4<sup>+</sup> and CD8<sup>+</sup> T cell depletion, HBV carrier mice were injected intraperitoneally with 200  $\mu$ g anti-CD4 (GK1.5) and 200  $\mu$ g anti-CD8 (TIB210) twice per week beginning 1 day before anti-PDL1-IFN $\alpha$  heterodimer treatment.

### Statistical analysis

Data were analysed using an unpaired two-tailed Student's t-test with GraphPad Prism statistical software. Data are shown as the mean  $\pm$  SEM or mean  $\pm$  SEM. Statistically significant differences of  $p < 0.05$ ,  $p < 0.01$ ,  $p < 0.001$  and  $p < 0.0001$  are noted as \*, \*\*, \*\*\* and \*\*\*\*, respectively.

More details of the production of anti-PDL1-IFN $\alpha$  fusion proteins, detection of HBV markers, immunohistochemistry, flow cytometry analysis and enzyme-linked immunospot assay (Elispot) are provided in online supplemental materials and methods section.

## RESULTS

### Expression of PDL1 is significantly upregulated in the liver of CHB patients and HBV carrier mice

It has been reported that PDL1 can be further upregulated in hepatocytes by HBV infection.<sup>10</sup> Initially, we analysed PDL1 expression in the liver of CHB patients, non-HBV liver disease patients and healthy controls by immunohistochemistry. Consistent with previous studies,<sup>11 27</sup> CHB patients had higher PDL1 expression levels within the liver than non-HBV liver disease patients and healthy controls (online supplemental figure 1A,B). Then, mouse liver cells were collected to evaluate PDL1 expression using flow cytometry. Compared with naïve mice, HBV-infected mice had significantly increased PDL1 expression

on both CD45<sup>-</sup> cells and CD45<sup>+</sup> cells within the liver (online supplemental figure 1C,D). Taken together, these results indicate that humans and mice with CHB upregulate PDL1 expression in the liver.

We next examined PDL1 expression levels in various tissues of HBV carrier mice. We observed that PDL1 levels on both CD45<sup>-</sup> cells and CD45<sup>+</sup> cells within the liver were higher than those on corresponding cells within other tissues of HBV carrier mice (figure 1A). To further identify which cell type(s) expresses elevated levels of PDL1, we profiled PDL1 expression levels on different cell types in the liver by flow cytometry. PDL1 expression on DCs was higher than that on other cells in the liver of HBV carrier mice and was significantly higher than that on hepatic DCs from naïve mice (figure 1B, online supplemental figure 1E). These data suggest that HBV infection leads to PDL1 upregulation on DCs within the liver, potentially causing immune tolerance, which could be one of the mechanisms involved in chronic HBV infection. However, anti-PDL1/PDL1 treatment as an adjuvant therapy for CHB was not successful, suggesting that blocking PD1/PDL1 signalling alone is not sufficient for reducing the immune tolerance in CHB.<sup>18 28 29</sup>

### Anti-PDL1-IFN $\alpha$ heterodimer exerts superior anti-HBV effects through liver targeting

Type I IFNs bind to IFNAR on DCs to increase cross-presentation and directly inhibit HBV replication in infected hepatocytes.<sup>30–32</sup> To overcome PDL1-mediated immune suppression and take advantage of the high PDL1 level in the liver, we generated fusion proteins containing the antigen-binding fragment (Fab) of an anti-PDL1 antibody and IFN $\alpha$  (anti-PDL1-IFN $\alpha$ ) in either a homodimeric or heterodimeric format (figure 1C), these proteins could bind to PDL1 and block the PDL1 inhibitory pathway while agonising IFNAR signalling to activate DCs. In addition, control IFN $\alpha$ -Fc and anti-PDL1 proteins were constructed (online supplemental figure 2A). Gel electrophoresis confirmed that the purified fusion proteins were the correct sizes (online supplemental figure 2B,C).

An antiviral biological assay *in vitro* showed that both homodimeric anti-PDL1-IFN $\alpha$  and heterodimeric anti-PDL1-IFN $\alpha$  effectively inhibited infection and replication by vesicular stomatitis virus expressing green fluorescent protein (VSV-GFP) in PDL1-knockout L929 cells (online supplemental figure 2D). In addition, the results showed that both the homodimer and the heterodimer bound to PDL1 with an affinity similar to that of anti-PDL1 (online supplemental figure 2E, left panel). More importantly, the affinity of anti-PDL1-IFN $\alpha$  for PDL1 was higher than that for IFNAR1 or IFNAR2 for both the homodimer and the heterodimer (online supplemental figure 2E), indicating that anti-PDL1-IFN $\alpha$  may preferentially bind to PDL1<sup>high</sup> cells over IFNAR<sup>+</sup> cells in the liver. Together, these data suggest that both the homodimeric and heterodimeric fusion proteins can bind to PDL1 while maintaining IFN $\alpha$  bioactivity.

Next, we demonstrated that the anti-PDL1-IFN $\alpha$  heterodimer had dose-dependent anti-HBV activity *in vivo*. Importantly, the results implied that 0.1687 nmol had limited toxicity but exerted strong anti-HBV effects. Neither ALT nor AST was elevated after heterodimer treatment (online supplemental figure 3A,B). Therefore, these data together indicate that the optimal dose of the heterodimer is 0.1687 nmol *in vivo*, and this dose was used for the remaining experiments in the current study. Subsequently, HBV carrier mice were injected intravenously with fusion proteins on days 1 and 4 (figure 1D). We found that the heterodimer reduced HBsAg and HBeAg levels

more significantly than anti-PDL1, IFN $\alpha$ -Fc or the homodimer (figure 1E,F). In addition, the heterodimer significantly reduced HBsAg levels compared with free IFN $\alpha$  (online supplemental figure 3C). The inhibitory effect of the heterodimer on the serum HBV-DNA load was more persistent (figure 1G). Importantly, the heterodimer also significantly reduced the HBsAg and HBeAg levels in the liver (figure 1H). We wondered whether these differences were due to the different liver-targeting abilities of the fusion proteins. Indeed, the concentration in liver tissues was much higher for the heterodimer than for the homodimer or IFN $\alpha$ -Fc (online supplemental figure 3D). Additionally, the serum concentration of the heterodimer was significantly higher within the first few hours after injection (online supplemental figure 3E). Impressively, the heterodimer was approximately 200-fold more potent in inhibiting VSV-GFP infection in L929 cells than in cells not expressing the PDL1 target (PDL1<sup>-/-</sup> L929 cells), whereas both cell lines showed similar responsiveness to IFN $\alpha$ -Fc (online supplemental figure 4A,B). This result corroborated that the IFN $\alpha$  activity of the heterodimer had the potential to be enhanced through delivery to target cells. Together, these data support the superior anti-HBV effects of the heterodimer *in vivo* and indicate that the heterodimeric construct is an excellent candidate for the treatment of HBV infection.

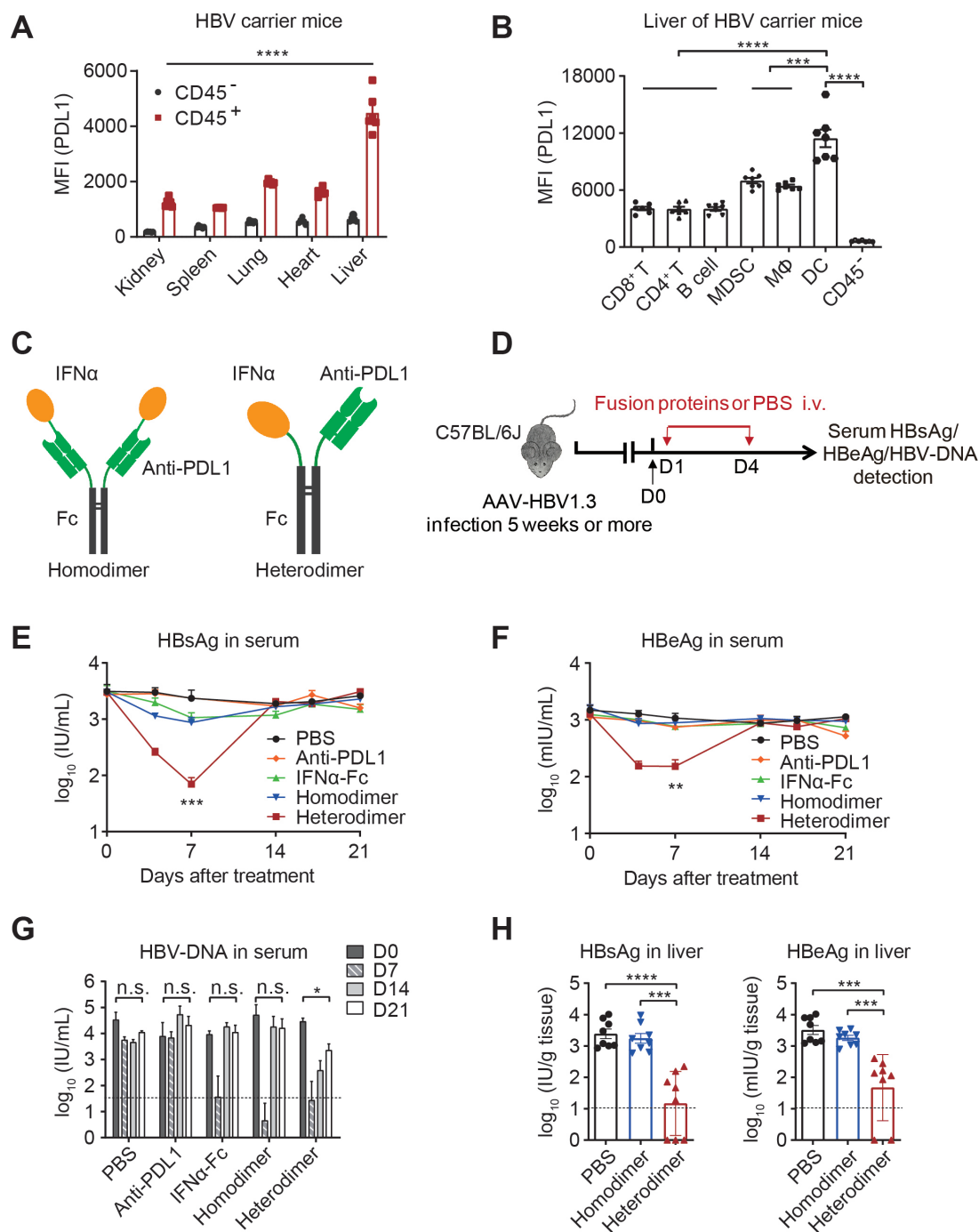
### Anti-PDL1-IFN $\alpha$ heterodimer achieves synergistic antiviral effects through a positive feedback immune response

We next evaluated whether the anti-PDL1-IFN $\alpha$  heterodimer has the synergistic effect of inhibiting HBV infection and replication. An HBV-infected human hepatocyte assay was performed in the presence of the fusion protein *in vitro*. The mouse IFN $\alpha$  in the fusion protein was replaced with human IFN $\alpha$ . Interestingly, the levels of HBsAg and HBeAg in the cell culture supernatant were inhibited more significantly by the anti-PDL1-IFN $\alpha$  (human) heterodimer than by the other agents (online supplemental figure 5A,B). Furthermore, we observed that HBV carrier mice treated with a mixture of IFN $\alpha$ -Fc and anti-PDL1 did not show the same inhibitory effect as that achieved with the heterodimer (figure 2A–C). Thus, both the *in vitro* and *in vivo* data show that targeted delivery of IFN $\alpha$  by anti-PDL1 is critical for the anti-HBV effect. In addition, we tested whether the anti-HBV effect of the heterodimer is exerted through IFNAR signalling. IFNAR1<sup>-/-</sup> HBV carrier mice were treated with the heterodimer. The antiviral effect was almost undetectable in the IFNAR1<sup>-/-</sup> HBV carrier mice, suggesting that the anti-PDL1-IFN $\alpha$  heterodimer plays an anti-HBV role through IFNAR signalling (figure 2D).

We tested the PDL1 level in the liver after anti-PDL1-IFN $\alpha$  heterodimer treatment. Impressively, the heterodimer was able to significantly increase PDL1 expression on both CD45<sup>-</sup> cells and CD45<sup>+</sup> cells within the liver microenvironment compared with IFN $\alpha$ -Fc (figure 2E,F). Increased PDL1 expression may enhance the liver-specific accumulation of the anti-PDL1-IFN $\alpha$  heterodimer. Collectively, these data suggest that the anti-PDL1-IFN $\alpha$  heterodimer can induce a positive synergistic response, further enhancing liver targeting and better controlling HBV replication.

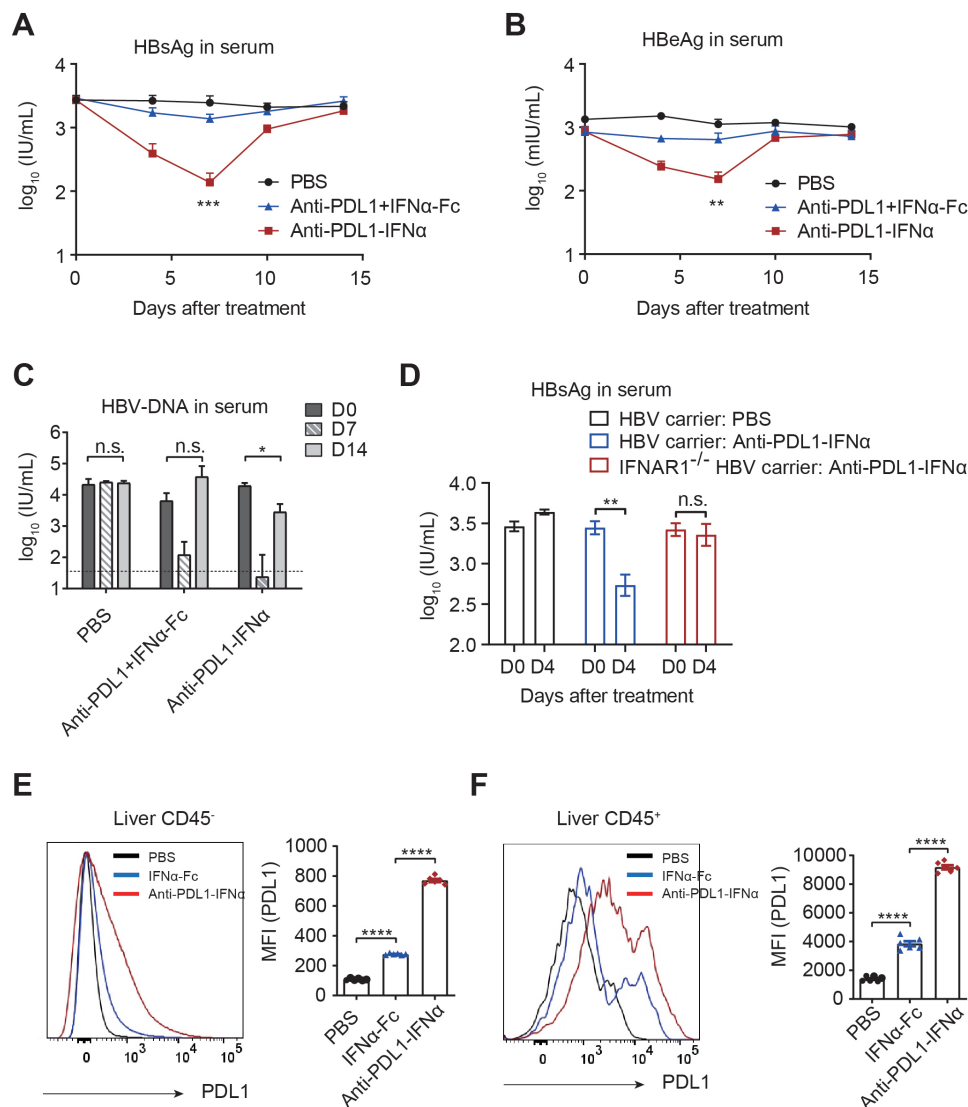
### Anti-PDL1-IFN $\alpha$ heterodimer overcomes immune tolerance by improving DC function

A previous report showed that type I IFNs play a critical role in promoting DC cross-priming to (re)activate T cells.<sup>33</sup> We hypothesised that the anti-PDL1-IFN $\alpha$  heterodimer may preferentially target DCs, which were found to express higher levels



**Figure 1** The anti-PDL1-IFN $\alpha$  heterodimer exerts superior anti-HBV effects in vivo. (A) Various tissues of HBV carrier mice were collected (n=6). PDL1 expression levels on CD45<sup>-</sup> or CD45<sup>+</sup> cells were evaluated by flow cytometry. (B) Liver tissues of HBV carrier mice (n=7) were collected and analysed by flow cytometry. PDL1 expression levels on CD8<sup>+</sup> T cells (CD3<sup>+</sup>CD8<sup>+</sup>), CD4<sup>+</sup> T cells (CD3<sup>+</sup>CD4<sup>+</sup>), B cells (B220<sup>+</sup>), MDSCs (CD11b<sup>+</sup>Gr-1<sup>+</sup>), macrophages (CD11b<sup>+</sup>F4/80<sup>+</sup>), DCs (CD11c<sup>+</sup>MHCII<sup>+</sup>) and CD45<sup>-</sup> cells are shown. (C) Schematic structure of the anti-PDL1-IFN $\alpha$  fusion protein in the homodimeric or heterodimeric format. (D) Time schedule for testing the therapeutic effects of fusion proteins in vivo. HBV carrier mice were injected intravenously with fusion proteins two times with a 3-day interval using equimolar amounts of IFN $\alpha$  and anti-PDL1 (0.1687 nmol, as determined by the single subunit). (E–H) HBV carrier mice (n=3–8) were treated with fusion proteins on days 1 and 4 as described in (D). The serum levels of HBsAg (E) and HBeAg (F) were determined using ELISA. The serum levels of HBV-DNA (G) were determined by quantitative real-time PCR. The liver levels of HBsAg and HBeAg (H) on day seven were measured using ELISA. The detection limits in panels G and H are shown as dashed lines. Data are shown as the mean $\pm$ SEM (A, B and H) or mean $\pm$ SEM (E–G) and are representative of at least two independent experiments. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001; \*\*\*\*p<0.0001. DC, dendritic cell; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; IU, international units; i.v., intravenous; MDSC, myeloid-derived suppressor cell; MFI, mean fluorescence intensity; MΦ, macrophage; n.s., not significant; PBS, phosphate buffer saline; PDL1, programmed death ligand 1.

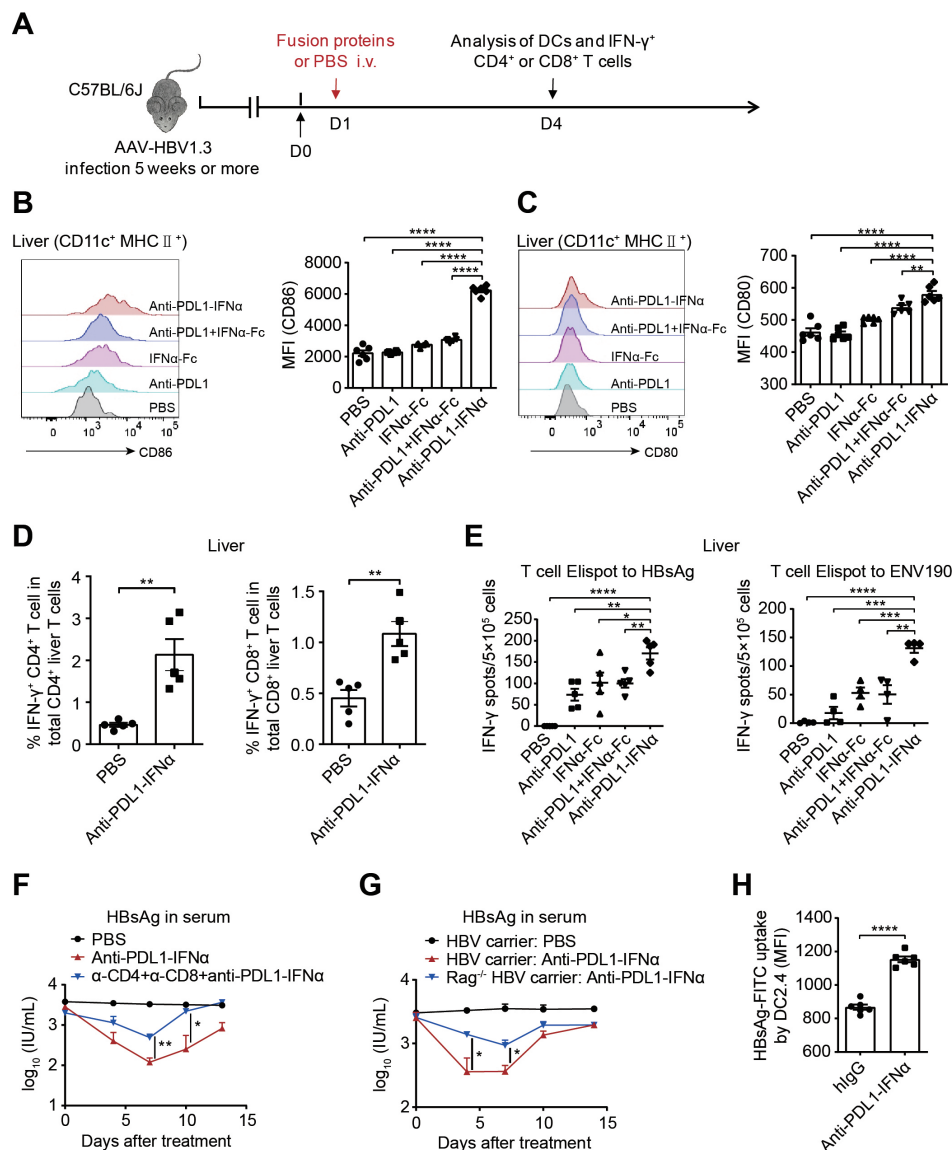




**Figure 2** The anti-PDL1-IFN $\alpha$  heterodimer achieves a synergistic antiviral effect via positive synergy of the anti-PDL1 and IFN $\alpha$  signalling axes. (A–C) Serum levels of HBsAg (A), HBeAg (B) and HBV-DNA (C) of HBV carrier mice ( $n=3-7$ ) that received intravenous injections of fusion proteins (a mixture of anti-PDL1 and IFN $\alpha$ -Fc or only the anti-PDL1-IFN $\alpha$  heterodimer) using equimolar amounts of IFN $\alpha$  and anti-PDL1 (0.1687 nmol, as determined by the single subunit) on days 1 and 4. The detection limit in panel C is shown as a dashed line. (D) HBV carrier mice ( $n=5$ ) and IFNAR1<sup>-/-</sup> HBV carrier mice ( $n=7$ ) were treated with PBS or the anti-PDL1-IFN $\alpha$  heterodimer (0.1687 nmol, 0.8 mg/kg) on day 1. The serum HBsAg levels on day four were detected using ELISA. (E, F) HBV carrier mice ( $n=6$ ) were injected intravenously with a single dose (equimolar IFN $\alpha$ , as determined by the single subunit) of IFN $\alpha$ -Fc (0.0844 nmol, 0.313 mg/kg) or the anti-PDL1-IFN $\alpha$  heterodimer (0.1687 nmol, 0.8 mg/kg). Three days later, liver tissues were collected, and the PDL1 levels on CD45<sup>+</sup> (E) and CD45<sup>+</sup> (F) cells were evaluated by flow cytometry. A representative graph is shown on the left, and the MFI is shown on the right. Data are shown as the mean $\pm$ SEM (A–C) or mean $\pm$ SEM (D–F) and are representative of at least two independent experiments. \* $p<0.05$ ; \*\* $p<0.01$ ; \*\*\* $p<0.001$ ; \*\*\*\* $p<0.0001$ . HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; IU, international units; MFI, mean fluorescence intensity; n.s., not significant; PBS, phosphate buffer saline; PDL1, programmed death ligand 1.

of PDL1 (figure 1B). We thus investigated whether anti-PDL1-IFN $\alpha$  treatment can improve the functions of DCs. HBV carrier mice were treated with a single dose of the anti-PDL1-IFN $\alpha$  heterodimer. Three days later, the DCs in the liver were analysed by flow cytometry (figure 3A). Encouragingly, compared with the other treatments, anti-PDL1-IFN $\alpha$  treatment resulted in significantly increased expression of CD86, CD80 and MHC I on hepatic DCs (figure 3B,C, online supplemental figure 6A,B). These changes are indicative of the development of mature DCs with increased potentials for cross-presentation and costimulation for T cell activation.<sup>34</sup> Impressively, anti-PDL1-IFN $\alpha$  indeed activated the effector function of T cells in HBV carrier mice, as indicated by the significant increases in

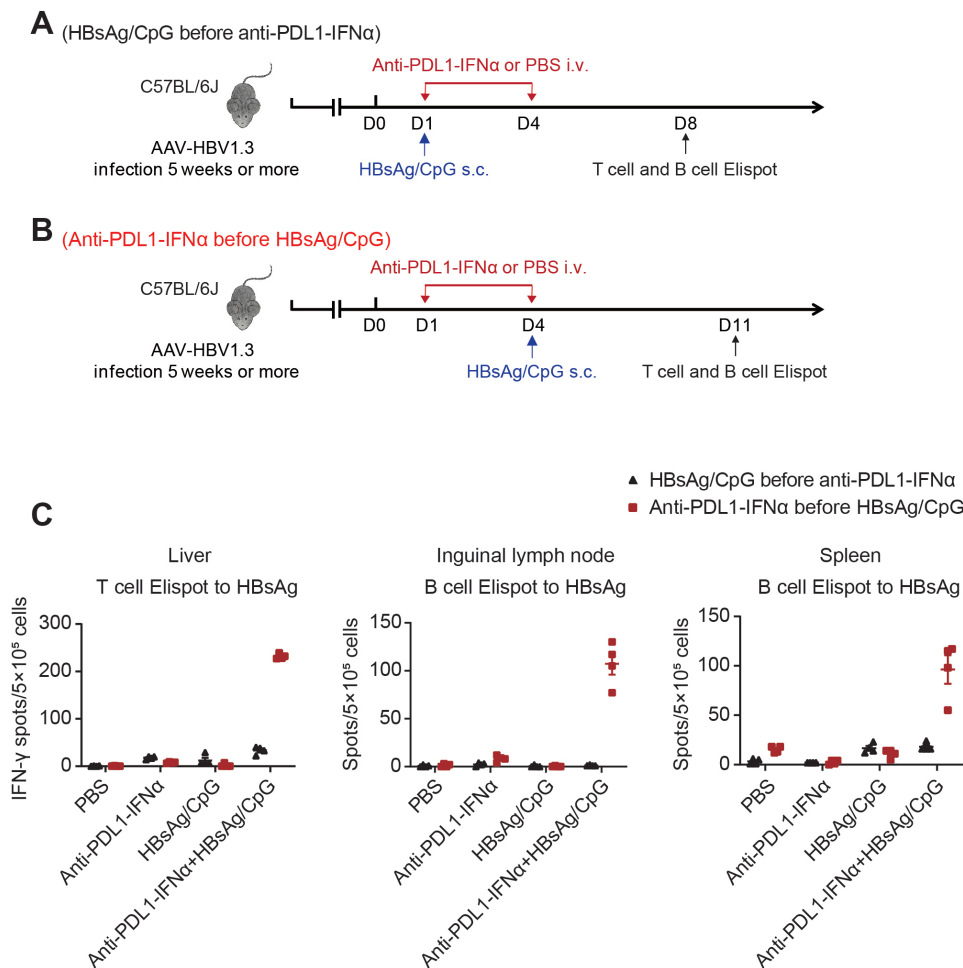
HBsAg-specific IFN- $\gamma$ <sup>+</sup>CD4<sup>+</sup> and IFN- $\gamma$ <sup>+</sup>CD8<sup>+</sup> T cells in the liver (figure 3D,E, online supplemental figure 6C–F). The role of DCs in anti-PDL1-IFN $\alpha$  treatment was further confirmed in the HBV carrier CD11c-DTR (diphtheria toxin, DTx receptor) mice. DTx treatment significantly reduced DC level. The anti-PDL1-IFN $\alpha$  induced HBsAg-specific T cell and B cell responses were almost abrogated by DTx in the HBV carrier CD11c-DTR mice (online supplemental figure 7A–D). To test whether activated T cells can play an anti-HBV role, CD4- and CD8-depleting antibodies were administered to HBV carrier mice during anti-PDL1-IFN $\alpha$  treatment, which significantly reduced the anti-HBV effect of anti-PDL1-IFN $\alpha$  (figure 3F). A similar result was obtained with Rag1<sup>-/-</sup> HBV carrier mice



**Figure 3** The anti-PDL1-IFN $\alpha$  heterodimer rescues HBsAg-specific T cell immune tolerance by improving DC function. (A) Schematic diagram of a single dose (0.1687 nmol equimolar IFN $\alpha$  and anti-PDL1, as determined by the single subunit) of fusion protein treatment in HBV carrier mice. Three days later, the expression of CD86, CD80 and MHC I on DCs and levels of IFN- $\gamma$ <sup>+</sup>CD4<sup>+</sup> and IFN- $\gamma$ <sup>+</sup>CD8<sup>+</sup> T cells in the liver were analysed by flow cytometry. (B, C) Hepatic mononuclear cells (n=6) were harvested on day 4. Flow cytometry was performed to analyse the expression of CD86 (B) and CD80 (C) on DCs in the liver. A representative graph is shown on the left, and the MFI is shown on the right. (D, E) Hepatic mononuclear cells (n=4–5) were harvested on day four and stimulated with HBsAg (5  $\mu$ g/mL; subtype ayw) in the presence of brefeldin A (5  $\mu$ g/mL) ex vivo. The frequencies of IFN- $\gamma$ <sup>+</sup> cells among total CD4<sup>+</sup> or CD8<sup>+</sup> T cells in the liver were examined by flow cytometry (D). Specific T cell responses to HBsAg (subtype ayw) or ENV190 (an HBsAg-specific CD8 peptide) were also tested with a T cell Elispot assay (E). (F) HBV carrier mice (n=3–4) were treated with PBS or the anti-PDL1-IFN $\alpha$  heterodimer (0.1687 nmol, 0.8 mg/kg) on days 1 and 4. For T cell depletion, 200  $\mu$ g anti-CD4 antibodies and 200  $\mu$ g anti-CD8 antibodies were injected intraperitoneally into HBV carrier mice twice per week beginning 1 day before anti-PDL1-IFN $\alpha$  heterodimer treatment. The serum HBsAg levels were determined using ELISA. (G) HBV carrier mice (n=5) and Rag1<sup>-/-</sup> HBV carrier mice (n=5) were treated with PBS or the anti-PDL1-IFN $\alpha$  heterodimer (0.1687 nmol, 0.8 mg/kg) on days 1 and 4. The serum HBsAg levels were determined using ELISA. (H) DC2.4 cells were treated with 1  $\mu$ g/mL anti-PDL1-IFN $\alpha$  heterodimer and incubated with 1  $\mu$ g/mL fluorescein isothiocyanate (FITC)-conjugated HBsAg protein for 4 hours. Flow cytometry was performed to analyse the uptake of HBsAg-FITC. Data are shown as the mean $\pm$ SEM (B–E and H) or mean $\pm$ SEM (F, G) and are representative of at least two independent experiments (B, C and E–H) or are pooled from two independent experiments (D). \*p<0.05; \*\*p<0.01; \*\*\*p<0.001; \*\*\*\*p<0.0001. DC, dendritic cell; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; hIgG, human immunoglobulin G; IU, international units; i.v., intravenous; MFI, mean fluorescence intensity; PBS, phosphate buffer saline.

(figure 3G). Collectively, these data support that the activation of T cells by the anti-PDL1-IFN $\alpha$  heterodimer can exert an anti-HBV role, in addition to the direct antiviral effect of the IFN $\alpha$  incorporated in the anti-PDL1-IFN $\alpha$  molecule. To further validate these observations, we tested whether anti-PDL1-IFN $\alpha$  enhances the antigen uptake and processing of

DCs. Compared with hIgG treatment, anti-PDL1-IFN $\alpha$  treatment augmented uptake of an HBsAg-FITC protein by DCs in vitro (figure 3H). Taken together, these data indicate that the anti-PDL1-IFN $\alpha$  heterodimer promotes the maturation and antigen-presenting function of DCs, thereby improving the function of HBV-specific T cells.



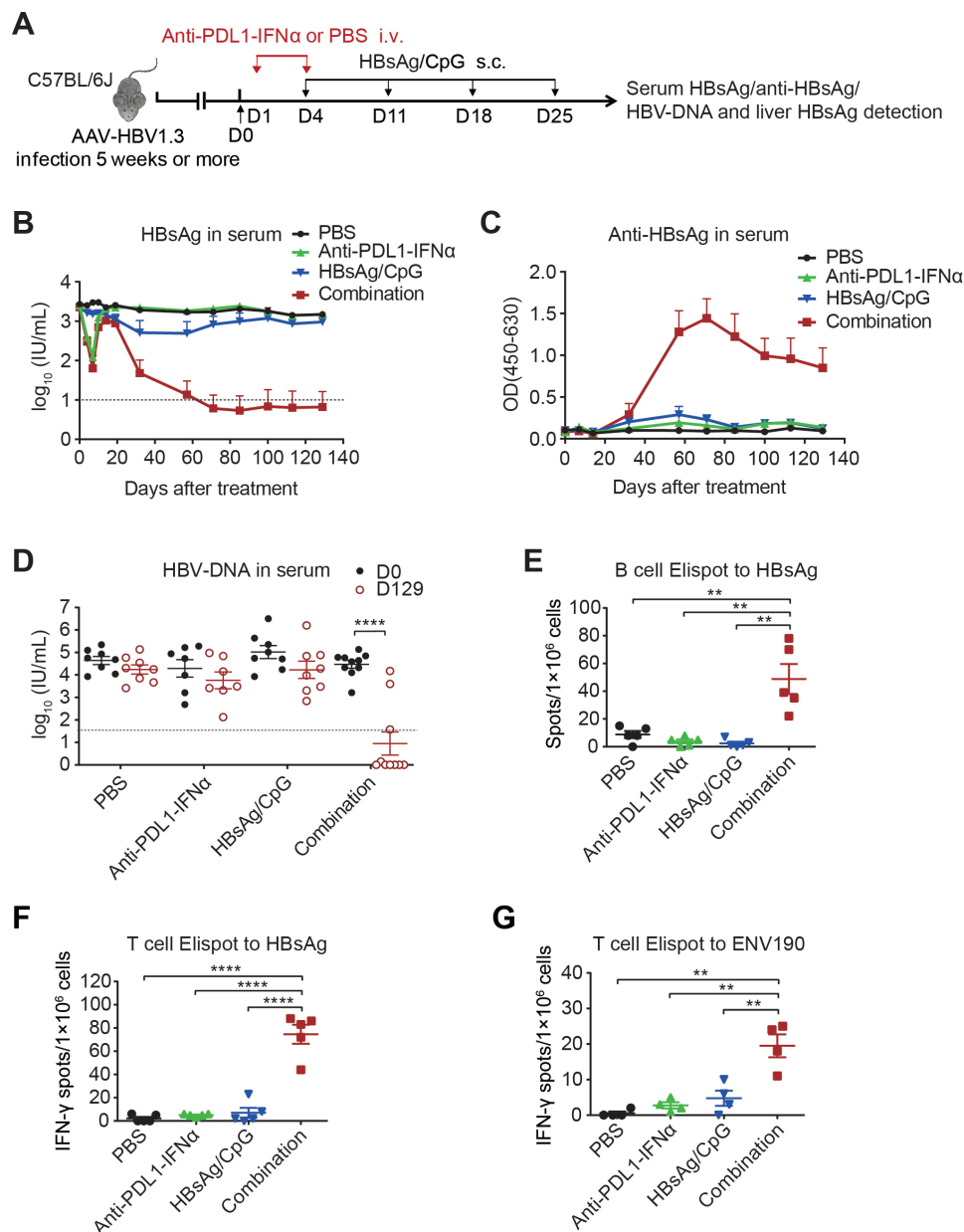
**Figure 4** The anti-PDL1-IFN $\alpha$  heterodimer can break tolerance to an HBsAg vaccine. (A) HBV carrier mice ( $n=4$ ) were immunised subcutaneously with HBsAg/CpG (2  $\mu$ g/30  $\mu$ g) on day 1. The anti-PDL1-IFN $\alpha$  heterodimer (0.0422 nmol, 0.2 mg/kg) was injected intravenously on days 1 and 4 (HBsAg/CpG before anti-PDL1-IFN $\alpha$ ). One week after immunisation, HBsAg-specific T and B cells were measured by T cell and B cell Elispot assays, respectively. (B) HBV carrier mice ( $n=4$ ) were injected intravenously with the anti-PDL1-IFN $\alpha$  heterodimer (0.0422 nmol, 0.2 mg/kg) on days 1 and 4. HBsAg/CpG (2  $\mu$ g/30  $\mu$ g) was administered subcutaneously on day 4 (anti-PDL1-IFN $\alpha$  before HBsAg/CpG). One week after immunisation, HBsAg-specific T and B cells were measured by T cell and B cell Elispot assays, respectively. (C) A total of  $5 \times 10^5$  lymphocytes from the liver, inguinal lymph nodes or spleen ( $n=4$ ) were collected 1 week after immunisation. Specific T cell and B cell responses to HBsAg (subtype ayw) were tested by T cell and B cell Elispot assays, respectively. Data are shown as the mean  $\pm$  SEM and are representative of at least two independent experiments. CpG, cytosine-phosphate-guanine; HBsAg, hepatitis B surface antigen; i.v., intravenous; PBS, phosphate buffer saline; s.c., subcutaneous.

#### Anti-PDL1-IFN $\alpha$ heterodimer breaks tolerance to an HBsAg vaccine in the chronic HBV mouse model

The above results showed that the anti-PDL1-IFN $\alpha$  heterodimer could inhibit HBV for a period of time and simultaneously improve the cross-presentation and costimulatory the signalling of DCs, suggesting that anti-PDL1-IFN $\alpha$  treatment may provide a 'window' for breaking HBV-induced immune tolerance. We then combined anti-PDL1-IFN $\alpha$  with HBsAg vaccination to test immune responses against this HBV antigen. Impressively, compared with HBsAg vaccination performed before anti-PDL1-IFN $\alpha$  treatment, anti-PDL1-IFN $\alpha$  treatment performed before HBsAg vaccination induced strong HBsAg-specific T and B cell immune responses within the liver, lymph nodes and spleen (figure 4). These results suggest that the anti-PDL1-IFN $\alpha$  heterodimer can break the tolerance to HBsAg to allow effective vaccination in HBV-tolerant mice.

Next, we tested whether this treatment approach can synergize with HBsAg vaccination to treat HBV carrier mice (figure 5A). Notably, the serum HBsAg level dropped significantly in the combination therapy group (anti-PDL1-IFN $\alpha$  combined with

HBsAg/CpG vaccination) but not in the monotherapy group (figure 5B). Furthermore, the ayw subtype-specific anti-HBsAg level in the combination therapy group increased significantly for a long time (figure 5C). The serum HBsAg and HBV-DNA levels had dropped to undetectable levels in 7 out of the 10 mice in the combination therapy group on day 129 after the initial treatment. The mice with a reduced serum HBsAg level also showed a significantly higher level of ayw subtype-specific anti-HBsAg antibodies (figure 5D, online supplemental figure 8A–C), suggesting the potential functional cure of CHB in the carrier mice. Importantly, in addition to the reduced HBsAg level in the circulation, intrahepatic HBsAg expression levels were remarkably reduced in the combination therapy group (online supplemental figure 8D). In addition, the HBV-RNA and HBV-DNA loads in the liver measured by real-time PCR were significantly diminished in the combination therapy group (online supplemental figure 9A–C). Overall, the therapeutic strategy of combining anti-PDL1-IFN $\alpha$  administration and HBsAg vaccination could be applied as an effective treatment to achieve a functional cure of CHB.



**Figure 5** Anti-PDL1-IFN $\alpha$  heterodimer administration combined with HBsAg vaccination produces a functional cure in HBV carrier mice. (A) Time schedule for the anti-PDL1-IFN $\alpha$  heterodimer (0.1687 nmol, 0.8 mg/kg) combined with HBsAg/CpG (2  $\mu$ g/30  $\mu$ g) as a vaccine to treat HBV carrier mice. (B, C) The serum HBsAg (B) and ayw subtype-specific anti-HBsAg (C) levels (n=7–10) were monitored by ELISA. (D) Serum HBV-DNA levels (n=7–10) were determined by quantitative real-time PCR. The detection limits in panels B, D are shown as dashed lines. (E–G) A total of 1×10<sup>6</sup> splenocytes from each mouse (n=4–5) were collected on day 129. Specific B cell (E) and T cell (F) responses to HBsAg (subtype ayw) were tested by B cell and T cell Elispot assays, respectively. ENV190-specific CD8<sup>+</sup> T cell responses were tested by a T cell Elispot assay (G). Data are shown as the mean±SEM (B, C) or mean±SEM (D–G) and are pooled from two independent experiments. \*\*p<0.01; \*\*\*\*p<0.0001. CpG, cytosine–phosphate–guanine; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; IU, international units; i.v., intravenous; OD, optical density; PBS, phosphate buffer saline; s.c., subcutaneous.

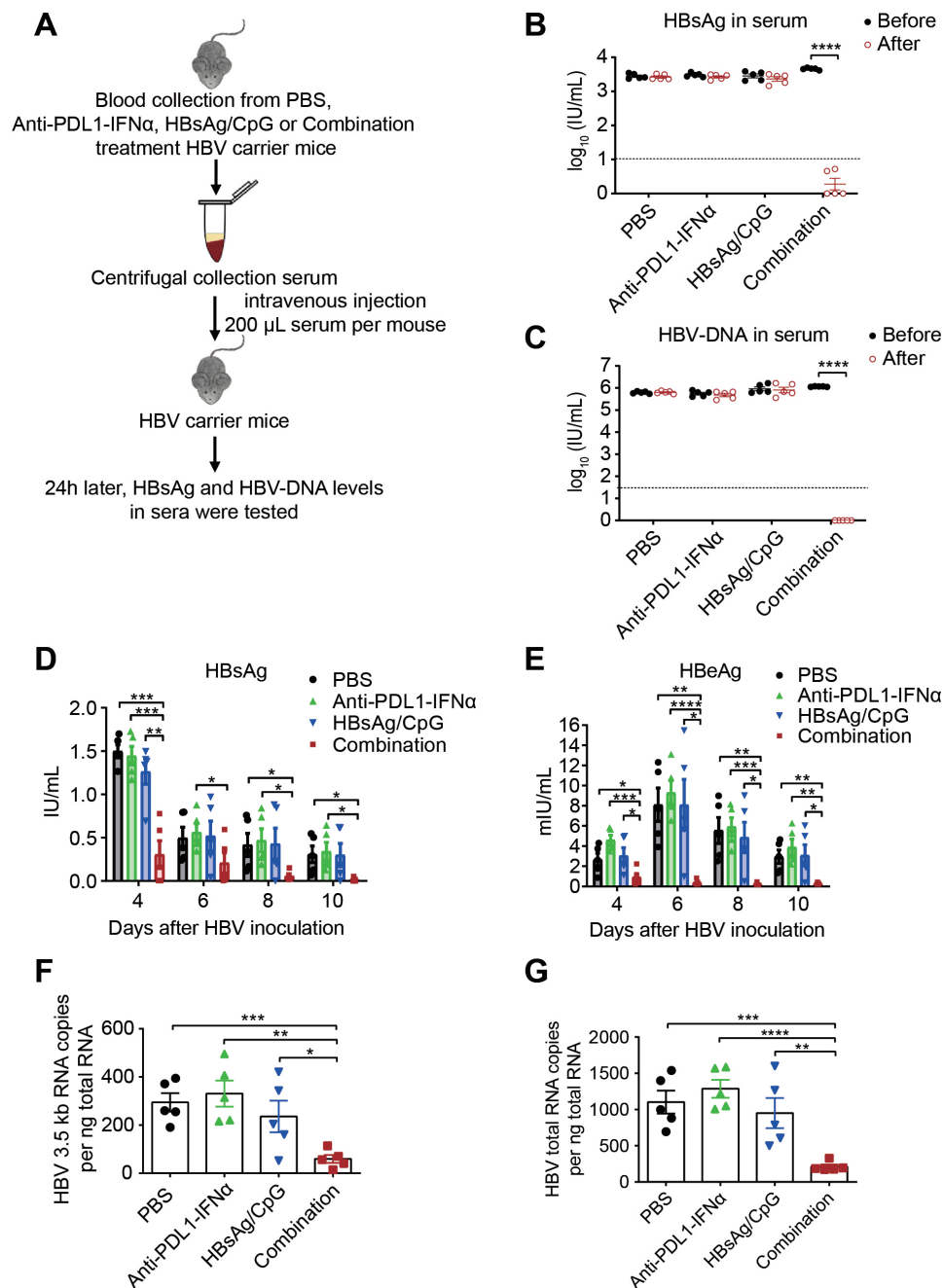
### HBsAg-specific humoral and cellular immune responses induced by the combination therapy can neutralise HBV and prevent HBV reinfection

We investigated whether the combination therapy can induce immune memory to prevent recurrent HBV infection. The results showed that splenocytes from resolved HBV carrier mice treated with the combination therapy on day 129 produced strong HBsAg-specific B cell responses when stimulated with the HBsAg antigen ex vivo (figure 5E). In addition, splenocytes from resolved HBV carrier mice treated with the combination therapy

on day 129 secreted significantly higher levels of IFN- $\gamma$  when stimulated with the HBsAg antigen or ENV190 (an HBsAg-specific CD8 peptide) ex vivo (figure 5F,G). These data suggest that the resolved HBV carrier mice treated with the combination therapy gained long-term immune memory, which might play an important role in preventing recurrent HBV infection.

To evaluate HBV neutralisation in vivo by assessing antisera from resolved HBV carrier mice treated with the combination therapy, serum samples were collected from resolved HBV carrier mice and injected intravenously into untreated HBV





**Figure 6** The anti-HBsAg antibodies induced by the combination therapy can neutralise HBV in vivo and prevent HBV from infecting hepatocytes in vitro. (A) Schematic diagram of HBV neutralisation in vivo by using sera collected from PBS-, anti-PDL1-IFN $\alpha$ -, HBsAg/CpG- or combination-treated HBV carrier mice (n=5) on day 71 as described in figure 5A. (B, C) The serum levels of HBsAg (B) and HBV-DNA (C) were assessed via ELISA and quantitative real-time PCR, respectively. The detection limits are shown as dashed lines. (D–G) For studying blocking of HBV infection of hepatocytes in vitro, HepG2-hNTCP cells were incubated with  $1 \times 10^7$  viral genome equivalents (vg) HBV in the presence of serum collected from PBS-, anti-PDL1-IFN $\alpha$ -, HBsAg/CpG- or combination-treated HBV carrier mice (n=5) on day 129 as described in figure 5A. The levels of HBsAg (D) and HBeAg (E) in the supernatant were detected by ELISA. HepG2-hNTCP cells were collected in TRIzol on day 10, and RNA was extracted. HBV 3.5 kb RNA (F) and HBV total RNA (G) levels were measured by quantitative real-time PCR. Data are shown as the mean  $\pm$  SEM and are representative of at least two independent experiments. \*p<0.05; \*\*p<0.01; \*\*\*p<0.001; \*\*\*\*p<0.0001. CpG, cytosine–phosphate–guanine; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; HBV, hepatitis B virus; hNTCP, human sodium taurocholate cotransporting polypeptide; IU, international units; PBS, phosphate buffer saline.

carrier mice (figure 6A). The HBsAg and HBV-DNA levels were greatly reduced by the sera from resolved HBV carrier mice treated with the combination therapy, indicating that the anti-sera could effectively eliminate HBV in vivo (figure 6B,C). We next tested the ability of antiserum to prevent HBV infection in vitro. HepG2-hNTCP cells were infected with HBV in the

presence of sera from different groups. The levels of HBsAg and HBeAg in the cell culture supernatant were significantly inhibited by the sera from resolved HBV carrier mice treated with combination therapy, in stark contrast to the effects of the sera from the other groups (figure 6D,E). To more accurately detect HBV infection inhibition, HBV replicative intermediates,

including HBV 3.5-kilobase (kb) RNA and HBV total RNA, in HepG2-hNTCP cells were measured, and the results showed a significant reduction in HBV replicative intermediates induced by the sera from resolved HBV carrier mice treated with the combination therapy (figure 6F,G). Taken together, both the in vivo and in vitro results suggest that the combination therapy can induce strong anti-HBsAg immune responses to achieve a functional cure of CHB and prevent HBV reinfection.

## DISCUSSION

Breaking HBV-induced immune tolerance, especially inducing a NAb response to HBsAg in CHB patients, has been a difficult goal to reach in the clinic over recent decades.<sup>35</sup> Recently, three well-designed experimental studies in mice showed that clearance of the HBsAg antigen by NAb or small interfering RNAs followed by active immunisation could induce effective host immune responses against HBV.<sup>23 36 37</sup> However, these strategies for reducing the HBsAg antigen load were passive rather than active ways to improve host immunity, and the NAb strategy was not practical for patients with a high level of HBsAg. In this study, we designed a multifunctional anti-PDL1-IFN $\alpha$  heterodimeric fusion protein that could block the immune checkpoint PDL1, target IFN $\alpha$  to the liver to suppress HBV replication, and promote DC maturation and antigen presentation, providing a 'window' for breaking HBV-induced immune tolerance. Subsequent HBsAg vaccination following anti-PDL1-IFN $\alpha$  treatment could induce a strong anti-HBsAg immune response to achieve a functional cure for CHB.

The PD1/PDL1 pathway plays inhibitory roles in T cell activation and proliferation, leading to immune suppression and PD blockade can enhance T cell function by releasing inhibitory signalling.<sup>38 39</sup> Previous studies and our present data have indicated that PDL1 is highly expressed in the liver of CHB patients<sup>11 27</sup> and chronic HBV carrier mice (online supplemental figure 1), suggesting that an anti-PDL1 antibody could reduce immune suppression on the one hand and function as a liver-targeting antibody on the other hand. In addition, type I IFNs can promote cross-presentation and T cell activation by DCs.<sup>31</sup> However, type I IFNs are one of the most potent inducers of inhibitory PDL1 molecules, which dampen the T cell response.<sup>10 40</sup> The anti-PDL1-IFN $\alpha$  heterodimer not only delivered IFN $\alpha$  specifically to the liver but also blocked PDL1 signalling, turning the contradictory activities into mutually reinforcing activities. Moreover, IFN $\alpha$  upregulated PDL1 expression in the liver, which further enhanced the targeting of anti-PDL1-IFN $\alpha$  to the liver.

PDL1 is substantially upregulated on myeloid DCs (mDCs) from CHB patients and significantly suppresses T cell immune functions. PDL1 blockade enhances mDC-mediated antiviral T cell responses in CHB patients.<sup>41</sup> Activated DCs upregulate the costimulatory molecules CD80, CD86 and CD40 to potentially activate CD4<sup>+</sup> and CD8<sup>+</sup> T cells.<sup>42</sup> Consistently, our findings showed that the anti-PDL1-IFN $\alpha$  heterodimer improved the functions of DCs by upregulating CD86, CD80 and MHC I molecules, which promoted HBsAg antigen uptake, cross-presentation and costimulatory signalling to activate T cells (figure 3). Moreover, increasing antigen-presenting cell function can initiate antigen-specific immune responses rather than nonselectively amplifying preexisting T cell responses.<sup>31</sup> Successful HBV clearance is associated with robust HBV-specific IFN- $\gamma$ <sup>+</sup>CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses.<sup>43 44</sup> In this study, we found that improving the functions of DCs could promote the HBsAg vaccination-induced HBsAg-specific antibody response and HBsAg-specific T cell

activation, providing important insights for the development of a DC-targeted immunotherapeutic strategy.

In previous studies, an HBsAg-specific lymphoproliferative response and anti-HBsAg production against epitopes contained in the therapeutic vaccine were induced in some CHB patients, but no reductions in serum HBsAg or HBV levels were observed.<sup>45 46</sup> These results suggest that patients may mainly respond to the nonshared epitopes of the recombinant HBsAg vaccine that differ from the epitopes of the subtype of HBV in the infected host but fail to develop anti-HBsAg antibodies specific for most of the shared epitopes, thus failing to clear HBsAg. To avoid misevaluation caused by unmatched epitopes, we infected mice with HBV (genotype D, subtype ayw), immunised them with the same subtype (ayw) of recombinant HBsAg, and measured ayw subtype-specific anti-HBsAg antibodies. Our data showed that only under this experimental condition was a high level of anti-HBsAg antibodies strictly associated with HBsAg clearance, explaining the confusing results of coexisting anti-HBsAg antibodies and HBsAg observed in previously reported experiments and clinical trials.

This study reveals several mechanisms that provide critical information for future CHB therapy. First, anti-PDL1-IFN $\alpha$  therapy was a rather short-term treatment that was effective for targeting IFN $\alpha$  to PDL1<sup>+</sup> intrahepatic cells and less toxic than prolonged high-dose treatment with IFNs. Second, anti-PDL1-IFN $\alpha$  could deliver immunomodulatory molecules that reduced PDL1-mediated resistance through both checkpoint blockade and enhancement of DC function. Thus, anti-PDL1-IFN $\alpha$  treatment could break HBV-induced immune tolerance by revitalising innate and adaptive immune cells to promote HBsAg vaccine-induced HBsAg-specific T cell and B cell responses. Third, anti-PDL1-IFN $\alpha$  created a positive synergistic response between checkpoint blockade and IFN $\alpha$  signalling and might further enhance the targeting effect through IFN $\alpha$ -induced PDL1 upregulation. Finally, anti-PDL1-IFN $\alpha$  therapy combined with HBsAg vaccine administration could induce a high-level, persistent anti-HBsAg response for efficient viral clearance and protective immunity in a chronic HBV mouse model, offering a promising translatable combination therapeutic strategy for achieving a functional cure of CHB.

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Engineered anti-PDL1 with IFN $\alpha$  targets both immunoinhibitory  
and activating signals in the liver to break HBV immune  
tolerance

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## 23 **Supplementary Materials and Methods**

### 24 **Cloning, expression, and purification of anti-PDL1-IFN $\alpha$ fusion proteins**

25 Production of anti-PDL1-IFN $\alpha$  fusion proteins has been previously described with some  
26 modifications.<sup>40</sup> Briefly, the variable regions of the light-chain and heavy-chain of the  
27 anti-PDL1 antibody (YW243.55.S70) sequence were synthesized according to a patent  
28 (Patent No.: US8217149 B2). Human IgG1 Fc was inserted into the C-terminal of the  
29 heavy chain. The entire sequence was cloned into the pEE12.4 vector (Lonza). Murine  
30 IFN $\alpha$ 4 or human IFN $\alpha$ 2 cDNA sequence was cloned and inserted into the N-terminal of  
31 human IgG1 Fc. Murine IFN $\alpha$ 4 or human IFN $\alpha$ 2 with Fc was cloned into the pEE6.4 vector  
32 (Lonza). Heterodimer of anti-PDL1 and murine IFN $\alpha$ 4 or human IFN $\alpha$ 2 was achieved by  
33 using the knobs-into-holes technique. Murine IFN $\alpha$ 4 or human IFN $\alpha$ 2 was fused to the  
34 “Holes” (T366S, L368A, Y407V) arm, and anti-PDL1 heavy-chain was fused to the “Knobs”  
35 (T366W) arm (Fc CH2 CH3). The murine IFN $\alpha$ 4 or human IFN $\alpha$ 2-holes, anti-PDL1  
36 heavy-chain-knobs and anti-PDL1 light-chain plasmids were transiently transfected into  
37 293F cells at a ratio of 2:1:2. For homodimer of anti-PDL1 and IFN $\alpha$ 4, murine IFN $\alpha$ 4 cDNA  
38 sequence was inserted into the N-terminal of anti-PDL1 heavy-chain by a  
39 GGGGSGGGGSGGGGSGGGGS linker. The IFN $\alpha$ 4-anti-PDL1 heavy-chain and  
40 light-chain plasmids were transiently transfected into 293F cells at a ratio of 1:1.  
41 Supernatants were collected on day 7 after transfection. The fusion proteins were purified  
42 using a Protein A-Sepharose column according to the manufacturer’s protocol (Repligen  
43 Corporation, USA).

44

#### 45    **Enzyme-linked immunosorbent assay (ELISA)**

46    ELISA kits for HBsAg and HBeAg testing were purchased from Shanghai Kehua  
47    Bio-engineering Co., Ltd. (Shanghai, China). HBsAg and HBeAg were measured  
48    according to the manufacturer's instructions. The peptides for ayw subtype-specific  
49    anti-HBsAg testing 111-140 amino acids (PGSSTTSTGPCRTCMTTAQGTSMYPPSCCCT;  
50    subtype ayw) with the same encoding sequence in AAV-HBV1.3 were synthesized by  
51    China Peptides Co., Ltd. (Shanghai, China). The region from 111 to 140 amino acids is the  
52    immunodominant region of HBsAg shared by all serotypes and genotypes of HBV. For  
53    ELISA to test ayw subtype-specific anti-HBsAg in sera, plates were coated with 111-140  
54    amino acids at 5 µg/mL in phosphate-buffered saline (PBS) at 4°C overnight. After  
55    blocking with blocking buffer (PBS containing 5% fetal bovine serum, FBS), samples were  
56    added at 1:100 dilution. Then, horseradish peroxidase (HRP)-conjugated goat anti-mouse  
57    IgG (CWbio, Beijing, China) was used for chromogenic reaction. The absorbance at  
58    450-630 nm was detected using a microplate reader (Molecular Devices, USA).  
59    Homogenate extracts from mice liver tissues were used to determine the levels of HBsAg  
60    and HBeAg in the liver by ELISA. The affinities of anti-PDL1-IFNα (heterodimer or  
61    homodimer) to PDL1 (produced in house) or IFNAR (IFNAR1 was purchased from Sino  
62    Biological, Beijing, China; IFNAR2 was purchased from Biorbyt, Cambridge, UK) binding  
63    were measured using ELISA. EC<sub>50</sub> was calculated by non-linear regression of the  
64    dose-response curves using Prism software (GraphPad Software). For ELISA to detect  
65    human Fc, plates were coated with 5 µg/mL goat anti-human IgG, and goat anti-human  
66    IgG-HRP (CWbio, Beijing, China) was used as detection antibody.

67

**68 Antiviral activity of anti-PDL1-IFN $\alpha$** 

69 The PDL1<sup>-/-</sup> or not L929 fibroblast cell line sensitive to vesicular stomatitis virus (VSV)  
70 infection was used to quantify the biological activity of IFN $\alpha$ . Cells were incubated with  
71 serial dilutions of anti-PDL1-IFN $\alpha$  (heterodimer or homodimer) or IFN $\alpha$ -Fc (equimolar  
72 IFN $\alpha$ , as determined by the single subunit) at 37 °C overnight. The next day, the cells  
73 were infected with VSV-GFP (green fluorescent protein) at multiplicity of infection (MOI) =  
74 5 and cultured for another 16 hours. Then, the cells were collected and fixed with 4%  
75 paraformaldehyde (PFA). Data were acquired and analyzed using a FACS Calibur or  
76 LSRFortessa flow cytometer (BD Biosciences, USA) and FlowJo software (TreeStar),  
77 respectively. GFP<sup>+</sup> cells were defined as virus-infected cells. The MFI of GFP<sup>+</sup> was  
78 defined as virus replication intensity. IC<sub>50</sub> was calculated by non-linear regression of the  
79 dose–response curves using Prism software (GraphPad Software).

80

**81 Tissue distribution and serum concentration of anti-PDL1-IFN $\alpha$** 

82 HBV carrier mice were injected intravenously with a single dose (equimolar) 0.1687 nmol  
83 IFN $\alpha$ -Fc (0.625 mg/kg), homodimer (1.264 mg/kg) or heterodimer (0.8 mg/kg) fusion  
84 protein. Mice sera were collected, and tissues were harvested after perfusion at the  
85 indicated time points. The levels of human Fc in sera or homogenate extracts from  
86 different tissues were measured by ELISA.

87

**88 HBV DNA and RNA detection**

89 Serum HBV-DNA was measured following the manufacturer's instructions (Sansure  
90 Biotech, Changsha, China). The lower limit of HBV-DNA detection was 30 IU/mL. Liver  
91 HBV DNA was extracted using a gDNA kit (Tiagen Biotech, Beijing, China). Liver total  
92 RNA was extracted using TRIzol reagent (Invitrogen, USA). RNA was reverse transcribed  
93 into cDNA using the RevertAid First Strand cDNA synthesis Kit (Thermo Scientific, USA).  
94 Real-time PCR was performed using SYBR Premix Ex Taq kit (Takara, Japan) on an ABI  
95 QuantStudio 7 Flex real-time PCR system instrument (Applied Biosystems, USA). For  
96 liver HBV DNA detection, the primers are used as forward,  
97 5'-CACATCAGGATTCCTAGGACC-3'; reverse, 5'-GGTGAGTGATTGGAGGTTG-3'. For  
98 liver HBV RNA detection, the primers are used as follows: HBV 3.5-kb RNA (forward,  
99 5'-GAGTGTGGATTCGCACTCC-3'; reverse, 5'-GAGGCGAGGGAGTTCTTCT-3') and  
100 HBV total RNA (forward, 5'-TCACCAGCACCATGCAAC-3'; reverse,  
101 5'-AAGCCACCCAAGGCACAG-3'). For  $\beta$ -actin detection, the primers are used as forward,  
102 5'-CTGACGGCCAGGTCATCACTA-3'; reverse, 5'-CCGGACTCATCGTACTCCTGC-3'.  
103 The  $2^{-\Delta\Delta C_t}$  method was used to calculate relative target gene expression to  $\beta$ -actin.

104

#### 105 **Inhibition HBV infection assay in vitro**

106 The HepG2-hNTCP cell line and HBV (genotype D, subtype ayw) virus were kindly  
107 provided by Professor Wenhui Li (National Institute of Biological Sciences, Beijing, China).  
108 In vitro HBV infection and inhibition assays were performed as previously reported.<sup>24</sup>  
109 Briefly,  $1 \times 10^7$  copies of genome-equivalent HBV were inoculated into the culture medium  
110 of  $1 \times 10^5$  HepG2-hNTCP cells in 48-well plates in the presence of sera from HBV carrier



111 mice with different treatments and incubated for 24 hours at 37 °C. Then, the cells were  
112 washed with medium three times and maintained in primary hepatocytes maintenance  
113 medium (PMM) (William's E medium [Gibco, USA] with 3 µg/mL insulin, 5 µg/mL  
114 transferrin, 5 ng/mL sodium selenite [insulin–transferrin–sodium selenite; Corning, USA],  
115 2 mM L-glutamine, 10 ng/mL epidermal growth factor [Sigma-Aldrich, USA], 2% dimethyl  
116 sulfoxide, 100 U/mL penicillin and 100 µg/mL streptomycin). The medium was changed  
117 every 2 days. HBV infection at different time points was analyzed by measuring HBsAg  
118 and HBeAg in culture medium supernatant and detecting HBV RNAs in HepG2-hNTCP  
119 cells.

120 For the fusion protein inhibits HBV infection in vitro, HepG2-hNTCP cells in 48-well  
121 plates ( $1 \times 10^5$  cells/well) were incubated with serial dilutions of anti-PDL1-IFNα (human)  
122 heterodimer, IFNα-Fc (human), or the mixture of IFNα-Fc (human) and anti-PDL1  
123 (equimolar IFNα and anti-PDL1, as determined by the single subunit) at 37 °C overnight.  
124 The next day, the cells were infected with  $1 \times 10^7$  copies of genome-equivalent HBV and  
125 cultured for another 24 hours. Then, the cells were washed with medium three times and  
126 maintained in PMM. Three days later, the culture supernatants were collected. Levels of  
127 HBsAg and HBeAg in the supernatant were measured by ELISA.

128

### 129 **Immunohistochemistry**

130 Paraffin-embedded human liver tissue sections at 5 µm were used for PDL1 detection with  
131 rabbit anti-PDL1 polyclonal antibody (abs136046, Absin Bioscience Inc., China) and  
132 HRP-labeled goat anti-rabbit secondary antibody (PV-6001, Zhongshan Jinqiao

133 Biotechnology Co., Ltd., China). Then, slides were incubated with 3,3-diaminobenzidine  
134 tetrahydrochloride (DAB) chromogen solution (ZLI-9018, Zhongshan Jinqiao  
135 Biotechnology Co., Ltd., China), and counterstained with hematoxylin (G1080, Beijing  
136 Solarbio Science & Technology Co., Ltd., China). Images were acquired using a  
137 Nikon-EclipseTi microscope and NIS software (Nikon, Japan).

138

#### 139 **Construction of HBV carrier CD11c-DTR mice**

140 C57BL/6J mice (8 weeks old) were irradiated with a  $^{60}\text{Co}$  Gammacell source at 10 Gy  
141 (1Gy/min).  $5 \times 10^6$  bone marrow cells from donor mice (CD11c-DTR mice) were  
142 intravenously transferred to each mouse 24 hours after irradiation. The chimaeras were  
143 given prophylactic water containing antibiotics for 4 weeks following irradiation. Six weeks  
144 after bone marrow transplantation, the mice were injected with the AAV-HBV1.3 virus  
145 through the tail vein ( $1 \times 10^{11}$  viral genome copies per mouse). Five weeks after virus  
146 infection, the stable HBV carrier CD11c-DTR mice (serum HBsAg > 1000 IU/mL) were  
147 selected for immune therapy study.

148

#### 149 **Single-cell suspension and flow cytometry analysis**

150 An enzymatic digestion method was utilized to isolate hepatic mononuclear cells.<sup>24</sup> Briefly,  
151 mice liver tissues were digested with collagenase IV (1 mg/mL; Roche) and DNase I (100  
152  $\mu\text{g/mL}$ ; Roche). Then, the cells were further purified with 40% and 70% Percoll solutions  
153 (GE Healthcare) by centrifugation. The hepatic mononuclear cells were collected from the  
154 interface, and the erythrocytes were lysed with ammonium-chloride-potassium (ACK)

155 buffer. Splenocytes were collected, and the erythrocytes were lysed with ACK buffer.  
156 Inguinal lymph nodes were digested with collagenase IV (1 mg/mL; Roche) and DNase I  
157 (100 µg/mL; Roche), then the cells were collected.

158 For flow cytometric analysis, single cells were suspended in FACS buffer, blocked  
159 with anti-CD16/32 (clone 2.4G2), and incubated with fluorescently labelled antibodies. For  
160 intracellular IFN-γ staining, cells were stimulated with 5 µg/mL HBsAg (subtype ayw) ex  
161 vivo at 37°C for 18 hours. Brefeldin A (5 µg/mL; BioLegend) was added in the last 6 hours.  
162 Then, the cells were harvested and FACS staining was performed. Antibodies used for  
163 flow cytometry analysis had been listed in Supplementary Table 2. DAPI or LIVE/DEAD™  
164 fixable yellow dye (Invitrogen) was used to exclude dead cells. To quantitate the  
165 intracellular uptake of HBsAg antigen, DC2.4 cells were incubated with 1 µg/mL  
166 anti-PDL1-IFNα heterodimer and 1 µg/mL FITC-conjugated HBsAg at 37°C for 4 hours.  
167 Data were acquired and analyzed using an LSRFortessa flow cytometer (BD Biosciences,  
168 USA) and FlowJo software (TreeStar), respectively.

169

#### 170 **Enzyme-linked immunospot assay (Elispot)**

171 For B cell Elispot assay, 10 µg/mL HBsAg (subtype ayw, 50 µL/well) was coated onto  
172 96-well Elispot plates (BD Biosciences, USA) at 4 °C overnight. After blocking with RPMI  
173 1640 medium containing 10% FBS, splenocytes or inguinal lymph node cells in 100 µL  
174 complete RPMI 1640 medium were added to the wells. After 6 hours incubation at 37 °C,  
175 HBsAg-specific IgG was analyzed using biotinylated donkey anti-mouse IgG (CWbio,  
176 Beijing, China) and streptavidin-HRP (BD Biosciences, USA). Specific T cell responses

177 were tested by IFN- $\gamma$  Elispot assay. Hepatic mononuclear cells or splenocytes were  
178 incubated for 48 hours at 37 °C in complete RPMI 1640 medium containing 5  $\mu$ g/mL  
179 HBsAg (subtype ayw) or 10  $\mu$ g/mL ENV190-197 peptides (VWLSVIWM; an  
180 HBsAg-specific CD8 peptide) in an IFN- $\gamma$  Elispot plate (BD Biosciences, USA). After  
181 incubation, the IFN- $\gamma$  secretion was analyzed using biotinylated anti-mouse IFN- $\gamma$   
182 antibody and streptavidin-HRP (BD Biosciences, USA). The spots were visualized with  
183 3-amino-9-ethylcarbazole (AEC) substrate (BD Biosciences, USA) and were quantified  
184 with the ImmunoSpot Analyzer (Cellular Technology Ltd., USA).

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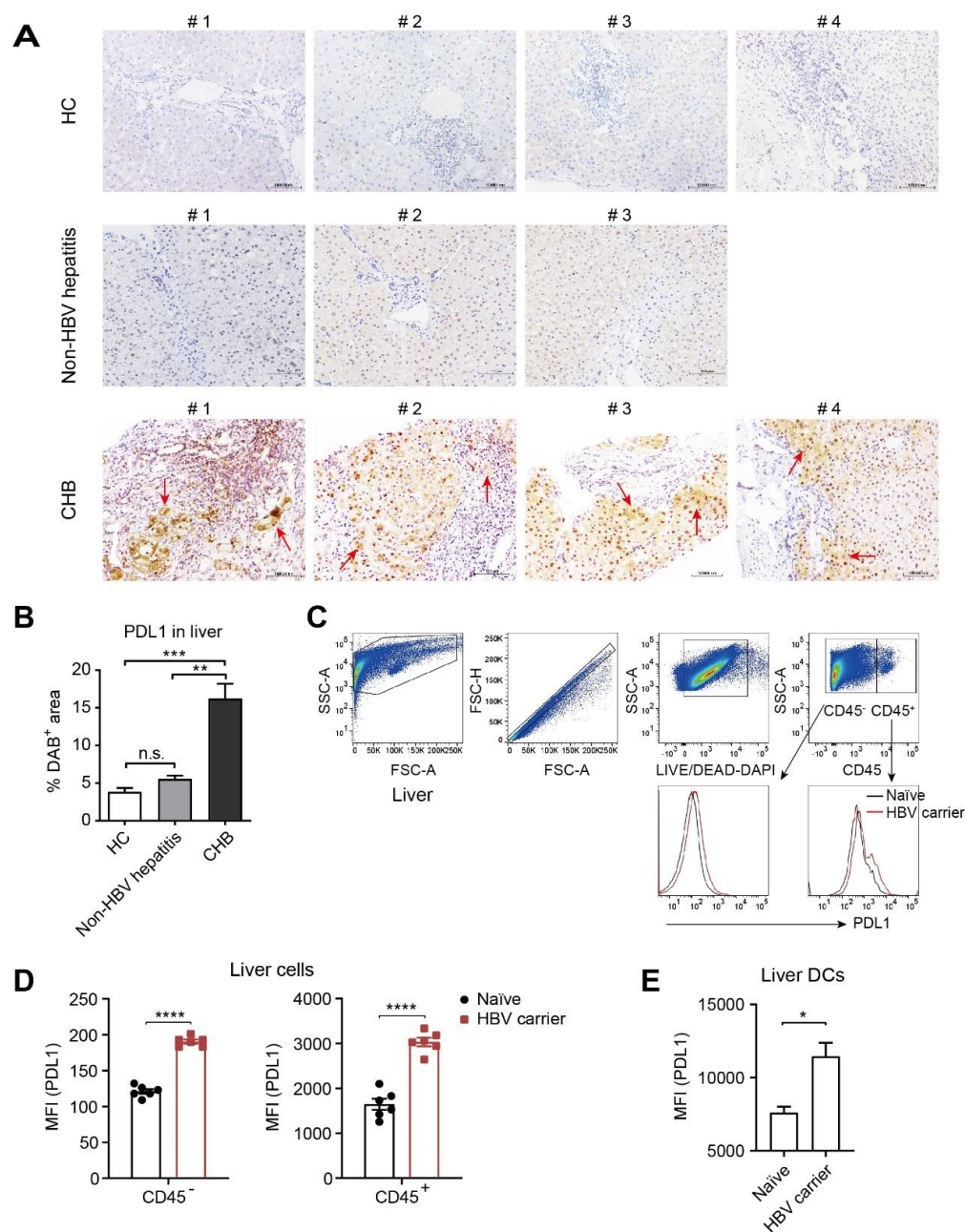
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201 **Supplementary Figure 1. Up-regulation of PDL1 in the liver of CHB patients and**

202 **HBV carrier mice.** (A) Immunohistochemical staining of PDL1 (× 200) in the liver of

203 human healthy controls, non-HBV liver disease patients and CHB patients.

204 Representative graphs of 3 to 4 samples in each group are shown. Arrows indicate the

205 representative PDL1 positive cells. Scale bars, 100  $\mu$ m. (B) The percentage of the  
206 PDL1-staining positive area was quantified using ImageJ software (FIJI) ( $n = 6$ /HC,  $n =$   
207 3/non-HBV hepatitis,  $n = 7$ /CHB). (C) Gating strategy for the expression of PDL1 on  
208 CD45<sup>-</sup> cells and CD45<sup>+</sup> cells from HBV carrier mouse liver. (D) Liver tissues of naïve or  
209 HBV carrier mice ( $n = 6$ ) were collected. PDL1 levels on CD45<sup>-</sup> cells and CD45<sup>+</sup> cells were  
210 evaluated by flow cytometry. (E) Liver tissues of naïve or HBV carrier mice ( $n = 3$ -7) were  
211 collected. PDL1 levels on hepatic DCs (CD11c<sup>+</sup>MHC II<sup>+</sup>) were evaluated by flow cytometry.  
212 Data are shown as the mean + SEM (B and E) or mean  $\pm$  SEM (D) and are representative  
213 of at least two independent experiments. \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P <$   
214 0.0001. CHB, chronic hepatitis B; DAB, 3,3-diaminobenzidine tetrahydrochloride; DC,  
215 dendritic cell; HC, healthy controls; MFI, mean fluorescence intensity; n.s., not significant;  
216 PDL1, programmed death ligand 1.

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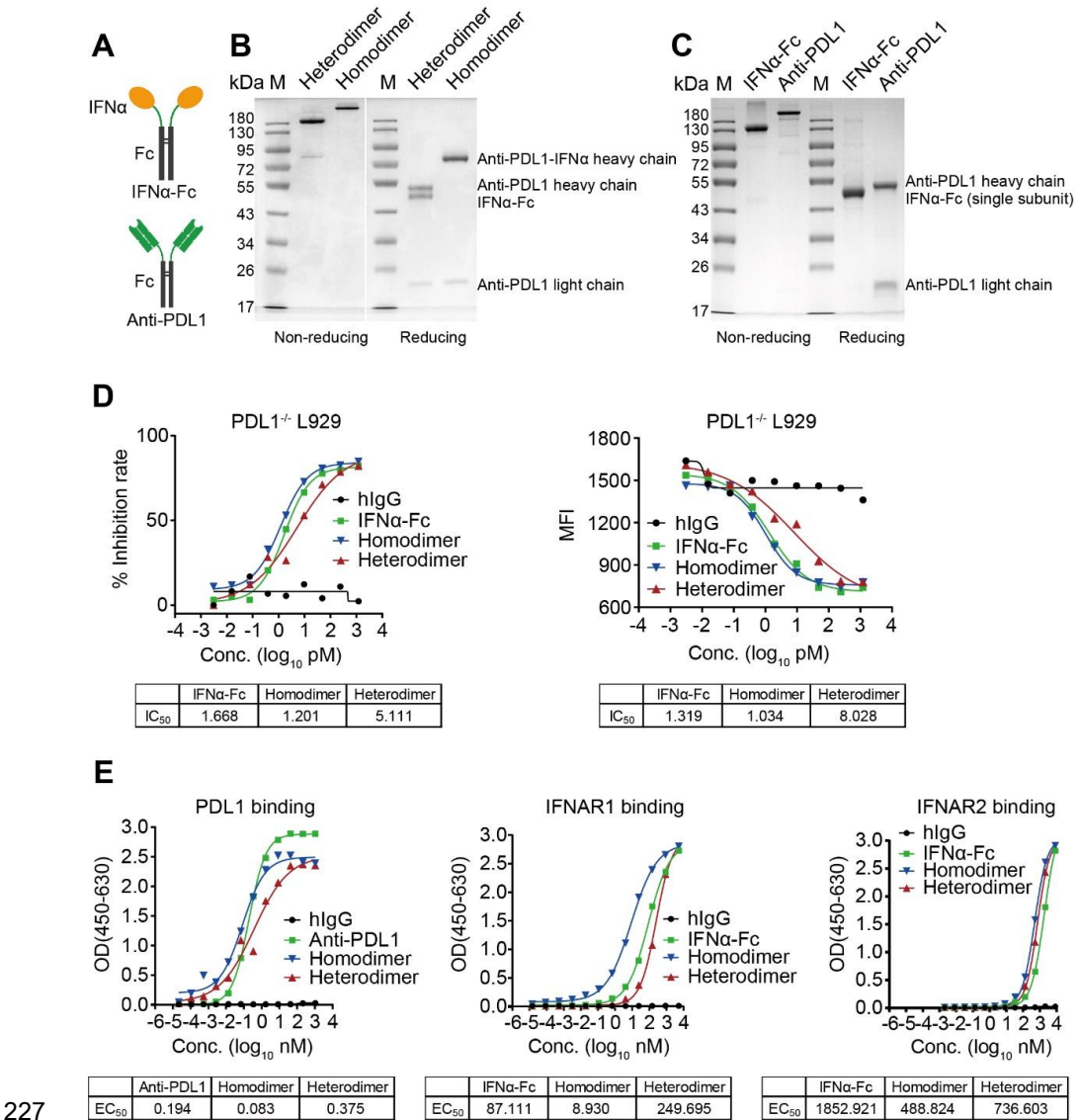
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229 **Supplementary Figure 2. Biochemistry properties of fusion proteins.** (A) Schematic

230 structure of IFNα-Fc and anti-PDL1 fusion proteins. (B) Anti-PDL1-IFNα homodimer or

231 heterodimer fusion protein was expressed in 293F cells and analyzed by gel

232 electrophoresis after purification. (C) IFNα-Fc or anti-PDL1 fusion protein was expressed

233 in 293F cells and analyzed by gel electrophoresis after purification. (D) The bioactivity of

234 anti-PDL1-IFNα homodimer or heterodimer was measured by an antiviral infection

235 biological assay. PDL1<sup>-/-</sup> L929 cells were cultured with each protein (equimolar IFN $\alpha$   
236 concentration, as determined by the single subunit) overnight before being infected with  
237 VSV-GFP. The percentage of GFP<sup>+</sup> cells was measured by flow cytometry. The inhibition  
238 rate is normalized to the highest GFP<sup>+</sup> percentage. The MFI of GFP<sup>+</sup> was defined as virus  
239 replication intensity. (E) Binding curves of anti-PDL1-IFN $\alpha$  homodimer or heterodimer  
240 (equimolar anti-PDL1 or IFN $\alpha$  concentration, as determined by the single subunit) to the  
241 coated PDL1, IFNAR1 or IFNAR2 by ELISA. Panels B-E show representative of at least  
242 two independent experiments. EC<sub>50</sub>, half-maximal effective concentration; ELISA,  
243 enzyme-linked immunosorbent assay; hIgG, human immunoglobulin G; IC<sub>50</sub>,  
244 half-maximal inhibitory concentration; IFNAR, type I IFN receptor; M, molecular weight  
245 marker; MFI, mean fluorescence intensity; OD, optical density; PDL1, programmed death  
246 ligand 1.

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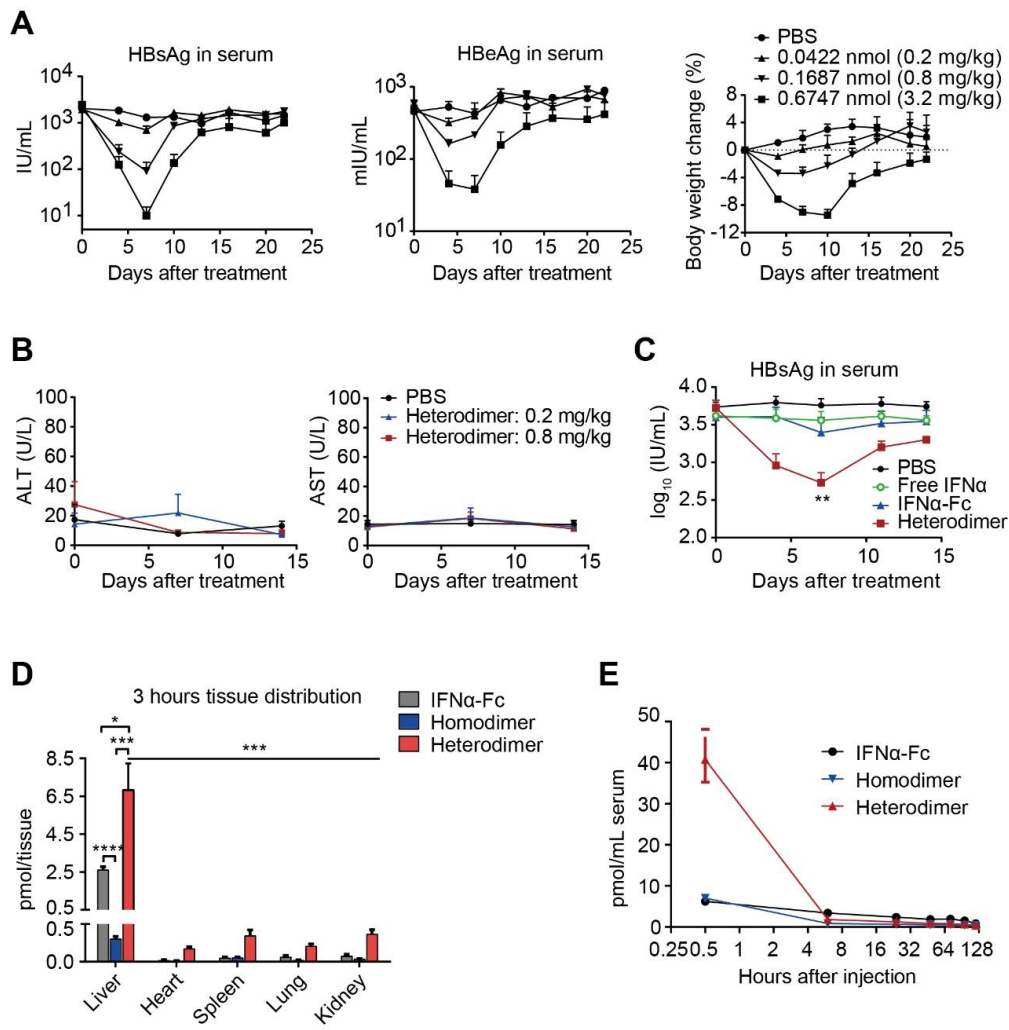
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259 **Supplementary Figure 3. Anti-PDL1-IFNα heterodimer presents more efficient liver**

260 **targeting and lower toxicity.** (A) Serum levels of HBsAg (left), HBeAg (middle), and

261 body weight changes (right) of HBV carrier mice (n = 3) that received intravenous

262 injections of anti-PDL1-IFNα heterodimer at the indicated doses on days 1 and 4. (B) HBV

263 carrier mice (n = 5) were injected intravenously with anti-PDL1-IFNα heterodimer at the

264 indicated doses on days 1 and 4. The sera were collected on days 0, 7 and 14.

265 Glutamic-pyruvic transaminase (ALT) and glutamic-oxaloacetic transaminase (AST)



266 were measured following the manufacturer's instructions (Nanjing Jiancheng  
267 Bioengineering Institute, Nanjing, China). (C) HBV carrier mice ( $n = 3$ ) were treated with  
268 equimolar IFN $\alpha$  (0.1687 nmol, as determined by the single subunit) on days 1 and 4.  
269 Serum levels of HBsAg were determined using ELISA. (D and E) HBV carrier mice ( $n = 6$ )  
270 were injected intravenously with a single dose (0.1687 nmol) IFN $\alpha$ -Fc, homodimer, or  
271 heterodimer. Tissues were collected, weighed, and homogenized at 3 hours after injection,  
272 and the concentrations of fusion proteins were measured by ELISA (D). Serum  
273 concentrations of fusion proteins at the indicated time points were measured by ELISA (E).  
274 Data are shown as the mean + SEM (A-D) or mean  $\pm$  SEM (E) and are representative of  
275 at least two independent experiments (A-C) or are pooled from two independent  
276 experiments (D and E). \* $P < 0.05$ ; \*\* $P < 0.01$ ; \*\*\* $P < 0.001$ ; \*\*\*\* $P < 0.0001$ . ELISA,  
277 enzyme-linked immunosorbent assay; HBeAg, hepatitis B e antigen; HBsAg, hepatitis  
278 B surface antigen; IU, international units; PBS, phosphate buffer saline.

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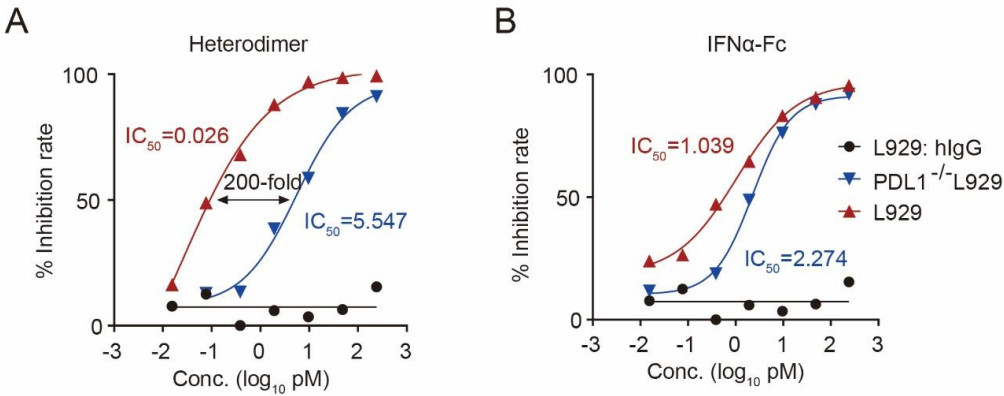
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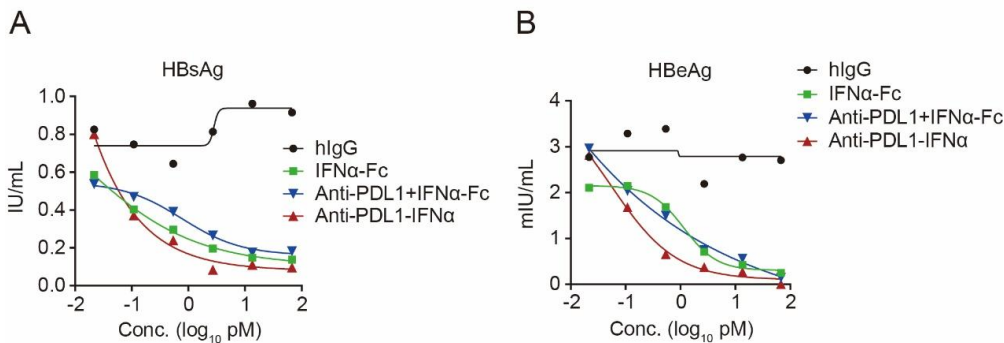
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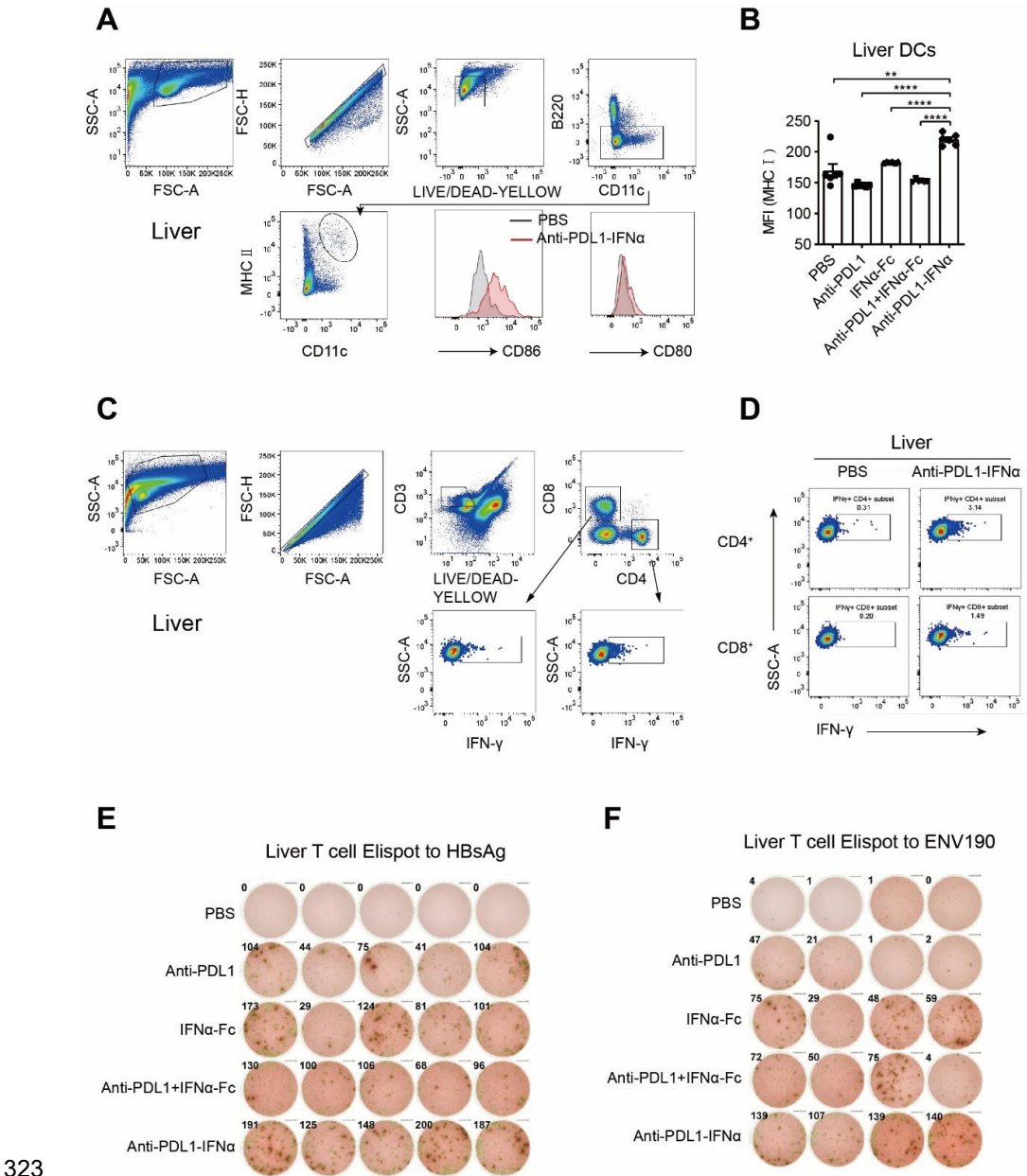
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**Supplementary Figure 4. Anti-PDL1-IFNα heterodimer exerts a more potent antiviral effect on PDL1-expressing L929 cells.** (A and B) Antiviral activity of heterodimer (A) or IFNα-Fc (B) for inhibiting VSV-GFP infection in PDL1<sup>-/-</sup> L929 cells (blue symbols) or L929 cells (red symbols). Data are representative of at least two independent experiments. hIgG, human immunoglobulin G; IC<sub>50</sub>, half-maximal inhibitory concentration; PDL1, programmed death ligand 1.



**Supplementary Figure 5. Anti-PDL1-IFNα (human) heterodimer presents a synergistic anti-HBV effect on human hepatocytes.** (A and B) HepG2-hNTCP cells were incubated with serial dilutions of anti-PDL1-IFNα (human) heterodimer, IFNα-Fc (human), or the mixture of IFNα-Fc (human) and anti-PDL1 (equimolar IFNα and anti-PDL1, as determined by the single subunit) at 37 °C overnight. Then, the cells were infected with 1×10<sup>7</sup> viral genome equivalents (vg) HBV and cultured for another 24 hours. The cells were washed with medium three times and maintained in PMM. Three days later, levels of HBsAg (A) and HBeAg (B) in the supernatant were detected by ELISA. Data are representative of at least two independent experiments. ELISA, enzyme-linked immunosorbent assay; HBeAg, hepatitis B e antigen; HBsAg, hepatitis B surface antigen; hIgG, human immunoglobulin G; IU, international units.



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325 **Supplementary Figure 6. Anti-PDL1-IFNα heterodimer improves the function of DCs**

326 **for HBsAg-specific T cells in HBV carrier mice.** (A) Gating strategy for the expression

327 of CD86 and CD80 on DCs (CD11c<sup>+</sup> MHC II<sup>+</sup>) from HBV carrier mouse liver. (B) HBV

328 carrier mice (n = 6) were treated as described in Figure 3A. Hepatic mononuclear cells

329 were harvested on day 4. Flow cytometry was performed to analyze the expression of  
330 MHC I on DCs within liver. Data are shown as the mean  $\pm$  SEM and are representative of  
331 at least two independent experiments.  $**P < 0.01$ ;  $****P < 0.0001$ . (C and D) HBV carrier  
332 mice were treated as described in Figure 3A. Hepatic mononuclear cells were harvested  
333 on day 4 and stimulated with HBsAg (5  $\mu$ g/mL; subtype ayw) in the presence of Brefeldin  
334 A (5  $\mu$ g/mL) ex vivo. The frequencies of IFN- $\gamma^+$  cells among total CD4 $^+$  or CD8 $^+$  T cells  
335 within liver were determined by flow cytometry. Gating strategy for IFN- $\gamma^+$ CD4 $^+$  or  
336 IFN- $\gamma^+$ CD8 $^+$  T cells from HBV carrier mouse liver (C). A representative graph is shown (D).  
337 (E and F) For HBV-specific T cell Elispot assay (Figure 3E), HBV carrier mice were treated  
338 as described in Figure 3A.  $5 \times 10^5$  hepatic mononuclear cells of each mouse were collected  
339 on day 4. HBV-specific T cell responses were tested by a T cell Elispot assay.  
340 Representative graphs are shown of two independent experiments. DC, dendritic cell;  
341 Elispot, enzyme-linked immunospot assay; MFI, mean fluorescence intensity; PBS,  
342 phosphate buffer saline.

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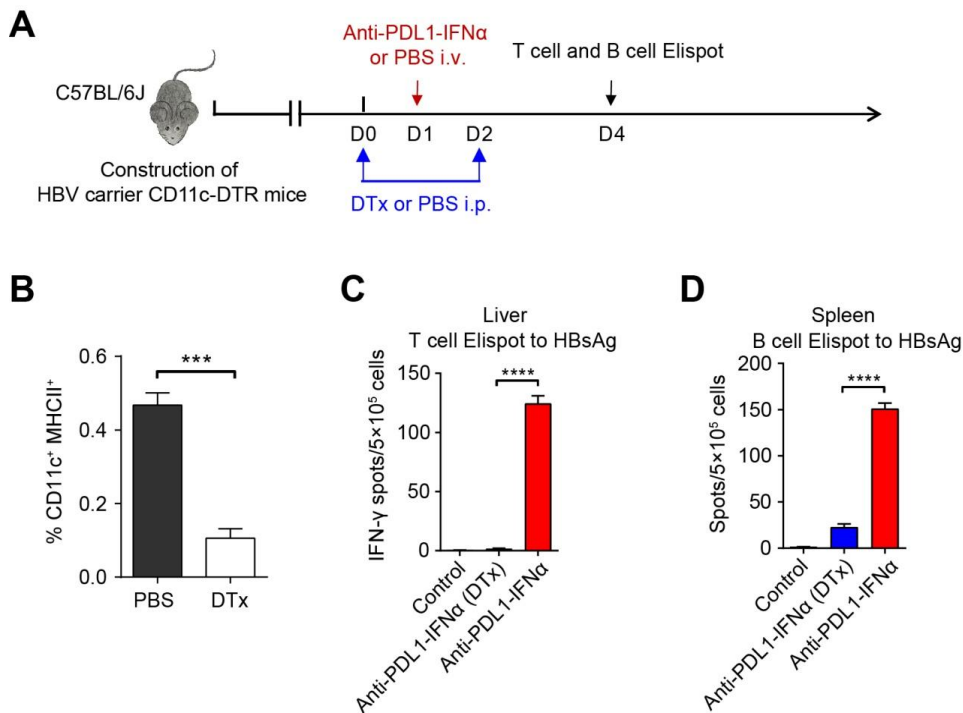
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353 **Supplementary Figure 7. Dendritic cells play essential roles in the anti-PDL1-IFNα**

354 **heterodimer therapeutic approach.** (A) HBV carrier CD11c-DTR mice were injected

355 intraperitoneally with DTx (4 ng/g body weight) (Millipore, Darmstadt, Germany) or PBS

356 on days 0 and 2, and a single dose (0.1687 nmol) of anti-PDL1-IFNα heterodimer

357 treatment on day 1. Hepatic mononuclear cells and splenocytes were collected on day 4.

358 (B) The percentage of DCs in the spleen of HBV carrier CD11c-DTR mice (n = 4) 24 hours

359 after DTx or PBS injection. (C and D) HBsAg-specific T and B cells in the liver and spleen

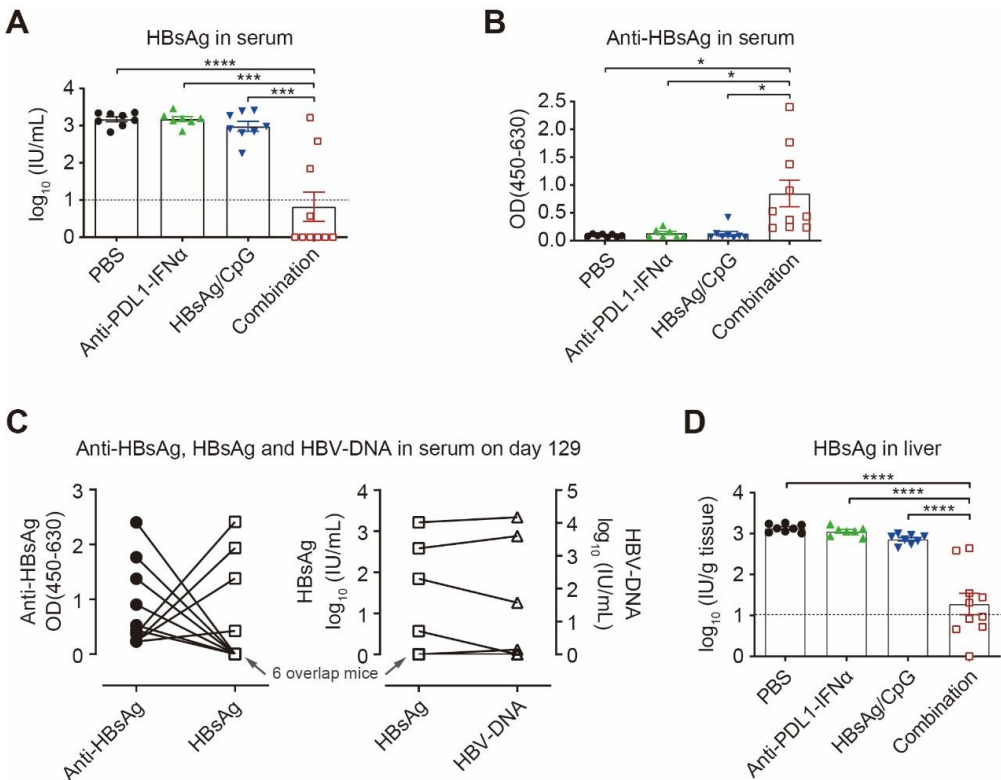
360 (n = 4) were measured by T cell and B cell Elispot assays, respectively. Data are shown

361 as the mean + SEM. \*\*\*P < 0.001; \*\*\*\*P < 0.0001. DTx, diphtheria toxin; Elispot,

362 enzyme-linked immunospot assay; i.p., intraperitoneal; i.v., intravenous.

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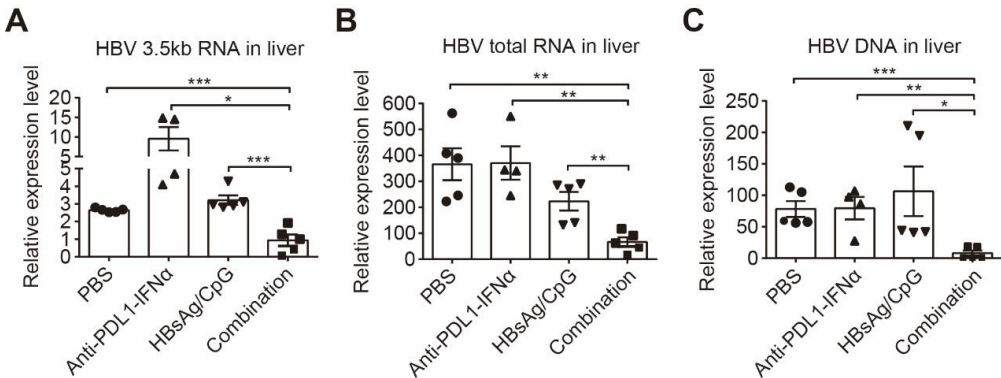


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366 **Supplementary Figure 8. HBsAg and anti-HBsAg levels of each mouse on day 129.**

367 (A and B) Serum levels of HBsAg (A) and ayw subtype-specific anti-HBsAg (B) of each  
368 mouse on day 129 in Figure 5B and C. (C) The correlation between anti-HBsAg and  
369 HBsAg and the correlation between HBsAg and HBV-DNA on day 129 in the combination  
370 therapy group (n = 10) were shown. (D) Liver tissues (n = 7-10) were harvested, weighed,  
371 and homogenized on day 129. Liver HBsAg levels were measured by ELISA. The  
372 detection limits in panels A and D are shown as dashed lines. Data are shown as the  
373 mean ± SEM (A, B, and D) (n = 7-10 mice per group from N = 2 independent experiments).  
374 \**P* < 0.05; \*\*\**P* < 0.001; \*\*\*\**P* < 0.0001. CpG, cytosine–phosphate–guanine; ELISA,  
375 enzyme-linked immunosorbent assay; HBsAg, hepatitis B surface antigen; IU,  
376 international units; OD, optical density; PBS, phosphate buffer saline.



**Supplementary Figure 9. Combination therapy can inhibit HBV replication in the liver.** (A-C) HBV intermediate products HBV 3.5-kb RNA (A), HBV total RNA (B) and HBV-DNA (C) in the liver at the end of the experiment were measured with real-time PCR (n = 4-5). Data are shown as the mean ± SEM and are representative of at least two independent experiments. \**P* < 0.05; \*\**P* < 0.01; \*\*\**P* < 0.001. CpG, cytosine–phosphate–guanine; PBS, phosphate buffer saline.

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Supplementary Table 1. Clinical Characteristics of Study Cohort					
	Age	HBsAg (IU/mL)	HBeAg (COI)	ALT (U/L)	AST (U/L)
HC (n = 6)	36.33±13.37	—*	—*	17.93±6.56	18.35±2.90
non-HBV (n = 3)	41.00±4.00	—*	—*	72.00±20.07	60.67±13.87
CHB (n = 7)	25.71± 12.27	15,412.07± 17,834.60	635.66± 668.66	98.14± 95.39	52.14± 33.75

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Age, HBsAg, HBeAg, ALT and AST are shown as the mean ± SD. HC, healthy controls (liver transplantation donors); non-HBV, non-HBV liver disease patients (non-alcoholic fatty liver disease); CHB, chronic hepatitis B.  
\*HBsAg and HBeAg were negative.

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## 416    Supplementary Table 2. Flow cytometry antibodies

Antibodies	Fluorochrome	Clone	Source
Anti-mouse CD45	AF700 or PerCP-Cy5.5	30-F11	eBioscience or BioLegend
anti-mouse CD3ε	FITC	145-2C11	eBioscience
anti-mouse CD4	PerCP-Cy5.5	RM4-5	eBioscience
anti-mouse CD8	APC or PE	53-6.7	eBioscience or BioLegend
anti-mouse IFN-γ	PE	XMG1.2	BioLegend
anti-mouse B220	BV 650	RA3-6B2	BioLegend
anti-mouse CD11c	APC	N418	Invitrogen
anti-mouse MHC II	FITC or AF700	M5/114.15.2	eBioscience
anti-mouse MHC I	PerCP-eFluor® 710	SF1-1.1.1	eBioscience
anti-mouse CD80	FITC	16-10A1	Tonbo
anti-mouse CD86	PE	GL1	eBioscience
anti-mouse CD11b	PerCP-Cy5.5	M1/70	eBioscience
anti-mouse F4/80	PE	BM8	eBioscience
anti-mouse Gr-1	eF450 or AF488	RB6-8C5	Invitrogen
anti-mouse CD19	APC	MB19-1	eBioscience
anti-mouse PDL1	PE-Cy7	10F.9G2	BioLegend

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